

“Quasi-complete” mechanical model for a double torsion pendulum

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(Received 19 March 2013; published 28 June 2013)

We present a dynamical model for the double torsion pendulum nicknamed “PETER,” where one torsion pendulum hangs in cascade, but off axis, from the other. The dynamics of interest in these devices lies around the torsional resonance, that is at very low frequencies (mHz). However, we find that, in order to properly describe the forced motion of the pendulums, also other modes must be considered, namely swinging and bouncing oscillations of the two suspended masses, that resonate at higher frequencies (Hz). Although the system has obviously 6 + 6 degrees of freedom, we find that 8 are sufficient for an accurate description of the observed motion. This model produces reliable estimates of the response to generic external disturbances and actuating forces or torques. In particular, we compute the effect of seismic floor motion (“tilt” noise) on the low frequency part of the signal spectra and show that it properly accounts for most of the measured low frequency noise.

DOI: [10.1103/PhysRevD.87.122006](https://doi.org/10.1103/PhysRevD.87.122006)

PACS numbers: 04.80.-y, 04.80.Nn, 95.55.Ym

I. INTRODUCTION

Free fall, i.e. motion in absence of external forces, is a key ingredient of many present [1] and future [2,3] space missions. It is particularly important for proposed LISA-like missions, that aim to detect gravitational waves by measuring the change of distance between two test masses in geodesic motion. Indeed the precursor LISA-Pathfinder [4,5] will soon be launched to demonstrate many of the key technologies that LISA is based on, including the effective realization of a free falling test mass (TM), reducing the residual disturbances to the level of $10 \text{ fN}/\sqrt{\text{Hz}}$ at 1 mHz. Such demanding requirements need ground based facilities for preliminary free fall tests. This has prompted an extraordinary effort into reducing the effects of gravity and other local forces. The ideal tool for these tests is, traditionally, the torsion pendulum, where gravity is balanced by a supporting fiber and rotational motion is virtually unconstrained around it. Indeed, the group at the University of Trento has extensively tested residual forces on two different apparatuses: a torsion pendulum where the TM moves freely in rotation [6] and one where the “soft” motion is approximatively a linear translation [7]. The opportunity to experiment with a TM being in quasi-free fall over more than one degree of freedom (d.o.f.) is quite desirable, as it better represents geodesic behavior and

it allows investigation on possible spurious couplings between motion on different d.o.f. This is crucial for the drag-free operation of the test masses [8] on LISA-Pathfinder (and of any of the possible realizations of the LISA concept): in such space missions, the TMs will move freely in the sensitive direction, but will be under feedback control on the remaining five directions. An instrument with two *soft* d.o.f., like a double torsion pendulum, can observe the free motion of one d.o.f. when the other is actively controlled and can provide useful information on the amount of cross talk that the control electronics might feed on the “free” mode.

To this purpose, we have developed an instrument where one torsion pendulum hangs, off axis, from another. This results in the TM being almost free (or having *soft* restoring forces) both on a rotational and on a translational degree of freedom. The dynamics of this system is quite more complex than that of a single d.o.f. torsion pendulum and its description requires a careful modeling of all its (2×6 , in principle) d.o.f., because some of the external disturbances (tilt motion, for example) couple to torsion via higher frequency modes, that need be accounted for in the model. We present an analytical mechanical model of the double torsion pendulum, taking as reference the instrument built and operated in the INFN lab in Firenze, nicknamed PETER (PEndolo Translazionale E Rotazionale, namely *Translational and Rotational PEndulum*) [9,10].

In this instrument, a cubic TM (see the left panel in Fig. 1) is suspended through a double stage system with two torsion fibers in cascade: the lower fiber allows this

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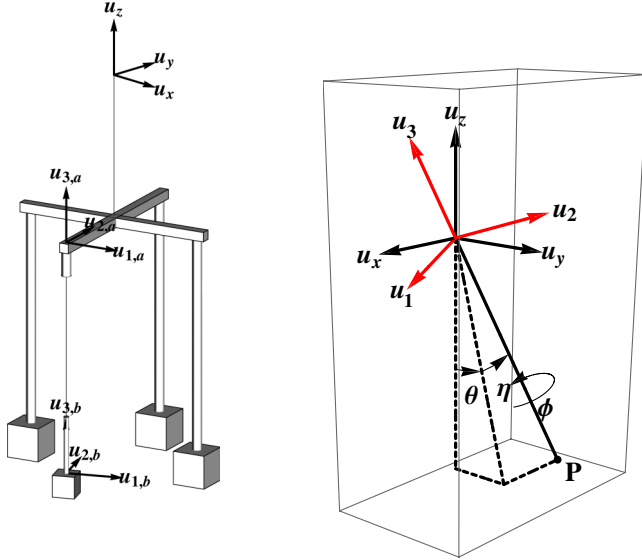


FIG. 1 (color online). Left panel: Schematic representation of PETER with the three triads of unit vectors. Right panel: The coordinate systems described in the text: u_x, u_y, u_z represent the inertial frame; P is the position of the center of mass of the crossbar, u_1, u_2 and u_3 are each of the moving coordinate systems; θ, η and φ the three angles to identify the orientation of the frames.

mass to be almost free in rotation around its vertical axis of symmetry. The fiber hangs from the tip of one arm of a crossbar that is, in turn, suspended with an upper fiber to the fixed frame, represented by the vacuum enclosure. The torsion of this upper fiber allows almost free motion of the suspended system, including the test mass, along an arc of a 30 cm diameter circumference: for small torsion angles, this can be considered for all practical purposes a translational motion. Dummy loads hang from the three other arms of the crossbar. The TM is enclosed in the *Gravitational Reference Sensor* (GRS) [11], a hollow metal box padded with electrodes that permit us to monitor the motion of the TM along all its translational and rotational d.o.f.

The TM is a hollow Al cube with a 46 mm edge plus a shaft (81.5 mm long) that connects to the fiber and provides electrical insulation. The cubic TM (but not its shaft), the GRS and the readout electronics well match the setup of the LISA-Pathfinder flight model geometry. The apparatus is also equipped with additional readouts: an autocollimator and an optical readout (ORO) system [12] that provide independent measurements of the test mass along the two soft d.o.f. The sensitivity goal for this apparatus, when limited by the mechanical thermal noise and the readout noise, is better than $10^{-13} \text{ m s}^{-1}/\text{Hz}^{1/2}$ around 1 mHz (on each d.o.f.), namely, only 1 order of magnitude worse than the LISA-Pathfinder goal along the sensitivity axis. A more detailed description of the apparatus can be found in [13].

The aim of this paper is to develop an analytical model of the whole system by which its main features can be

evaluated and compared with preliminary data runs. In the title the quotation marks around “quasi-complete” stand to point out that the model goes as far as possible in the comparison with the actual experiment. Although the operation range is in the mHz band around the torsional resonances, the model includes the treatment of the swinging pendulum and bouncing resonances, that take place at much higher frequencies (\sim Hz).

Such a detailed description may appear superfluous; however, we have carried out the analysis of the mid-high frequency range for an overall validation of the model and with the purpose of analyzing possible sources of external disturbances. In particular, in view of the complex structure of PETER, the tilt noise at low frequencies can be properly described only if the coupled swinging pendulum motions are taken into account.

The plan of the paper is as follows: in Sec. II we lay out the mechanical model, with special attention to defining reference frames that are suitable to describe motion of the two payloads (the crossbar and the test mass) in the appropriate limit of small oscillations. The dynamical properties of the double pendulum, i.e. angular velocities and moments of inertia are then introduced and, from those, the Lagrangian of the system is derived. Section III analyzes the free motion of the double pendulum and derives the normal modes and their resonant frequencies, while in Sec. IV, introducing the generalized forces on the system, we discuss how the influence of external disturbances on the system can affect the pendulum output.

II. EIGHT DEGREES OF FREEDOM MECHANICAL MODEL

In principle, in order to describe a double pendulum of the PETER kind, with two “payloads” suspended in cascade through two torsion fibers, we should consider $6 + 6$ d.o.f., to allow each payload to translate and rotate in every possible way. This, in the constrained motion of a pendulum, maps into describing the torsion, two pendulum motions (in two orthogonal directions), bouncing of the fiber length and two rocking (rotations around a horizontal axis passing through the suspension point) motions. However, in all data gathered so far, the rocking modes, expected at the frequencies of 0.55 and 3.4 Hz, were not detected: if they exist, their amplitude is well below the noise level. Therefore, in our analysis, we neglected the rocking motion of both the crossbar and the TM, assuming that they rigidly move with the respective fibers that therefore do not bend at the suspension point. While their inclusion would lead to more cumbersome expressions for the equations of motion, as a matter of principle they can be included without problems.

The quasi-complete model for PETER describes then the torsional and pendulum oscillations of the crossbar and the TM, including also their “bouncing” motions. Therefore we deal with a $4 + 4 = 8$ d.o.f. model.

A. Reference frames and configuration variables

We need to describe the position and rotation of two rigid bodies (the crossbar and the TM) with respect to an inertial frame. However, we find it useful to introduce also two moving (noninertial) frames and exploit the Lagrangian formalism to combine generalized coordinates for a simpler description of the dynamics. The two additional frames are comoving with the two load masses and will be labeled with the “a” (above) and “b” (below) subscripts. In analogy with the treatment of the one d.o.f. case [14,15], we have made a choice of the angles suitable to describe small oscillations around the stable equilibrium of the whole system [16,17].

We set the origin of the inertial frame in the suspension point of the upper fiber. The orientation of this frame is as follows (see the left panel of Fig. 1): \mathbf{u}_z along the local gravity acceleration $-\mathbf{g}$, \mathbf{u}_x and \mathbf{u}_y along the crossbar arms at the equilibrium position (when no torsion is present in the upper fiber). The first noninertial frame, comoving with

the crossbar (the “a” frame, $\mathbf{u}_{1,a}$, $\mathbf{u}_{2,a}$, $\mathbf{u}_{3,a}$) has its origin at the end of the crossbar arm from which the lower fiber is suspended; $\mathbf{u}_{2,a}$ is parallel to this arm and directed towards the center, $\mathbf{u}_{1,a}$ is parallel to the other arm. Since we assume no rocking motion, $\mathbf{u}_{3,a}$ is at all times parallel to the upper fiber direction.

The second moving frame (the “b” frame, whose origin coincides with the center of mass of the TM) describes the orientation of the TM with respect to the “a” frame (i.e. to the crossbar). The unit vectors $\mathbf{u}_{1,b}$, $\mathbf{u}_{2,b}$, $\mathbf{u}_{3,b}$ are directed along the principal axes of inertia of the TM, and therefore $\mathbf{u}_{3,b}$ is parallel to the lower fiber direction. In order to bring the “a” frame with its axes parallel to those of the inertial frame, we introduce the following sets of rotations (see the right panel of Fig. 1): first, by an angle θ_a around the x -axis; then by an angle η_a around the new y' -axis; finally, by an angle φ_a around the new z' -axis, now the same as \mathbf{u}_3 . The resulting orthogonal rotation matrix \mathbf{R}_a is

$$\mathbf{R}_a = \begin{pmatrix} \cos \eta_a \cos \varphi_a & \sin \eta_a \sin \theta_a \cos \varphi_a + \cos \theta_a \sin \varphi_a & \sin \theta_a \sin \varphi_a - \sin \eta_a \cos \theta_a \cos \varphi_a \\ -\cos \eta_a \sin \varphi_a & \cos \theta_a \cos \varphi_a - \sin \eta_a \sin \theta_a \sin \varphi_a & \sin \eta_a \cos \theta_a \sin \varphi_a + \sin \theta_a \cos \varphi_a \\ \sin \eta_a & -\cos \eta_a \sin \theta_a & \cos \eta_a \cos \theta_a \end{pmatrix}. \quad (1)$$

Analogously, a second rotation by the angles θ_b , η_b , φ_b , defining a matrix \mathbf{R}_b , is used for the transformation $a \rightarrow b$ between the two moving frames.

We now introduce an additional convention: the superscripts $'$ and $''$ indicate that the components of a certain vector are expressed in the a or b frame, respectively. An absence of superscripts indicates that the vector is defined in the inertial frame. In the inertial frame, the unit vectors $\mathbf{u}_{1,a}$, $\mathbf{u}_{2,a}$, $\mathbf{u}_{3,a}$ are

$$\mathbf{u}_{1,a} = \mathbf{R}_a^T(1, 0, 0)^T; \quad \mathbf{u}_{2,a} = \mathbf{R}_a^T(0, 1, 0)^T; \\ \mathbf{u}_{3,a} = \mathbf{R}_a^T(0, 0, 1)^T.$$

The unit vectors at rest with the TM are given, in the “a” frame, by

$$\mathbf{u}'_{1,b} = \mathbf{R}_b^T(1, 0, 0)^T, \quad \mathbf{u}'_{2,b} = \mathbf{R}_b^T(0, 1, 0)^T, \\ \mathbf{u}'_{3,b} = \mathbf{R}_b^T(0, 0, 1)^T,$$

while the same vectors are expressed in the inertial frame as

$$\mathbf{u}_{i,b} = \mathbf{R}_a^T \mathbf{u}'_{i,b} \quad \text{with } i = 1, 2, 3.$$

We now need to express the position of the center of mass, $r_{g,a}$, of the crossbar system and $r_{g,b}$ of the TM in the inertial frame (see Fig. 2).

We define Z as the component along $\mathbf{u}_{3,a}$ of the distance between the upper suspension points of the two fibers, and

Z_a as the distance, again along $\mathbf{u}_{3,a}$, between the lower fiber suspension point and the center of mass of the crossbar system. $\delta_a(t)$ describes the elongation of the upper fiber. We get

$$\mathbf{r}_{g,a} = -(Z + Z_a + \delta_a(t))\mathbf{u}_{3,a} + y_a\mathbf{u}_{2,a}, \quad (2)$$

where y_a is the horizontal displacement of the center of mass (with respect to the line of the upper fiber). It can be easily computed by assuming no misalignments: in this case the center of mass of the whole system (at the equilibrium position) has null y -coordinate; therefore

$$y_a = d \frac{m_b}{m_a},$$

where d is the length of a crossbar arm, m_a is the total mass of the crossbar system and m_b is the mass of the TM.

Finally, if Z_b is the distance between the lower fiber suspension point and the center of mass of the TM and $\delta_b(t)$ is the elongation of the lower fiber, for the test mass we obtain

$$\mathbf{r}_{g,b} = -(Z + \delta_a(t))\mathbf{u}_{3,a} - d\mathbf{u}_{2,a} - (Z_b + \delta_b(t))\mathbf{u}_{3,b}. \quad (3)$$

B. Angular velocities

Using the Poisson formula for the time derivative of a unit vector, one can readily derive the angular velocities of the crossbar system and of the TM in the fixed frame:

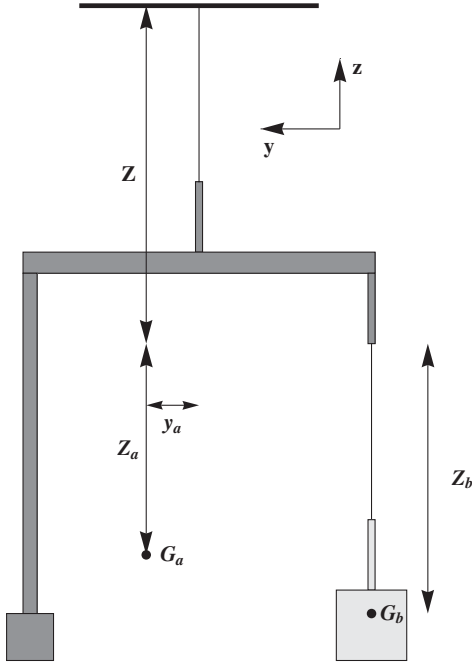


FIG. 2. Schematic representation of the heights of the centers of mass of the double pendulum. G_a and G_b are the centers of mass of crossbar + counterweights and of the TM, respectively.

$$\boldsymbol{\omega}_a = \frac{1}{2} \sum_{i=1}^3 \mathbf{u}_{i,a} \times \frac{d\mathbf{u}_{i,a}}{dt}, \quad \boldsymbol{\omega}_b = \frac{1}{2} \sum_{i=1}^3 \mathbf{u}_{i,b} \times \frac{d\mathbf{u}_{i,b}}{dt}.$$

In the moving frames, they are respectively transformed to

$$\boldsymbol{\omega}'_a = \mathbf{R}_a \cdot \boldsymbol{\omega}_a, \quad \boldsymbol{\omega}''_b = \mathbf{R}_b \mathbf{R}_a \cdot \boldsymbol{\omega}_b.$$

C. Inertia tensors

We denote with \mathbf{I}_a the inertia matrix for the crossbar element, containing three counterweights and their rigid connection to the crossbar, and with \mathbf{I}_b that for the TM element, which includes the TM as well as the shaft connecting it to fiber b. Both inertia matrices are calculated with respect to their centers of mass and in the reference frames $(\mathbf{u}_{1,a}, \mathbf{u}_{2,a}, \mathbf{u}_{3,a})$ and $(\mathbf{u}_{1,b}, \mathbf{u}_{2,b}, \mathbf{u}_{3,b})$, respectively. The plane $x = 0$ is a symmetry plane for the crossbar system; therefore I_{12}^a and I_{13}^a are expected to be zero or, at least, quite smaller than the other coefficients. Instead, the inertia matrix of the TM is expected to be diagonal and with two terms equal to each other:

$$\mathbf{I}_a = \begin{pmatrix} I_{11}^a & 0 & 0 \\ 0 & I_{22}^a & I_{23}^a \\ 0 & I_{23}^a & I_{33}^a \end{pmatrix}, \quad \mathbf{I}_b = \begin{pmatrix} I_{11}^b & 0 & 0 \\ 0 & I_{11}^b & 0 \\ 0 & 0 & I_{33}^b \end{pmatrix}.$$

D. Lagrangian and generalized coordinates for the system

A rigid body with inertia tensor I_{jk} , ($j, k = 1, 2, 3$) and angular velocity $\boldsymbol{\omega}_k$ has rotational kinetic energy

$$K_{\text{rot}} = \frac{1}{2} \sum_{jk} I_{jk} \boldsymbol{\omega}_j \boldsymbol{\omega}_k = \frac{1}{2} \boldsymbol{\omega} \cdot \mathbf{I} \cdot \boldsymbol{\omega}.$$

The translational kinetic energy is instead obtained from the velocity of the center of mass; by using the above defined coordinates, we therefore obtain

$$K_{\text{trans},a} = \frac{1}{2} m_a |\dot{\mathbf{r}}_{g,a}|^2, \quad K_{\text{trans},b} = \frac{1}{2} m_b |\dot{\mathbf{r}}_{g,b}|^2$$

and

$$K_{\text{rot},a} = \frac{1}{2} \boldsymbol{\omega}'_a \cdot \mathbf{I}_a \cdot \boldsymbol{\omega}'_a, \quad K_{\text{rot},b} = \frac{1}{2} \boldsymbol{\omega}''_b \cdot \mathbf{I}_b \cdot \boldsymbol{\omega}''_b.$$

The total potential energy is

$$U = m_a g z_{g,a} + m_b g z_{g,b} + \frac{1}{2} k_a \varphi_a^2 + \frac{1}{2} k_b \varphi_b^2 + \frac{1}{2} \kappa_{e,a} \delta_a^2 + \frac{1}{2} \kappa_{e,b} \delta_b^2,$$

where $z_{g,a}$ and $z_{g,b}$ are respectively the heights of the centers of mass of crossbar and TM, k_a and k_b are the torsional constants of the two fibers and $\kappa_{e,a}$ and $\kappa_{e,b}$ are the bouncing spring constants, responsible for the fiber elongation [14]. The fibers are considered here as ideal elastic wires, as discussed in Sec. IV B. Therefore, we neglect bending contributions to the potential energy.

The total Lagrangian of the system is then

$$\mathcal{L}(\vec{q}, \dot{\vec{q}}) = K_{\text{trans},a} + K_{\text{trans},b} + K_{\text{rot},a} + K_{\text{rot},b} - U,$$

where with \vec{q} we denote the set of configurational coordinates $\theta_a, \eta_a, \varphi_a, \delta_a, \theta_b, \eta_b, \varphi_b, \delta_b$. This Lagrangian is only apparently decoupled in its variables: indeed, the coupling is hidden in the definition of $r_{g,b}$ [Eq. (3)]. We now exploit the limit of motions of small amplitude, and construct a quadratic Lagrangian from which we calculate the equations of motion (reported in the Appendix).

In the limit of small oscillations, the observable quantities (position and orientation of the TM as measured by the GRS) are related to the Lagrangian coordinates by

$$\begin{pmatrix} X \\ Y \\ Z \end{pmatrix} \simeq \begin{pmatrix} -\eta_a(Z + Z_b + h_c) - \eta_b(h_c + Z_b) + \varphi_a d \\ \theta_a(Z + Z_b + h_c) + \theta_b(h_c + Z_b) \\ -\delta_a - \delta_b - \theta_a d \end{pmatrix};$$

$$\begin{pmatrix} \theta \\ \eta \\ \varphi \end{pmatrix} \simeq \begin{pmatrix} \theta_a + \theta_b \\ \eta_a + \eta_b \\ \varphi_a + \varphi_b \end{pmatrix}, \quad (4)$$

where h_c is the distance between the cube geometrical center and the center of mass of the TM torsion member

(i.e. G_b in Fig. 2), which are offset due to the mass of the shaft connecting the TM to the torsion fiber.

We remark here that φ_a is an additional observable quantity, as it can be monitored separately, e.g. via an autocollimator measuring rotation of the crossbar. Moreover, it is a *good* observable, because it relates in a simple, straightforward way to any linear force acting on the TM.

III. NORMAL MODES AND FREE MOTION

To validate the model we now compare its predictions with real data from the PETER apparatus [15,18]. Lengths, masses and inertia moments are measured or computed with good accuracy. Spring constants are derived from measurements of resonant frequencies of each oscillator. However, when analyzing a two d.o.f. system, we observe the resonances of the coupled modes, rather than those of the physical oscillators. For this reason, we used preliminary data when the crossbar was clamped and we effectively only had the isolated b pendulum, with the TM moving along four d.o.f. (two swinging modes, one torsional mode and one bouncing mode). We measured the resonant frequencies: $\nu_{\text{torsional}} = 2.2$ mHz, $\nu_{\text{bouncing}} = 8.82$ Hz.

From these measured values, we derived (see [14] for details) the torsional and bouncing spring constants of the b fiber, reported in Table I. We then estimated the spring constants of the a pendulum, by applying the following scaling relations, involving the radii (r_a, r_b) and lengths (l_a, l_b) of the fibers:

TABLE I. Numerical values of the mechanical parameters for the PETER double pendulum. Masses and lengths are measured, inertia moments and spring constants are derived from measured quantities. Experimental errors are of the order of 2%–3% for most values, and 10% for the torsion and bouncing constants. These quantities are used as *input* parameters for the model, yielding the eigenfrequencies listed in Table II.

Inertia matrices [units = (kg m ²)]	
$I_{11}^a = 0.182$	$I_{11}^b = 2.76 \times 10^{-4}$
$I_{22}^a = 0.184$	$I_{22}^b = 2.77 \times 10^{-4}$
$I_{33}^a = 2.38 \times 10^{-2}$	$I_{33}^b = 3.71 \times 10^{-5}$
$I_{23}^a = -8.13 \times 10^{-3}$	
$I_{12}^a = I_{12}^b = I_{13}^a = I_{13}^b = I_{23}^b = 0$	
Masses (kg)	
$m_a = 1.2$	$m_b = 0.11$
Torsional constants (kg m ² s ⁻²)	
$k_a = 1.8 \times 10^{-6}$	$k_b = 7.1 \times 10^{-9}$
Bouncing constants (kg s ⁻²)	
$\kappa_{e,a} = 4804$	$\kappa_{e,b} = 300$
Lengths (cm)	
$d = 15$; $h_c = 3.4$; $Z = 87$;	
$Z_b = 76$; $Z_a = 43$	
$l_a = 65$; $l_b = 65$	
Fiber radii (μm)	
$r_a = 50$; $r_b = 25$	

$$k_a = k_b \left(\frac{r_a}{r_b} \right)^4 \frac{l_b}{l_a}; \quad \kappa_{e,a} = \kappa_{e,b} \left(\frac{r_a}{r_b} \right)^2 \frac{l_b}{l_a}.$$

The lengths of the fibers are redefined by taking into account the static longitudinal deformation (a few mm in both cases) due to their respective loads. The torsion constant of the a pendulum was measured on an independent, dedicated test apparatus, yielding a value in excellent agreement with the above determination via scaling. All physical and geometrical parameters of the double pendulum are summarized in Table I; measurement errors amount to a few percent. By using these values we compute the frequencies of the normal modes, shown in the second column of Table II. A least square fit, constrained within the experimental error bars, then adjusts the mechanical parameters in order to best match the observed normal mode frequencies, reported in column 3 of the same table.

The two pairs $\nu_{3,4}$ (virtually degenerate) and $\nu_{5,6}$ are respectively associated to the swinging motion of the crossbar + counterweights system and of the TM. Indeed, if each pendulum behaved as a decoupled physical pendulum, we would get

$$\nu_{3,4} \approx \sqrt{\frac{m_a g (Z + Z_a)}{I_{11}^a + m_a (Z + Z_a)^2}} = 0.418 \text{ Hz},$$

$$\text{and } \nu_{5,6} \approx \sqrt{\frac{m_b g Z_b}{I_{11}^b + m_b Z_b^2}} = 0.568 \text{ Hz}.$$

There are two main reasons for the line splitting ν_5, ν_6 , associated with the swinging of the TM: the first is that the centers of mass of both the crossbar + counterweight system and of the TM are not aligned with the upper fiber (cf. the case of one d.o.f. [14]); the second is that the inertia matrix of the crossbar + counterweight system is not diagonal. In other words, the b pendulum couples differently to the crossbar system, depending on whether the lower pendulum swings parallel or normal to it. We then solved the equations of free motion [namely the homogeneous

TABLE II. Calculated and measured frequencies of the normal modes. The error on the calculated values are obtained by a Monte Carlo variation of the input parameters within their experimental error, given in Table I.

Normal mode frequencies			
Mode #	Calculated	Measured	Unit
ν_1	1.3 ± 0.1	1.331	mHz
ν_2	2.2 ± 0.2	2.117	mHz
ν_3	0.41 ± 0.01	0.406	Hz
ν_4	0.42 ± 0.01	0.4065	Hz
ν_5	0.59 ± 0.01	0.58815	Hz
ν_6	0.62 ± 0.01	0.6170	Hz
ν_7	8.05 ± 0.6	7.926	Hz
ν_8	10.9 ± 0.8	10.393	Hz

system of equations associated to (A2)] with many arbitrary but “reasonable” initial conditions. From the solutions we have obtained the observable coordinates by using Eq. (4). The solutions were then sampled at 0.1 s, just as in the actual experiment, and from these the spectra of the simulated data stream were computed. A white noise of $9 \text{ nm}/\sqrt{\text{Hz}}$ was added to the model spectra, to simulate the readout noise. Note that damping is not considered in the model; therefore, the width of the resonances is only determined by the length of the time series, that roughly corresponds to 12 hours of data. Finally, we compared these spectra with those of preliminary experimental runs, as shown in Fig. 3. The experimental spectra have a much larger wide band noise that is partly due to tilt effects and partly under investigation. Similar comparisons have been carried out for the rotational observables (φ , η , θ) that are measured by the GRS.

We note that the model well replicates most of the features of the actual experiment, including the nontrivial prediction about which resonances appear in a given observable channel. We verify, for example, that the swinging resonances of the lower fiber, at 0.6 Hz in Fig. 3, are split in a doublet (ν_5 and ν_6), as stated above. The X (and η , not shown) channels only see the ν_6 mode, and that is correctly predicted by the simulation. However,

discrepancies remain: there are modes that show up in some channels in the data, while not predicted as, e.g., ν_6 in the Y and Z spectra. We recall however that the comparison is not completely fair: simulated data only predict the *free* motion of the double pendulum, while the instrument is certainly driven by external disturbances. Besides, unavoidable asymmetries in the assembly of the system (e.g., an imperfect match of weight on the four arms of the crossbar, that can lead to the crossbar lying at rest in a nonhorizontal plane) are not accounted for in the model, and can easily lead to the appearance of these modes.

In the following section we discuss how to include driving effects, through the formalism of Lagrangian generalized forces. We apply this method, as an example, to one of the most obvious and easily measurable of these external noise sources, i.e. floor tilt, and show how this forcing term, even if only relevant at very low frequencies, can produce excitation of higher modes.

In order to further validate the model, we have undertaken another comparison: the TM was moved away from equilibrium by a large *kick* along the *x*-axis by the electrostatic actuation, and then released. We obtain a good approximation of free motion, as possible disturbances are only relevant at smaller amplitudes. The same initial conditions were then used for the model, and the resulting

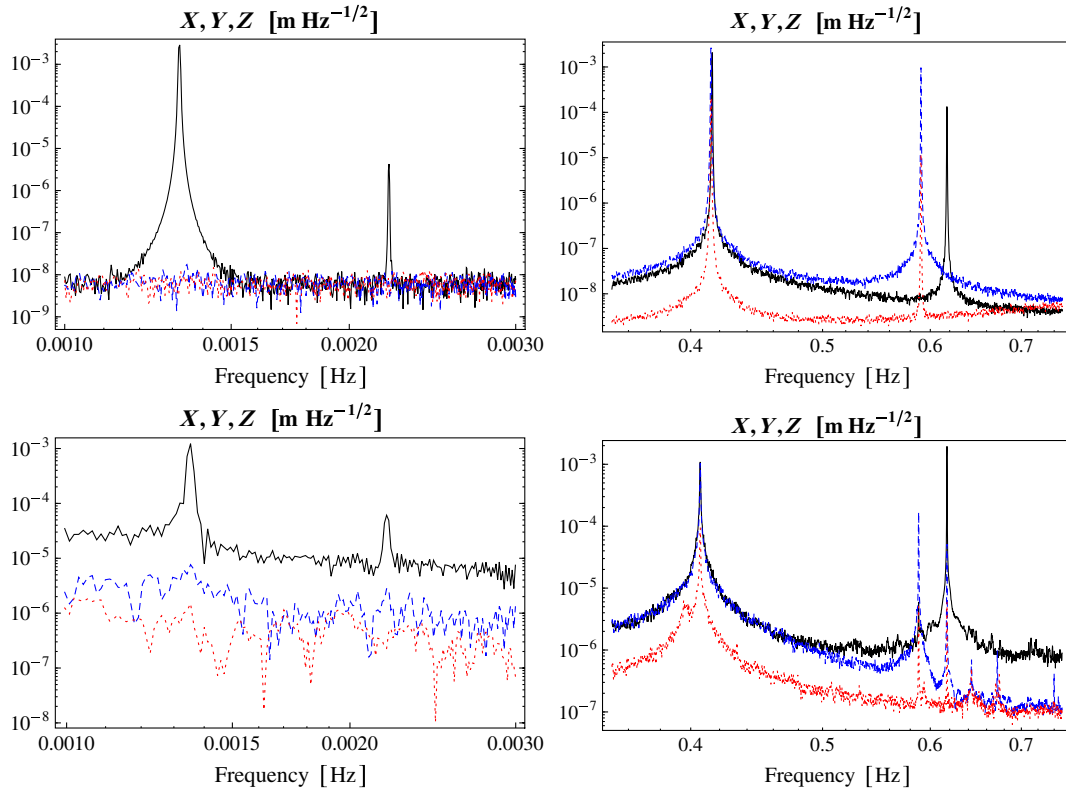


FIG. 3 (color online). Amplitude spectral densities of observable displacements X, Y, Z. Left: In the frequency region around the torsional resonances. Right: In the intermediate frequency range, where the swinging resonances are observed. Upper plots show the model predictions, while the lower plots display the experimental data. Solid line (black online): X, dashed line (blue online): Y, dotted lines (red online): Z. Only the motion along X is activated at the torsion eigenfrequencies.

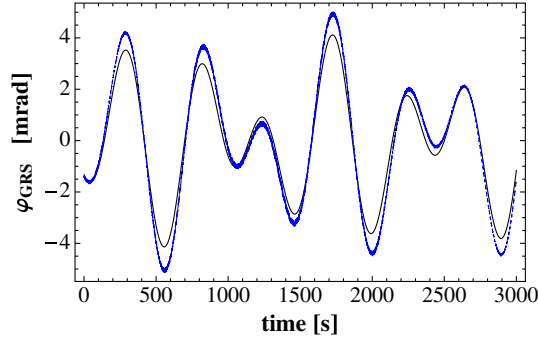


FIG. 4 (color online). Measured (dashed blue) and simulated (continuous black) φ evolution of a large amplitude, virtually free motion: low frequency behavior of the model well replicates the experimental data. The slight mismatch in the amplitudes of vibration can easily be due to imperfect matching of the gain calibration coefficients ($\pm 2\%$) or experimental errors on the model parameters.

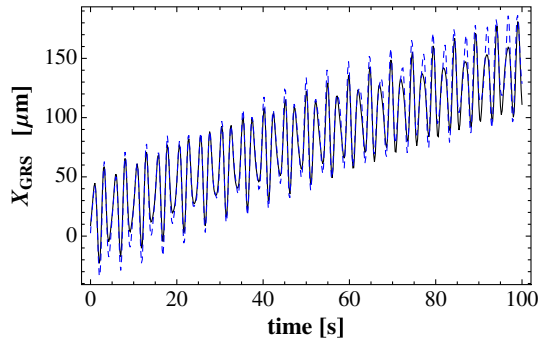


FIG. 5 (color online). Measured (dashed blue) and computed (continuous black) X evolution of a large amplitude, virtually free motion. A fraction of a torsion period is shown, in order to better display the motion at the higher, swinging frequencies.

motion calculated. Figure 4 shows the comparison of real and simulated data for the φ channel of the GRS: while the match of the low frequency behavior (a sum of the two normal mode oscillations at ν_1 and ν_2) is excellent, the measured data show a modulation at the swinging frequencies that the model does not replicate. However, Fig. 5 shows a zoom on a smaller stretch of time of the X observable, showing a good agreement also for the motion at the swinging frequencies.

IV. GENERALIZED FORCES

A pendulum is not, in general, an isolated system; PETER’s geometry is even more susceptible to external disturbances such as the seismic vibration of the laboratory, parasitic electrical or magnetic fields, mechanical dissipations etc. All these can be modeled as time-dependent forces and torques applied to the crossbar and/or the TM. Moreover, by changing the voltage on the

electrodes one can induce on the TM a deterministic force and/or torque (actuation). Actuators can be periodic functions, but it is also possible to induce a “viscous damping” in order to reduce the amplitude of the oscillations of the TM.

A. Small external disturbances

In the most general case, two forces (F_a, F_b) and two torques (M_a, M_b) with arbitrary intensity and direction must be considered. Momenta are calculated with respect to the center of mass of the crossbar + counterweights system and of the TM, respectively. In the limit of small displacements, we can perform a series expansion and keep the lowest order nontrivial terms.

In the general case of a nonisolated system of M particles with N d.o.f. ($N < 3M$ if there are constraints, in our case, simply, $N = 2$), we can write the Euler-Lagrange equations in the presence of generalized forces:

$$\frac{d}{dt} \frac{\partial \mathcal{L}}{\partial \dot{q}_h} - \frac{\partial \mathcal{L}}{\partial q_h} = Q_h;$$

$$Q_h = \sum_{j=1}^M \mathbf{F}_j \cdot \frac{\partial \mathbf{r}_j}{\partial q_h} \quad h = 1, \dots, N,$$

where \mathbf{F}_j is the force applied on the j th particle. If the system consists of a set of M' rigid bodies, the generalized forces are written as

$$Q_h = \sum_{i=1}^{M'} \left[\frac{\partial \mathbf{r}_{cm,i}}{\partial q_h} \cdot \mathbf{F}_{T,i} + \frac{\partial \boldsymbol{\psi}_i}{\partial q_h} \cdot \mathbf{M}_i \right], \quad (5)$$

where the $\mathbf{F}_{T,i}$ and \mathbf{M}_i are respectively the resulting external force and torque and the $\boldsymbol{\psi}_i$ are implicitly given by

$$\boldsymbol{\omega}_i = \sum_h \frac{\partial \boldsymbol{\psi}_i}{\partial q_h} \dot{q}_h.$$

We apply Eq. (5) to our case ($M' = 2$), with two generic forces F_a, F_b and torques M_a, M_b applied on the TM and the crossbar. We report in the Appendix the explicit expressions for the Q_h , linearized in the configuration variables.

B. Seismic effects

Generalized forces can be used to perform an analysis of seismic effects on the motion of PETER. With respect to an ideal inertial frame, the floor of the laboratory is subject to small translations and rotations (“tilt” noise). Tilt is an unavoidable source of disturbance for all pendulums, but is particularly offending in an off-axis geometry as in the case of PETER, where tilt couples directly to the X translational observable. We apply here our model to calculate how the observables of PETER are affected by the motion of the ground. We assume, for simplicity, the vacuum chamber where the pendulum is housed to be a rigid

body (this is certainly true at low frequencies) and we neglect the flexural stiffness of the fibers [19].

The tilt of the ground with respect to a “fixed” frame is described by an angular velocity that we express, for small angles, as $\boldsymbol{\omega}_t = (\dot{\theta}_t(t), \dot{\eta}_t(t), \dot{\varphi}_t(t))$. We focus here on rotations, neglecting for the time being the translation of the ground, as well as the negligible rotation on the horizontal plane, $\varphi_t(t)$. Indeed, $(\theta_t(t), \eta_t(t))$ are directly measured by a tilt-meter and can readily provide a test for the model. The whole (rigid) structure rotates around its base with angular velocity $\boldsymbol{\omega}_t$ and, consequently, the upper fiber suspension point (that is at a distance h from the ground) is affected by a linear acceleration $\ddot{\mathbf{r}}_t = h(\ddot{\eta}_t, -\ddot{\theta}_t, 0)$.

We have derived the Lagrangian of the double pendulum in an inertial frame. Since gravitational potential energy depends on the elevations of test mass and crossbar, the coordinates θ_a and η_a must be corrected, by adding the corresponding tilt angles, as $\theta_a + \theta_t$ and $\eta_a + \eta_t$, while all other coordinates remain unchanged. After this change in the Lagrangian, we must add to the resulting equations of motion the external (apparent) forces due to acceleration of the origin and to angular velocity. In the resulting, well known expression for the force on a generic mass element dm , of coordinate \mathbf{r} , in an accelerated system,

$$d\mathbf{F}_{\text{app}} = -dm(\ddot{\mathbf{r}}_t + \boldsymbol{\omega}_t \times (\boldsymbol{\omega}_t \times \mathbf{r}) + 2\boldsymbol{\omega}_t \times \dot{\mathbf{r}} + \dot{\boldsymbol{\omega}}_t \times \mathbf{r}) \quad (6)$$

we can safely neglect, for small $\boldsymbol{\omega}_t$ and small oscillations, both the centrifugal and the Coriolis terms that represent second order corrections. The only relevant terms are then the first and the last one.

We now compute the total moment of the apparent forces with respect to the center of mass \mathbf{r}_g of a rigid body, by integrating over its volume. With the substitution $\mathbf{r}^* = \mathbf{r} - \mathbf{r}_g$, and making repeated use of the property $\int \mathbf{r}^* dm = 0$, we obtain

$$\mathbf{M}_{\text{app}} \doteq \int \mathbf{r}^* \times d\mathbf{F}_{\text{app},i} = - \int \mathbf{r}^* \times (\ddot{\mathbf{r}}_t + \dot{\boldsymbol{\omega}}_t \times \mathbf{r}) dm.$$

As the first term is zero,

$$\begin{aligned} \mathbf{M}_{\text{app}} &= - \int \mathbf{r}^* \times (\dot{\boldsymbol{\omega}}_t \times \mathbf{r}_g) dm - \int \mathbf{r}^* \times (\dot{\boldsymbol{\omega}}_t \times \mathbf{r}^*) dm \\ &= -\mathbf{I} \cdot \dot{\boldsymbol{\omega}}_t, \end{aligned}$$

where \mathbf{I} is the inertia matrix of the rigid body.

In this way, we have derived the apparent forces and torques acting on the test mass and crossbar:

$$\begin{aligned} \mathbf{F}_{\text{app},a} &= -m_a(\ddot{\mathbf{r}}_t + \dot{\boldsymbol{\omega}}_t \times \mathbf{r}_{g,a}); \\ \mathbf{F}_{\text{app},b} &= -m_b(\ddot{\mathbf{r}}_t + \dot{\boldsymbol{\omega}}_t \times \mathbf{r}_{g,b}); \\ \mathbf{M}_{\text{app},a} &= -\mathbf{I}_a \cdot \dot{\boldsymbol{\omega}}_t; \\ \mathbf{M}_{\text{app},b} &= -\mathbf{I}_b \cdot \dot{\boldsymbol{\omega}}_t. \end{aligned}$$

Inserting these expressions into Eq. (5), we can now explicitly compute the effect of tilt motion on the torsion pendulum observables.

We report in Fig. 6 the transfer functions from tilt angles $(\theta_t, \eta_t, \varphi_t)$ to the X , φ and φ_a coordinates that monitor the soft d.o.f. and are therefore of special interest. We observe that, when the frequency approaches zero (below the torsional resonances), $X \rightarrow -\eta_t(Z + Z_b + h_c)$ and, analogously (not shown), $Y \rightarrow \theta_t(Z + Z_b + h_c)$. This shows that tilt directly couples to the translational variables with a scale factor that is just the overall length of the double pendulum. All other transfer functions tend to zero at low frequency, and therefore do not affect the observables in the range of interest.

Using the above described transfer functions, too cumbersome to be written here, it is possible to calculate the spectra of the observables (in particular, X), as expected from tilt measurements. This allows us to assess the role of tilt in the low frequency (below torsional resonances) noise, and its predicted effect on the X variable. Tilt is indeed responsible for the observed high level of off-resonance noise in the measured spectrum.

Incidentally, we note that the torsional observable φ responds to tilt motion η_t at the swinging modes ($\nu_3 \div \nu_6$): this is, probably, the reason why these resonances are observed in the φ data, while not predicted by the solution of free motion. Besides, from the second and third panes of Fig. 6, we notice that tilt couples into the ϕ , ϕ_a motions of the pendulum with different slopes (toward zero frequency) depending on whether it originates from ground motion in the θ_t ($\propto \omega^2$) or in the η_t ($\propto \omega^4$) directions: this nonintuitive piece of information will be relevant in designing feedback systems that compensate tilt [20], or even for numerically subtracting its measured value from the data.

An analogous analysis can be carried on to evaluate the additional effect of translational floor motion. This is not as interesting because these transfer functions would all vanish toward zero frequency, when the suspension point rigidly translates together with the entire enclosure and, in particular, with the GRS. Besides, as there is no effective way to measure such motion, calculation of these transfer functions is of little use.

C. Low frequency behavior: the two *soft* d.o.f.

In many practical cases, we are mainly interested in the low frequency dynamics of the system, i.e. in the region around its two *soft*, torsional resonances, namely between 1 and 3 mHz. It can then be useful to simplify our model in the limit where all swinging and bouncing motions can be neglected: we are left with the two torsional motions described by the two angles φ_a and φ_b , and governed by the equations

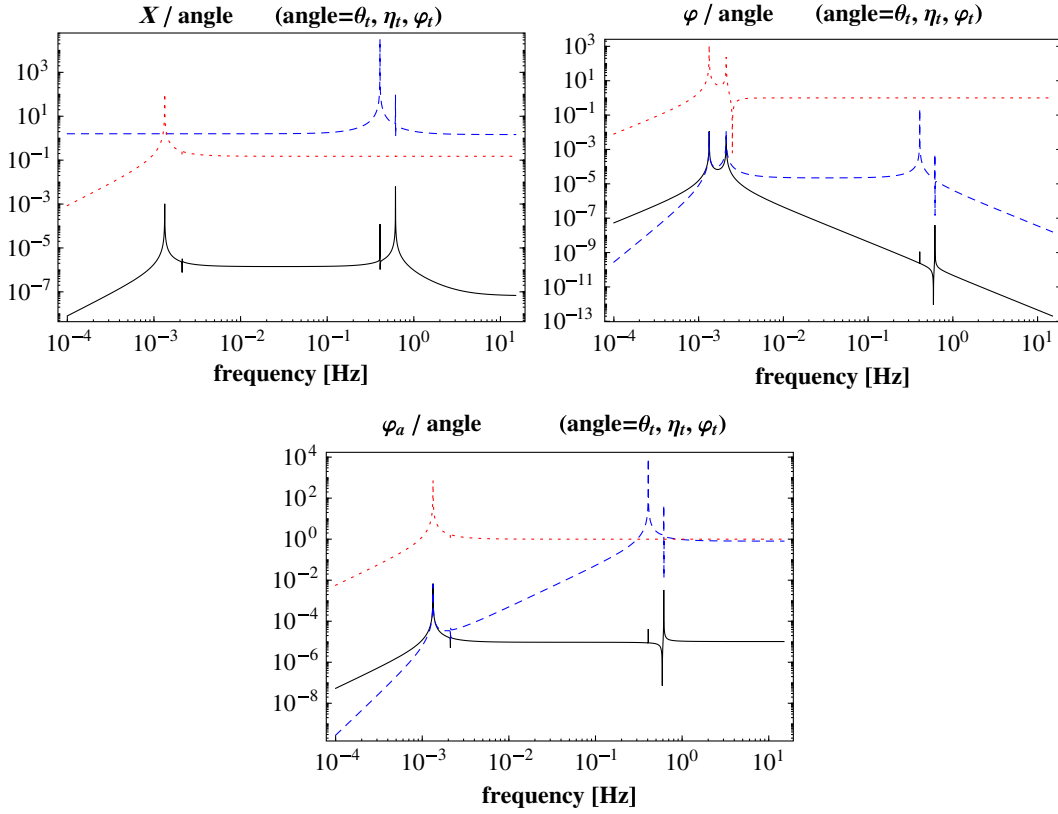


FIG. 6 (color online). Transfer functions for the observables X (left panel), φ (right panel) and φ_a (bottom panel) relative to the ground tilt angles θ_t (solid lines), η_t (dashed), φ_t (dotted).

$$\begin{aligned} \ddot{\varphi}_a(I_{33}^b + dy_a(m_a + m_b) + I_{33}^a) + I_{33}^b \ddot{\varphi}_b + k_a \varphi_a &= Q_{\varphi,a} \\ I_{33}^b \ddot{\varphi}_a + I_{33}^b \ddot{\varphi}_b + k_b \varphi_b &= Q_{\varphi,b}, \end{aligned} \quad (7)$$

with $Q_{\varphi_a} = M_{a,z} + dF_{b,x} + M_{b,z}$, and $Q_{\varphi_b} = M_{b,z}$.

It might be useful to reexpress the equations in terms of the observables measured by the GRS (and defined in the inertial system). In the limit considered, where the crossbar and TM move rigidly together (except for torsions), we have

$$X_{\text{GRS}} = \varphi_a d \quad \varphi = \varphi_a + \varphi_b. \quad (8)$$

The corresponding quadratic Lagrangian is

$$\mathcal{L}_T = \frac{1}{2} I_c \dot{\varphi}_a^2 + \frac{1}{2} I_t \dot{\varphi}^2 - \frac{1}{2} k_a \varphi_a^2 - \frac{1}{2} k_b (\varphi_a - \varphi)^2, \quad (9)$$

where $I_t = I_{33}^b$ and $I_c = I_{33}^a + dy_a(m_a + m_b)$.

The (forced) Euler-Lagrange equations obtained from \mathcal{L}_T are

$$I_c \ddot{\varphi}_a + k_a \varphi_a - k_b (\varphi - \varphi_a) = M_a, \quad (10)$$

$$I_t \ddot{\varphi} + k_b (\varphi - \varphi_a) = M_b. \quad (11)$$

This simplified model is adequate to describe most of the behavior of the double pendulum at frequencies near or

below the torsion resonances. However, its predictions might become inaccurate in instances where disturbances feed into the low frequency part of the spectrum through coupling with higher modes.

As an example, consider the dashed line in the first and third panes of Fig. 6: toward zero frequency, the ratio of X_{GRS} to φ_a is clearly not a constant [as Eq. (8) would predict] when the motion is originated by tilt η_t : indeed, it scales as ω^{-4} . Although this does not help in building a tilt-immune pendulum, it points out the faults and limitations of using a too simplified model for a careful analysis of the data.

V. CONCLUSIONS

We have described an eight d.o.f. Lagrangian model that provides a suitable account for the motion of a double torsion pendulum, focusing on the peculiar geometry of our PETER device. The model fully describes its free dynamics and its response to external disturbances, and can accurately predict the torsional, swinging pendulum and bouncing resonances. The model is otherwise “necessary” to obtain a correct account of external forces and torques acting on the system. In particular, it gives a good account of the tilt noise, which feeds into the low frequency motion of the double pendulum via a nontrivial coupling among the various d.o.f.

The number and location of resonance peaks are correctly predicted and are used for a first validation of the model with preliminary data. The transfer functions concerning seismic noise components allow us to evaluate the response of the observables, with particular emphasis on the soft translational motion. Dissipation effects were not considered here, in order to keep the equations manageable. However, it is possible to extend the Lagrangian formalism, via the Rayleigh dissipation function, to account for frictional forces. This is actually straightforward in the low frequency, two d.o.f. limit (cf. Sec. IV C), where dissipative effects are taken into account in order to predict, via the fluctuation-dissipation theorem, the fundamental limits of sensitivity of the system [18].

ACKNOWLEDGMENTS

The continued advice and support of S. Vitale, the Trento LISA-Pathfinder group, and, in particular, W.J. Weber is appreciated. Work supported by INFN and by MIUR (Grant PRIN 2008).

APPENDIX: EQUATIONS OF MOTION IN LAGRANGIAN COORDINATES

We define the following quantities, that are, in essence, modified moments of inertia (the first six are, e.g., referred to the upper suspension point). The last term is proportional to the z coordinate of the center of mass.

$$\begin{aligned}
 I_{a,1} &= I_{11}^a + m_a[(Z + Z_a)^2 + y_a^2], & I_{b,1} &= I_{11}^b + m_b[(Z + Z_b)^2 + d^2], & I_{a,2} &= I_{22}^a + m_a(Z + Z_a)^2, \\
 I_{b,2} &= I_{22}^b + m_b(Z + Z_b)^2, & I_{a,3} &= I_{33}^a + m_a y_a^2, & I_{b,3} &= I_{33}^b + m_b d^2, & I_{b,4} &= I_{11}^b + m_b Z_b^2, \\
 I_{a,4} &= I_{23}^a + m_b d(Z_a - Z_b), & I_{b,5} &= I_{11}^b + m_b Z_b(Z + Z_b), & c_1 &= m_b(Z + Z_b) + m_a(Z + Z_a).
 \end{aligned} \tag{A1}$$

By assuming $I_{22}^b = I_{11}^b$; $I_{12}^a = I_{13}^a = 0$, the equations of motion for the double pendulum are

$$\begin{aligned}
 (I_{a,1} + I_{b,1})\ddot{\theta}_a + I_{b,5}\ddot{\theta}_b + m_b d \ddot{\delta}_b + g c_1 \theta_a + m_b g Z_b \theta_b &= Q_{\theta,a}, \\
 (I_{a,2} + I_{b,2})\ddot{\eta}_a + I_{a,4}\ddot{\varphi}_a + I_{b,5}\ddot{\eta}_b + g c_1 \eta_a + m_b g Z_b \eta_b &= Q_{\eta,a}, \\
 (I_{a,3} + I_{b,3})\ddot{\varphi}_a + I_{33}^b \ddot{\varphi}_b + I_{a,4}\ddot{\eta}_a - m_b d Z_b \ddot{\eta}_b + k_a \varphi_a &= Q_{\varphi,a}, \\
 (m_a + m_b)\ddot{\delta}_a + m_b \ddot{\delta}_b + \delta_a \kappa_{e,a} &= Q_{\delta,a}, \\
 I_{b,5}\ddot{\theta}_a + I_{b,4}\ddot{\theta}_b + m_b g Z_b (\theta_a + \theta_b) &= Q_{\theta,b}, \\
 I_{b,5}\ddot{\eta}_a + I_{b,4}\ddot{\eta}_b - m_b d Z_b \ddot{\varphi}_a + m_b g Z_b (\eta_a + \eta_b) &= Q_{\eta,b}, \\
 I_{33}^b \ddot{\varphi}_a + I_{33}^b \ddot{\varphi}_b + k_b \varphi_b &= Q_{\varphi,b}, \\
 m_b \ddot{\delta}_a + m_b \ddot{\delta}_b + d m_b \ddot{\theta}_a + \delta_b \kappa_{e,b} &= Q_{\delta,b}.
 \end{aligned} \tag{A2}$$

Generalized forces in Lagrangian coordinates are

$$\begin{aligned}
 Q_{\theta_a} &= \theta_a(-y_a F_{a,y} + (Z + Z_b)F_{b,z} + (Z + Z_a)F_{a,z} + dF_{b,y}) + y_a F_{a,z} + \delta_a(F_{a,y} + F_{b,y}) + (Z + Z_b)F_{b,y} \\
 &\quad + (Z + Z_a)F_{a,y} + M_{a,x} - dF_{b,z} + \delta_b F_{b,y} + \theta_b Z_b F_{b,z} + M_{b,x}, \\
 Q_{\eta_a} &= \frac{d\varphi_a m_b F_{a,z}}{m_a} - d\varphi_a F_{b,z} - \delta_a(F_{a,x} + F_{b,x}) - (Z + Z_b)F_{b,x} + \eta_a((Z + Z_b)F_{b,z} + (Z + Z_a)F_{a,z}) \\
 &\quad + \theta_a(M_{a,z} + M_{b,z}) - (Z + Z_a)F_{a,x} + M_{a,y} - \delta_b F_{b,x} + \eta_b Z_b F_{b,z} + M_{b,y}, \\
 Q_{\varphi_a} &= -\frac{d m_b (F_{a,x} + \varphi_a F_{a,y} - \eta_a F_{a,z})}{m_a} + d\varphi_a F_{b,y} - d\eta_a F_{b,z} + \eta_a M_{b,x} - \theta_a(M_{a,y} + M_{b,y}) + \eta_a M_{a,x} \\
 &\quad + M_{a,z} + F_{b,x}(d - \theta_b Z_b) - \eta_b Z_b F_{b,y} + M_{b,z}, \\
 Q_{\delta_a} &= -\eta_a(F_{a,x} + F_{b,x}) + \theta_a(F_{a,y} + F_{b,y}) - F_{a,z} - F_{b,z}, \\
 Q_{\theta_b} &= Z_b(-\varphi_a F_{b,x} + (\theta_a + \theta_b)F_{b,z} + F_{b,y}) + \varphi_a M_{b,y} - \eta_a M_{b,z} + \delta_b F_{b,y} + M_{b,x}, \\
 Q_{\eta_b} &= Z_b(-\varphi_a F_{b,y} + (\eta_a + \eta_b)F_{b,z} - F_{b,x}) - \varphi_a M_{b,x} + (\theta_a + \theta_b)M_{b,z} - \delta_b F_{b,x} + M_{b,y}, \\
 Q_{\varphi_b} &= (\eta_a + \eta_b)M_{b,x} - (\theta_a + \theta_b)M_{b,y} + M_{b,z}, & Q_{\delta_b} &= -(\eta_a + \eta_b)F_{b,x} + (\theta_a + \theta_b)F_{b,y} - F_{b,z}.
 \end{aligned}$$

We actually used a further simplified version of these expressions, where we only retain terms of order zero in the configuration variables:

$$\begin{aligned}
 Q_{\theta,a} &\simeq F_{b,y}(Z + Z_b) + F_{a,y}(Z + Z_a) + M_{a,x} + M_{b,x} + y_a F_{a,z} - dF_{b,z}, \\
 Q_{\eta,a} &\simeq -F_{b,x}(Z + Z_b) - F_{a,x}(Z + Z_a) + M_{a,y} + M_{b,y}, & Q_{\varphi,a} &\simeq -y_a F_{a,x} + dF_{b,x} + M_{a,z} + M_{b,z}, \\
 Q_{\delta,a} &\simeq -F_{a,z} - F_{b,z}, & Q_{\theta,b} &\simeq F_{b,y}Z_b + M_{b,x}, & Q_{\eta,b} &\simeq -F_{b,x}Z_b + M_{b,y}, & Q_{\varphi,b} &\simeq M_{b,z}, & Q_{\delta,b} &\simeq -F_{b,z}.
 \end{aligned}$$

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- $$k_{\text{el}} = \frac{\sqrt{TEI}}{2l_a^2} \simeq 5 \times 10^{-4} \text{ Nm}^{-1};$$
- $$k_{\text{grav}} = T/l_a \simeq 21.1 \text{ Nm}^{-1}.$$
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