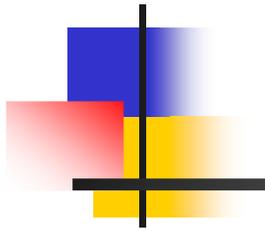
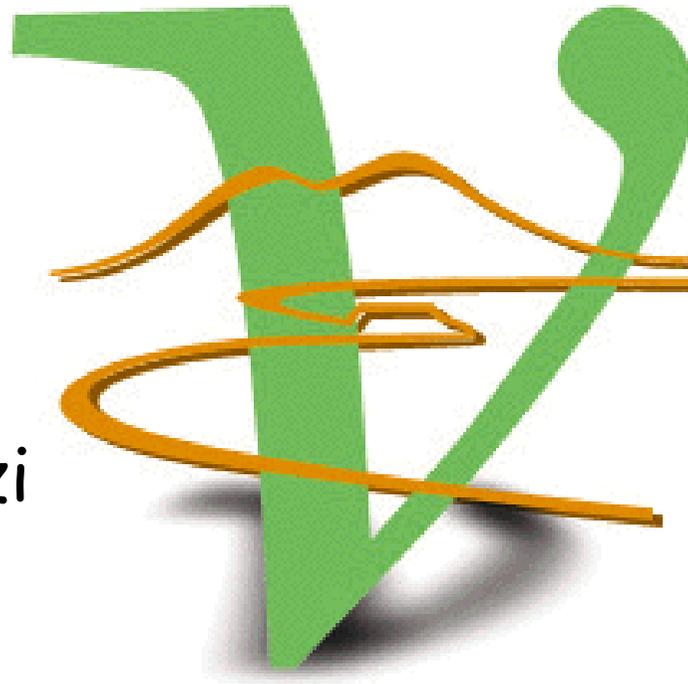


# Le oscillazioni di neutrino e l'esperimento OPERA

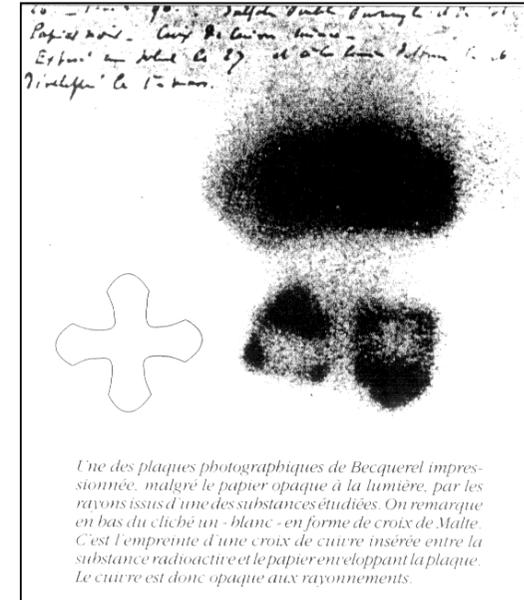


Pasquale Migliozzi  
INFN - Napoli



# The discovery of the radioactivity

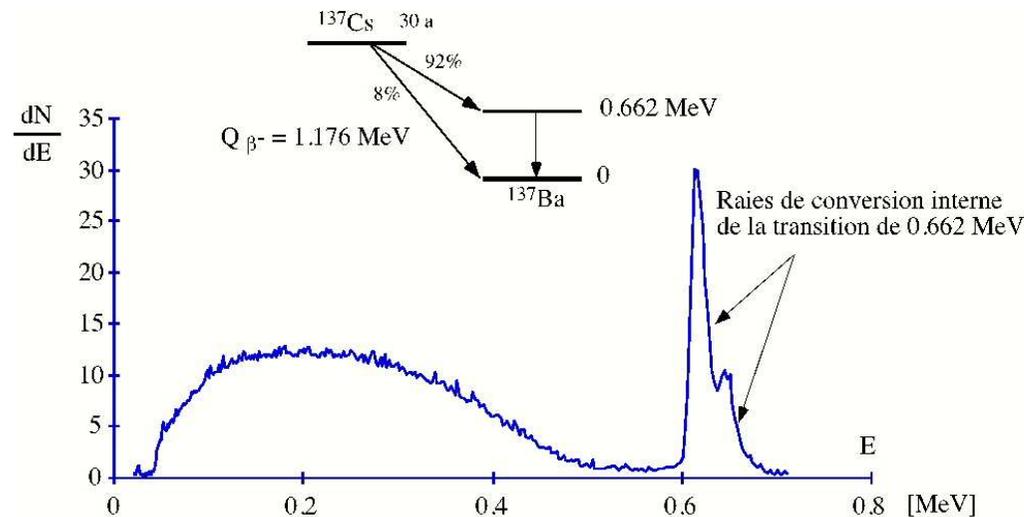
- ✓ In 1896 H. Becquerel discovered “by chance” that uranium salts emit a new type of radiation (le rayon uraniques)
- ✓ In a series of experiments, from 1897-1902, Rutherford, Chadwick, Curie and Villard show that the radiations are of three radiation (helium nuclei), (electrons) and (very energetic photons)



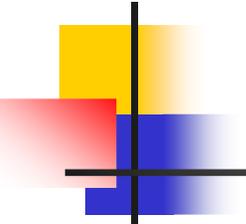
# The continuous $\beta$ spectrum

At that time the common believe was that the electron is emitted alone in a  $\beta$  decay  $\Rightarrow$  mono-energetic electron

**BUT** several experiments confirmed the continuous spectrum of electrons emitted in a  $\beta$  decay



Spectre d'énergie des bêta mesuré à l'IPHE



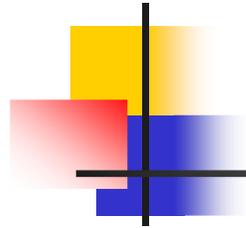
# Two possible explanations for the continuous $\beta$ spectrum

✓ **Niels Bohr** Non conservation of the energy

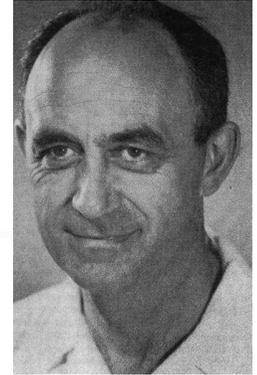
"... at the present stage of atomic theory, however, we may say that we have no argument, either empirical or theoretical, for upholding the energy principle in the case of  $\beta$  decay, are even led to complications in trying to do so. Of course, a radical departure from this principle would imply strange consequences..."

✓ **Wolfgang Pauli** "A desperate way out"

"... there could exist in the nucleus electrically neutral particles, which I shall call *neutronen*, which have spin  $\frac{1}{2}$  and satisfy the exclusion principle and which are further distinct from light-quanta in that they move with light velocity. The mass of the *neutronen* should be of the same order of magnitude as the electron mass and in any case not larger than 0.01 proton mass. The continuous  $\beta$  spectrum would then become understandable from the assumption that in  $\beta$  decay a *neutronen* is emitted along with the electron, in such a way that the sum of the energies of the *neutronen* and the electron is constant."



# The Fermi theory of $\beta$ decay



The basic assumptions of the Fermi theory (1933) are

- A neutral particle (called *neutrino* by Fermi) is emitted along with the  $e^-$  in  $\beta$  decay.
- The nucleus consists of protons and neutrons
- The total number of  $e^-$  and  $\nu$  is not necessarily constant. Moreover he stated "... to every transition from neutron to proton is correlated the *creation* of an  $e^-$  and  $\nu$  ... Note that by this the conservation of the charge is assured..."
- Protons and neutrons might be simply different quantum states of the same basic particle (**Isospin hypothesis W. Heisenberg**)
- The *weak interaction* (the new force responsible for the  $\beta$  decay) is a contact interaction

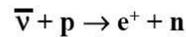
# After about 60 years....

1930	$\nu$ existence postulated	Pauli
1934	$\nu$ interaction theory and name	Fermi
1938	Solar $\nu$ flux calculation	Bethe
1946	Idea of $\nu$ chlorine detector	Pontecorvo
1956	$\nu$ interactions observed	Reines & Cowan

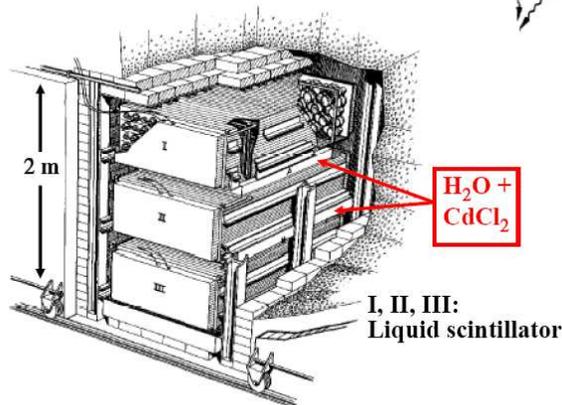
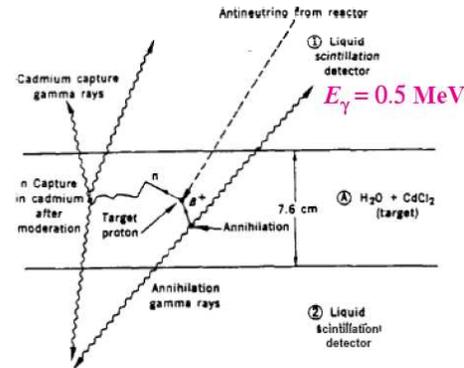
I have done a terrible thing:  
I have postulated a particle that  
cannot be detected  
Wolfgang Pauli

## First neutrino detection

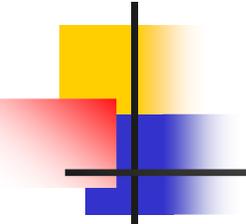
(Reines, Cowan 1953)



- detect 0.5 MeV  $\gamma$ -rays from  $e^+e^- \rightarrow \gamma\gamma$  ( $t = 0$ )
- neutron "thermalization" followed by capture in Cd nuclei  $\Rightarrow$  emission of delayed  $\gamma$ -rays (average delay  $\sim 30 \mu\text{s}$ )



Event rate at the Savannah River nuclear power plant:  
 $3.0 \pm 0.2$  events / hour  
(after subtracting event rate measured with reactor OFF)  
in agreement with expectations



## The troublesome neutrino history is not over...

- In 1957 a wrong rumor reached B. Pontecorvo: R. Davies had observed the reaction



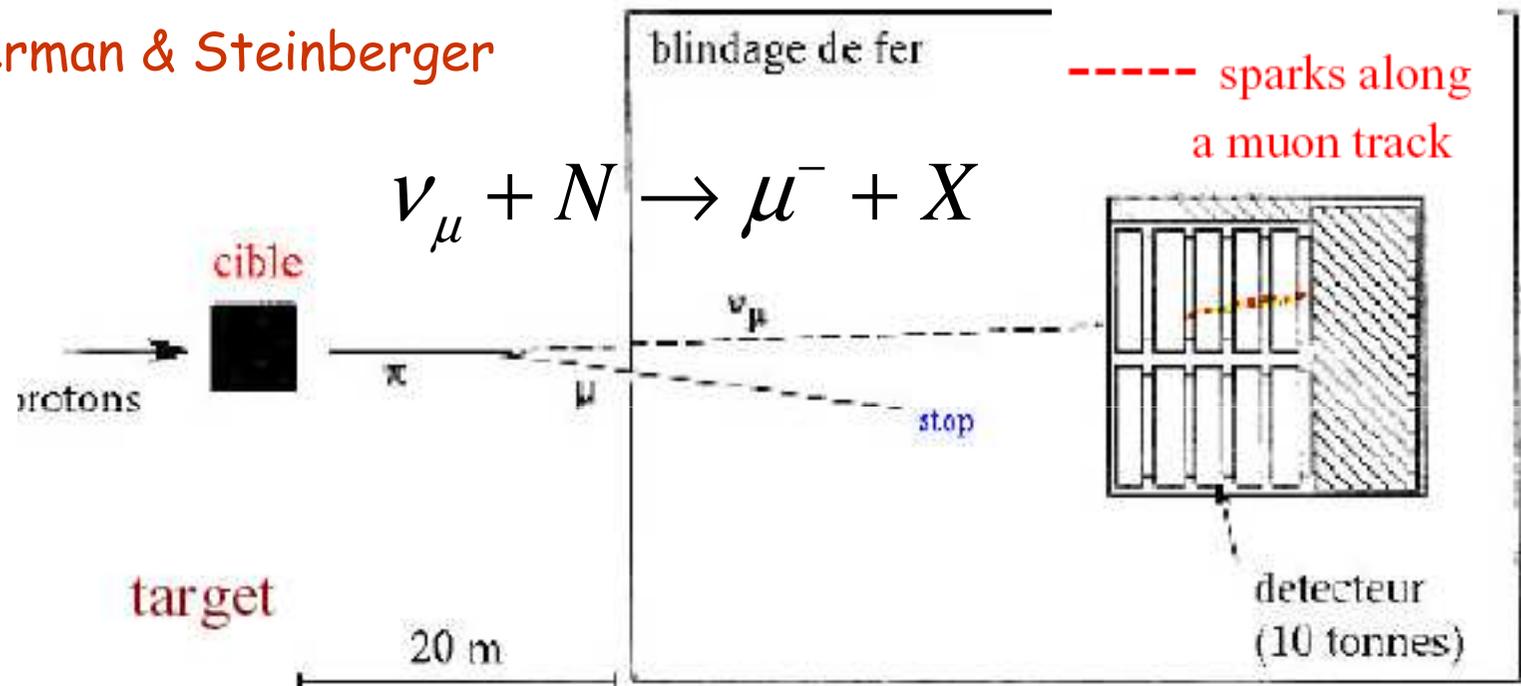
- This is an example of Leptonic Number Violation: B. Pontecorvo postulated the existence of a new interaction that allows (in analogy with the  $K^0$ - $K^0$ bar)



- Note that at the time of this hypothesis only one type of neutrinos was known

# The discovery of $\nu_\mu$ (1962)

Swartz, Lederman & Steinberger



Immediately after this result B. Pontecorvo formulated his neutrino oscillation theory in terms of transition between flavour!

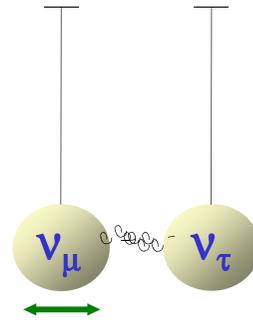
# Coupled pendulums' analogy

Production

## Classical physics

Movement of pendulum  $v_\mu$   
("visible" eigenstate)

## Coupling



## Quantum mechanics

$v_\mu$  production  
(Weak Int. eigenstate)

## Mixing

Propagation based on

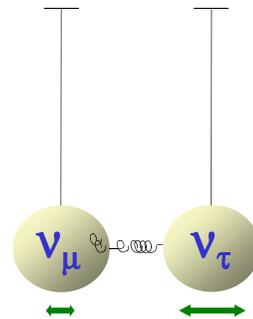
Principal modes of oscillation  $v_1 v_2$   
(with different time evolution)



Mass eigenstates  $v_1 v_2$   
(with different space-time evolution)

Observation

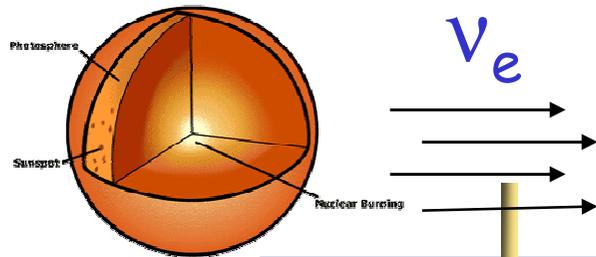
energy "oscillates"  
from  $v_\mu$  to  $v_\tau$  and back



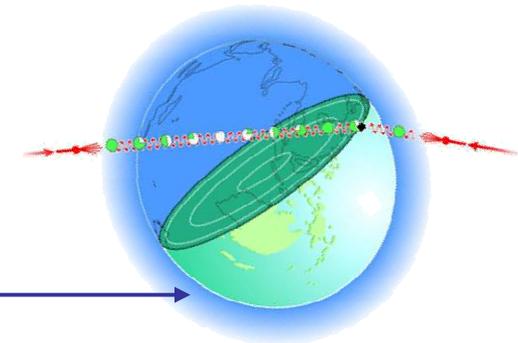
$v_\mu$  and  $v_\tau$   
disappear and appear  
with space-time

# Neutrino oscillation formalism (I)

source



detection



propagation

L

The weak interaction produces neutrinos of a given flavor

$$\begin{aligned}
 |\nu(x_0)\rangle &= |\nu_e\rangle \\
 &= c|\nu_1\rangle + s|\nu_2\rangle
 \end{aligned}$$

The mass eigenstates propagate at different velocities

$$\begin{aligned}
 |\nu(x)\rangle &= c|\nu_1\rangle e^{i(Et - k_1 x)} \\
 &+ s|\nu_2\rangle e^{i(Et - k_2 x)}
 \end{aligned}$$

Detection again via weak interaction

$$\nu_\mu N \rightarrow \mu^- X$$

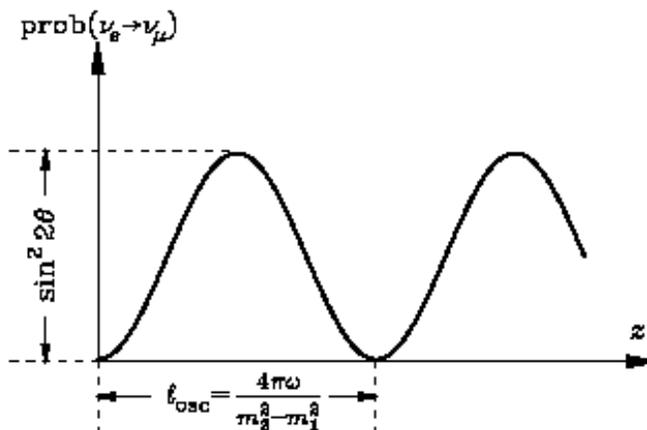
$$\nu_e N \rightarrow e^- X$$

$$P(\nu_e \rightarrow \nu_\mu) = |\langle \nu_\mu | \nu(t) \rangle|^2$$

# Neutrino oscillation formalism (II)

$$P_{\nu_e \rightarrow \nu_\mu}(L) = \sin^2(2\theta) \sin^2\left(1.27 \frac{\Delta m^2 (eV^2)}{E (GeV)} L (km)\right)$$

$$P_{\nu_e \rightarrow \nu_e}(L) = 1 - P_{\nu_e \rightarrow \nu_\mu}(L)$$



$$L_{\text{osc}} (Km) \approx \frac{E (GeV)}{1.27 \Delta m^2 (eV^2)}$$

# Neutrino oscillation formalism (III)

## 3x3 Unitary Mixing Matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

## PMNS (Pontecorvo-Maki-Nakagawa-Sakata) Matrix

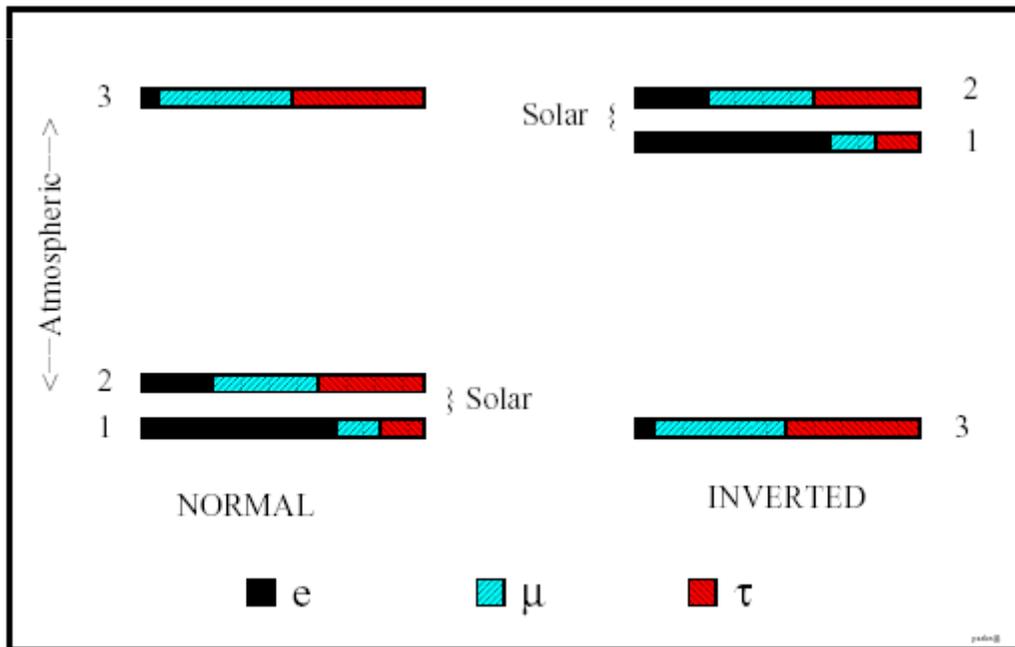
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

**Atmospheric terms**                      **Unknown terms**                      **Solar terms**

$$c_{ij} = \cos\theta_{ij}, \quad s_{ij} = \sin\theta_{ij}$$

# Neutrino oscillation formalism (IV)

- Mixing parameters:  $U = U(\theta_{12}, \theta_{13}, \theta_{23}, \delta)$  as for CKM matrix
- Mass-gap parameters:  $M^2 = \Delta m_{12}^2, \pm \Delta m_{23}^2$



The absolute neutrino mass scale should be set by direct mass measurements:

- $\beta$ -decay
- $0\nu 2\beta$ -decay
- "W-MAP"

# Disappearance experiment

Use a beam of  $\nu_\alpha$  and measure  $\nu_\alpha$  flux at distance  $L$  from source

Measure

$$\mathcal{P}_{\alpha\alpha} = 1 - \sum_{\beta \neq \alpha} \mathcal{P}_{\alpha\beta}$$

Examples:

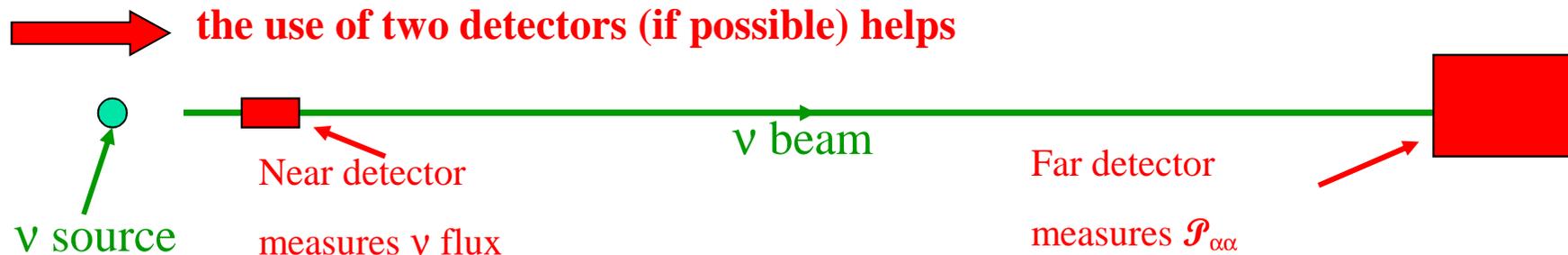
- Oscillation experiments using  $\bar{\nu}_e$  from nuclear reactors

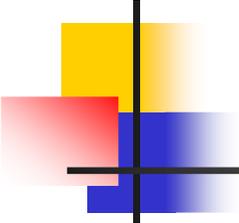
( $E_\nu \approx$  few MeV: under threshold for  $\mu$  or  $\tau$  production)

- $\nu_\mu$  detection at accelerators or from cosmic rays

(to search for  $\nu_\mu \leftrightarrow \nu_\tau$  oscillations if  $E_\nu$  is under threshold for  $\tau$  production)

**Main uncertainty: knowledge of the neutrino flux for no oscillation**





# Appearance experiment

---

Use a beam of  $\nu_\alpha$  and detect  $\nu_\beta$  ( $\beta \neq \alpha$ ) at distance L from source

## Examples:

▪ Detect  $\nu_e + \text{Nucleon} \rightarrow e^- + \text{hadrons}$  in a  $\nu_\mu$  beam

▪ Detect  $\nu_\tau + \text{Nucleon} \rightarrow \tau^- + \text{hadrons}$  in a  $\nu_\mu$  beam

(Energy threshold  $\approx 3.5$  GeV)

## NOTES

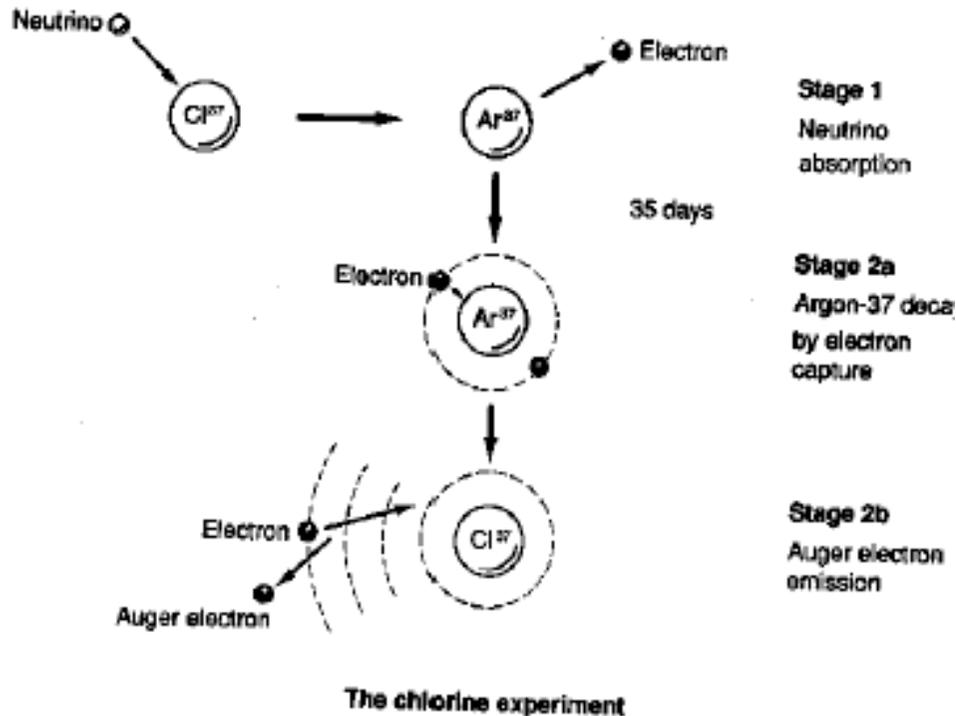
▪  $\nu_\beta$  contamination in beam must be precisely known

( $\nu_e/\nu_\mu \approx 1\%$  in  $\nu_\mu$  beams from high-energy accelerators)

▪ Most neutrino sources are not mono-energetic but have wide energy spectra.

Oscillation probabilities must be averaged over neutrino energy spectrum.

# The chlorine experiment (1968)



Ar is chemically very different from Chlorine. An inert gas that can be eventually removed from chlorine. It is radioactive and reverts to  $\text{Cl}^{37}$  emitting an Auger electron (Pontecorvo ideas)



R. Davis

$\text{Cl}^{37} \rightarrow 25\%$  of all natural chlorine

Inverse beta decay (0.86 MeV threshold)

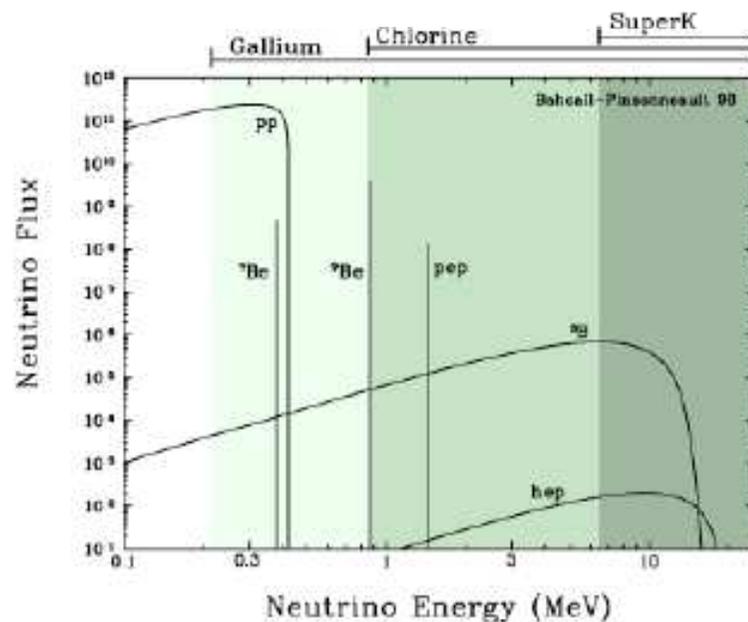


# Chlorine by numbers



$K_{\text{shell}} \text{ EC} \quad \tau = 50.5 \text{ d}$

${}^{37}\text{Cl} + 2.82 \text{ keV (Auger } e^-, X)$

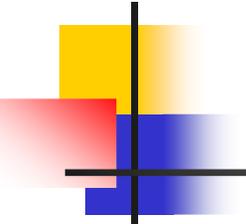


Expects

$8.2 \text{ SNU} \pm 1.8$

Observs

$2.56 \text{ SNU} \pm 0.23$



# The solar neutrino problem

---

- Also called “paradox”, “dilemma”, “puzzle” and other nice words that showed that every body (secretly) believed that:
- Davis (Chlorine experiment) was wrong
- Bahcall (The solar model) was wrong

Or, more likely: **Both were wrong!**

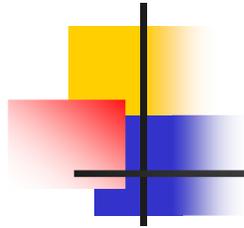
*“It appears that the explanation in terms of neutrino mixing ...*

*Is much more attractive and much more natural than other explanations”*

**Lepton mixing and the solar neutrino puzzle**

**Bilenky, Pontecorvo**

**Dubna Report E 10545 1977**



# In 1988 a new hypothesis

Light neutrinos as cosmological dark matter. A crucial experimental test

Haim Harari a, b

a Weizmann Institute of Science, 76100, Rehovot, Israel

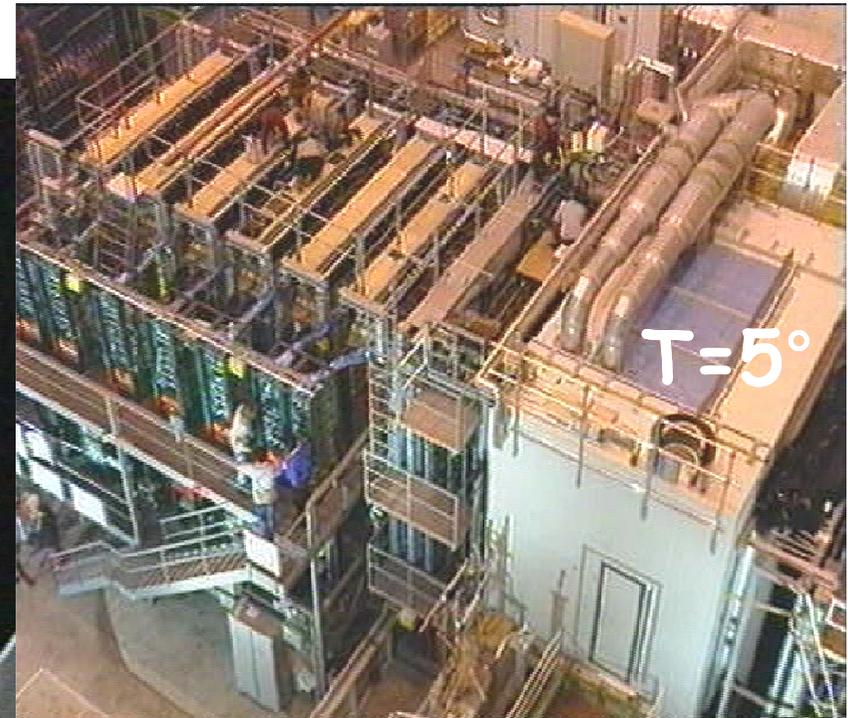
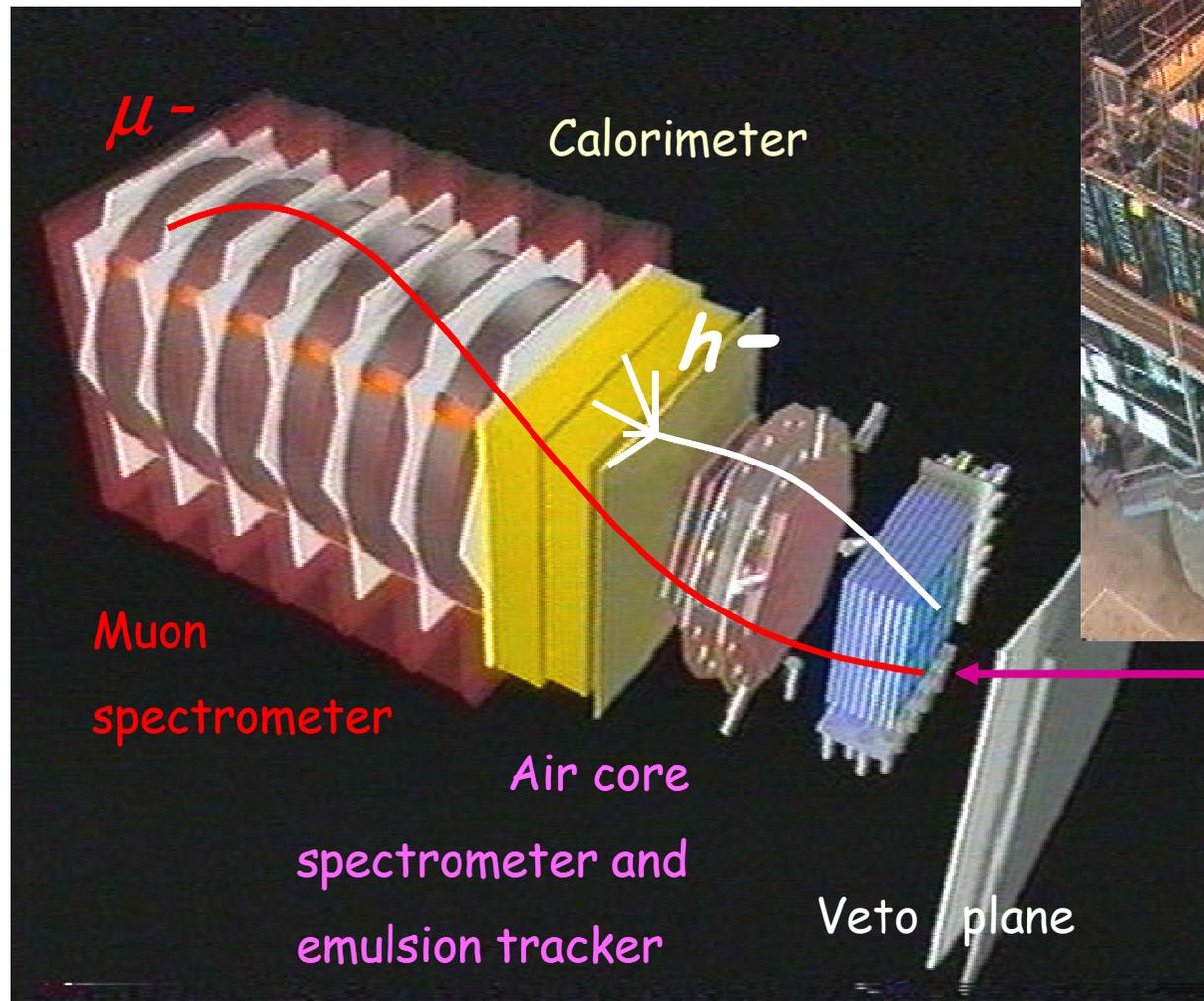
b Fermi National Laboratory, Batavia, IL 60510, USA

Received 15 September 1988.

## Abstract

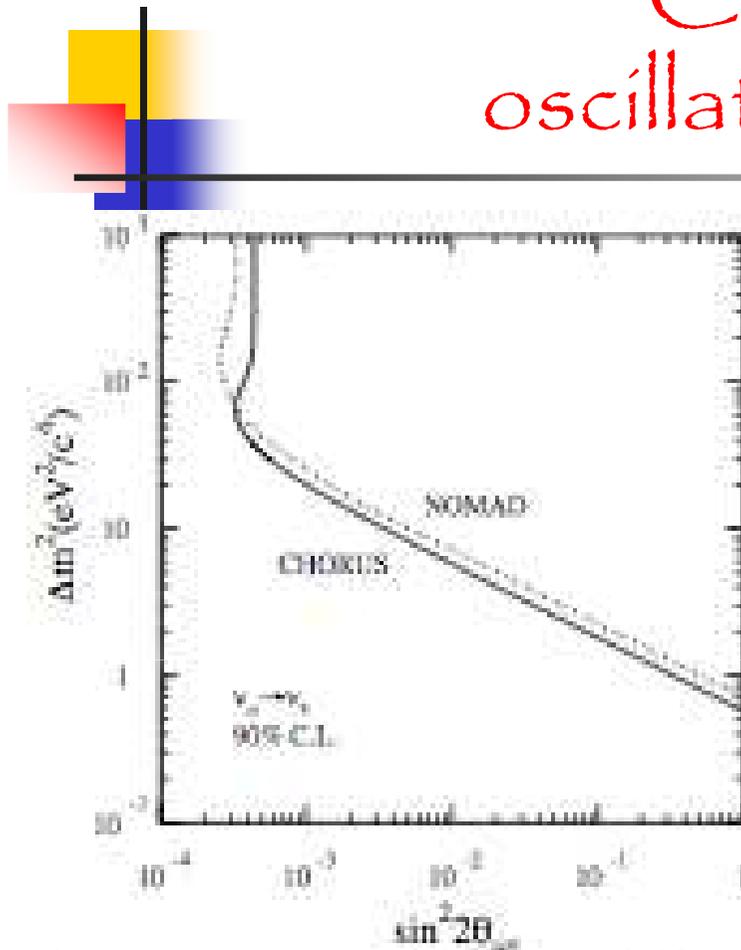
Cosmological dark matter allegedly dominates the energy of the universe. Among all dark matter candidates, the light neutrino is the only particle actually known to exist in nature. The most likely light neutrino candidate is  $\nu_\mu$  with mass  $m(\nu_\mu)$  similar, equals 15-65 eV. The only practical way to show that  $m(\nu_\mu)$  is in that range, is to search for  $\nu_\mu$ - $\nu_\tau$  oscillations reaching values of  $\sin^2 2\theta_{\mu\tau}$  as low as  $4 \times 10^{-4}$ . This calls for an improvement of the best existing experiment by one order of magnitude. A dedicated accelerator experiment with an emulsion followed by a spectrometer, detecting at least 40000 neutrino interactions, can settle the issue. Such an experiment does not seem impossible. A positive result would prove that most of the universe consists of  $\nu_\mu$  particles.

The CHORUS experiment: a high sensitivity experiment to observe oscillations with mass  $m(\nu_\tau)$  similar, equals  $15-65 \text{ eV}^2$



770 kg emulsion target and scintillating fibre tracker

# CHORUS results: oscillations and charm physics



Final results on  $\nu(\mu) \rightarrow \nu(\tau)$  oscillation from the CHORUS experiment.

Published in *Nucl.Phys.* **B793** (2008) 326-343

New results from a search for  $\nu/\mu \rightarrow \nu/\tau$  and  $\nu/e \rightarrow \nu/\tau$  oscillation.

Published in *Phys.Lett.* **B497** (2001) 8-22

Search for muon-neutrino  $\rightarrow$  tau-neutrino oscillation using the tau decay modes into a single charged particle.

Published in *Phys.Lett.* **B434** (1998) 205-213

A Search for muon-neutrino  $\rightarrow$  tau-neutrino oscillation.

Published in *Phys.Lett.* **B424** (1998) 202-212

Leading order analysis of neutrino induced dimuon events in the CHORUS experiment.  
Published in *Nucl.Phys.* **B798** (2008) 1-16

Associated Charm Production in Neutrino-Nucleus Interactions.  
Published in *Eur.Phys.J.* **C52** (2007) 543-552

Charged Particle Multiplicities in Charged-Current Neutrino and Anti-Neutrino Nucleus Interactions.  
Published in *Eur.Phys.J.* **C51** (2007) 775-785

Measurement of nucleon structure functions in neutrino scattering.  
Published in *Phys.Lett.* **B632** (2006) 65-75

Measurement of topological muonic branching ratios of charmed hadrons produced in neutrino-induced charged-current interactions.  
Published in *Phys.Lett.* **B626** (2005) 24-34

Search for superfragments and measurement of the production of hyperfragments in neutrino nucleus interactions.  
Published in *Nucl.Phys.* **B718** (2005) 35-54

Measurement of  $D^{*+}$  production in charged-current neutrino interactions.  
Published in *Phys.Lett.* **B614** (2005) 155-164

Measurements of  $D^0$  production and of decay branching fractions in neutrino nucleon scattering.  
Published in *Phys.Lett.* **B613** (2005) 105-117

Measurement of charm production in antineutrino charged-current interactions.  
Published in *Phys.Lett.* **B604** (2004) 11-21

Measurement of fragmentation properties of charmed particle production in charged-current neutrino interactions.  
Published in *Phys.Lett.* **B604** (2004) 145-156

Experimental study of trimuon events in neutrino charged-current interactions.  
Published in *Phys.Lett.* **B596** (2004) 44-53

Cross-section measurement for quasi-elastic production of charmed baryons in  $\nu N$  interactions.  
Published in *Phys.Lett.* **B575** (2003) 198-207

Measurement of the  $Z/A$  dependence of neutrino charged-current total cross-sections.  
Published in *Eur.Phys.J.* **C30** (2003) 159-167

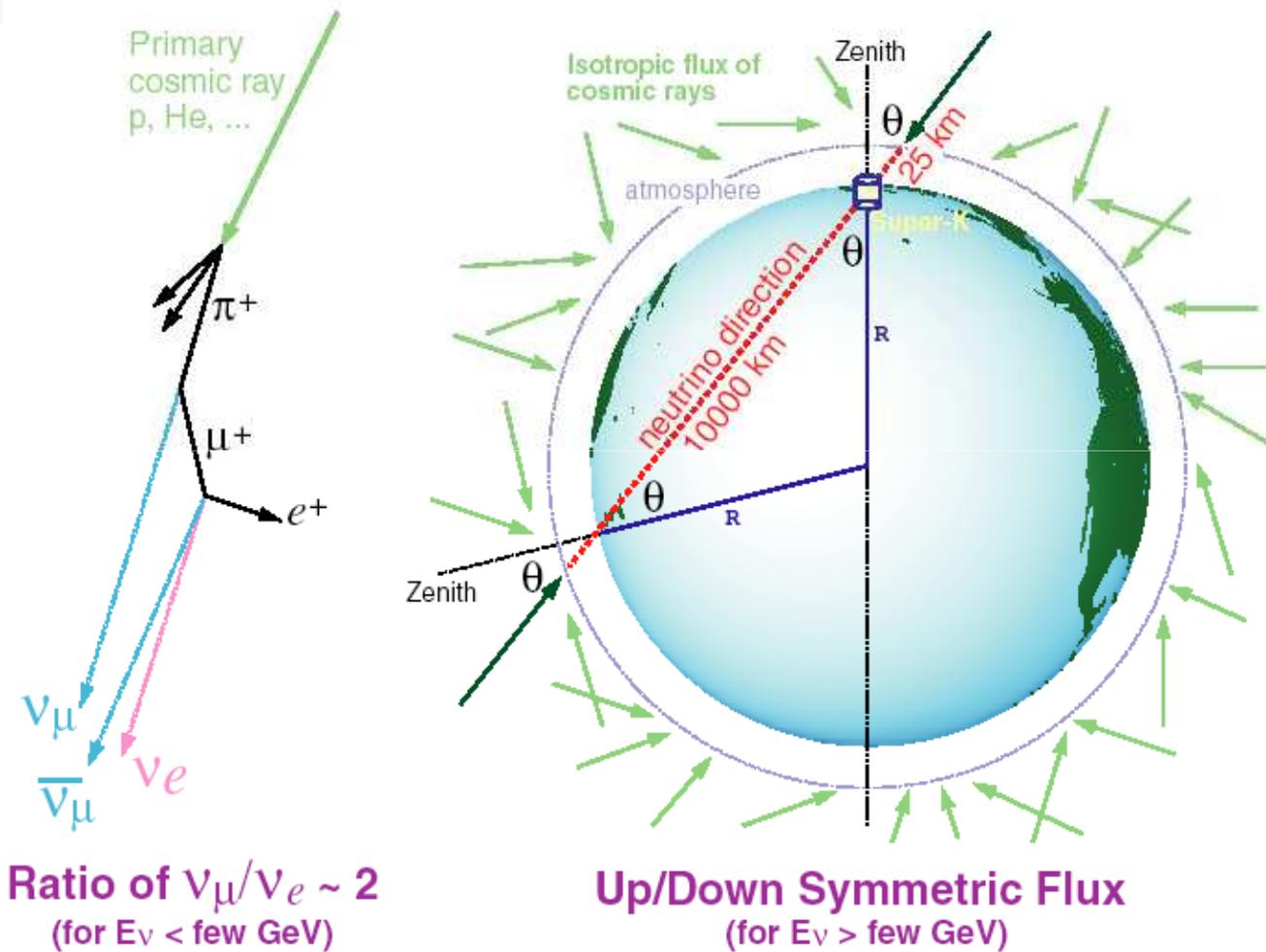
Measurement of  $\Lambda_b/c+$  production in neutrino charged-current interactions.  
Published in *Phys.Lett.* **B555** (2003) 156-166

Determination of the semi-leptonic branching fraction of charm hadrons produced in neutrino charged-current interactions.  
Published in *Phys.Lett.* **B549** (2002) 48-57

Observation of one event with the characteristics of associated charm production in neutrino charged-current interactions.  
Published in *Phys.Lett.* **B539** (2002) 188-196

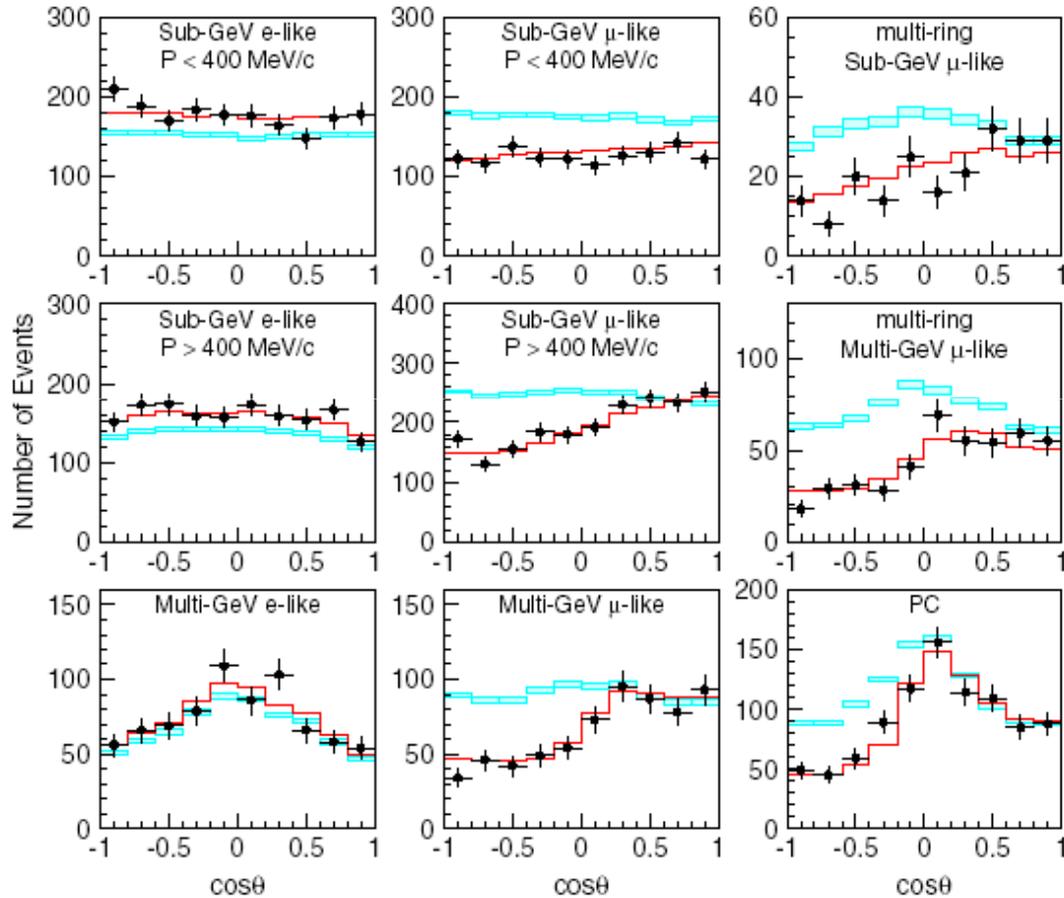
Measurement of  $D^0$  production in neutrino charged-current interactions.  
Published in *Phys.Lett.* **B527** (2002) 173-181

# THE 1998 REVOLUTION!

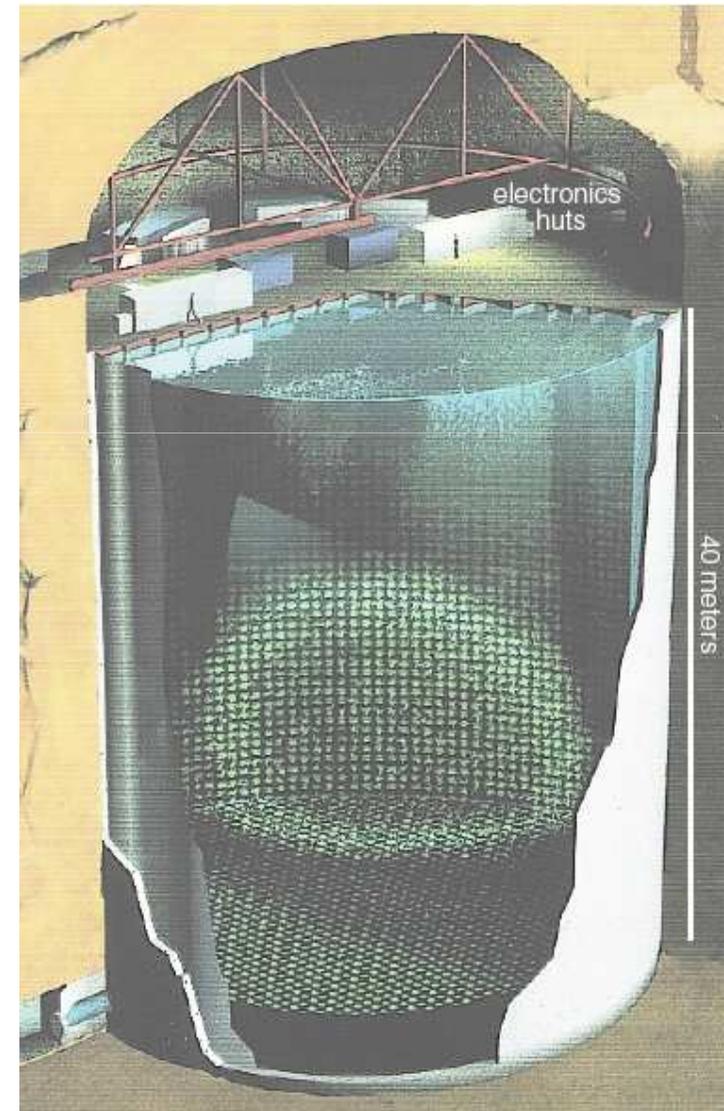


Note that atmospheric neutrinos were studied as background for proton decay!

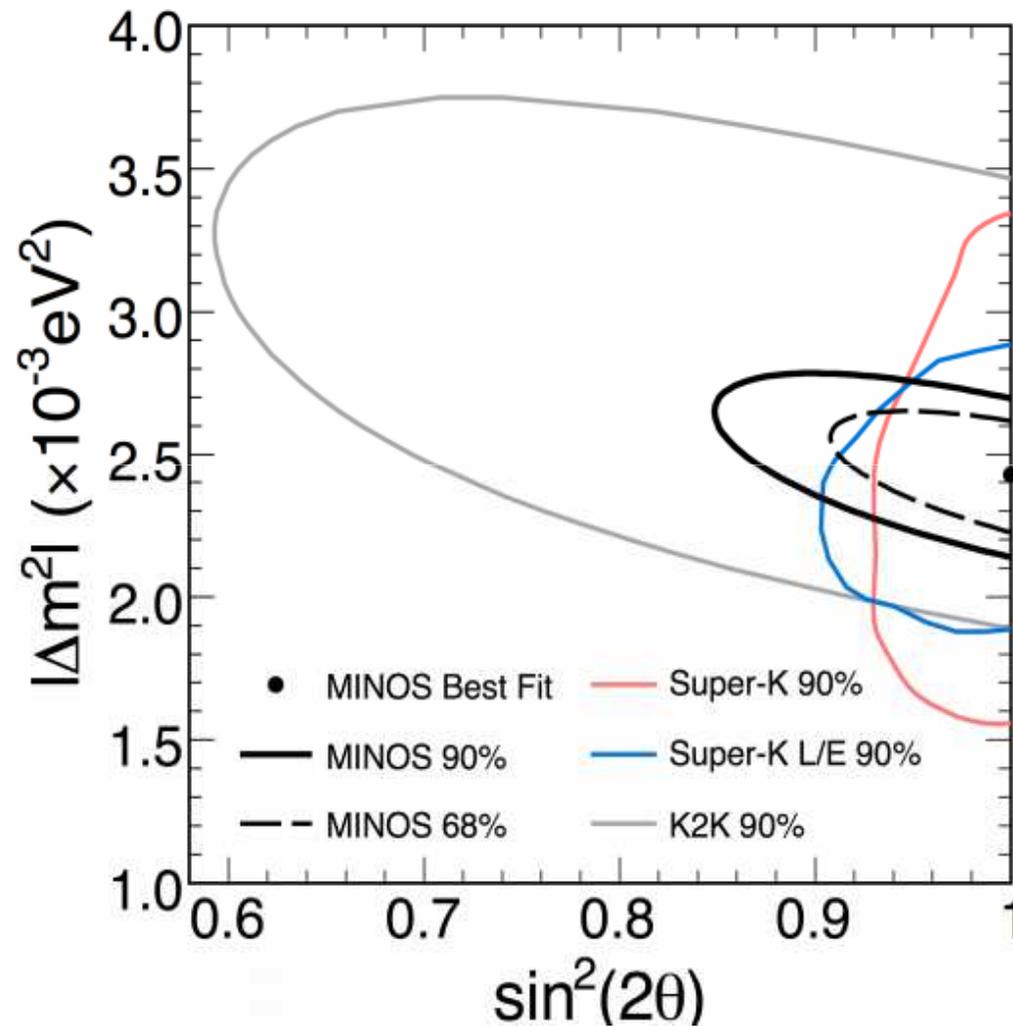
# Super-Kamiokande observes a deficit of atmospheric neutrinos



The deficit depends on the energy and the path length!

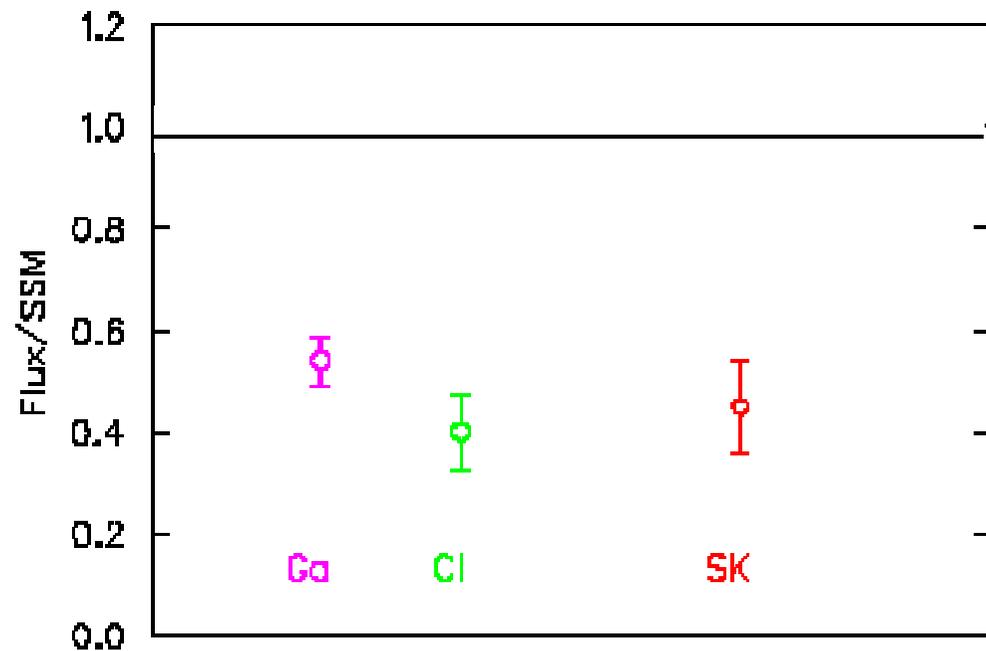
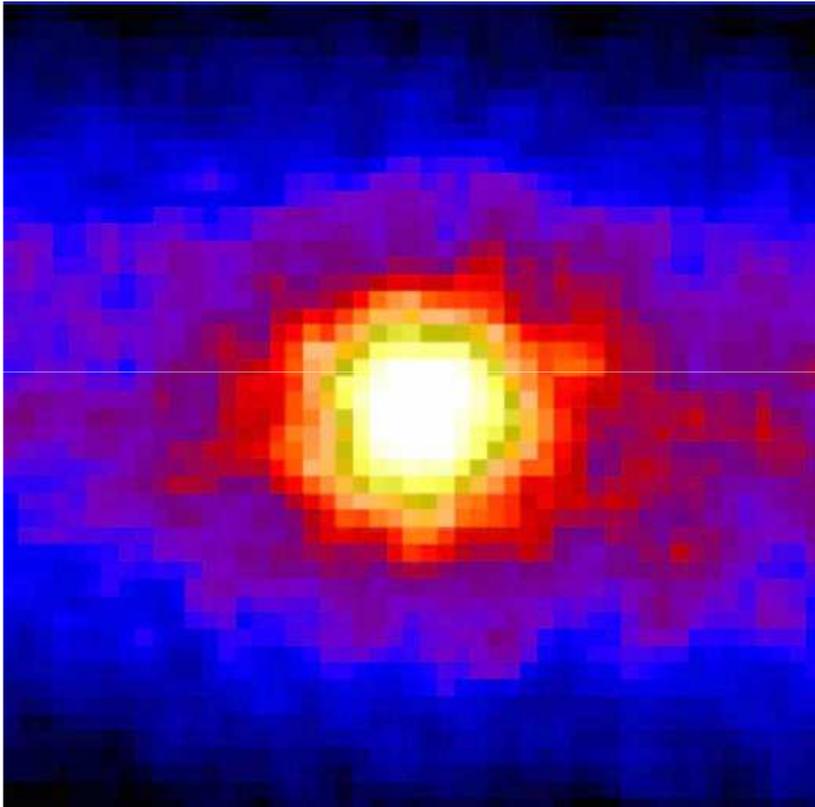


Since 1998 many expts contributed to the understanding of the PMNS matrix



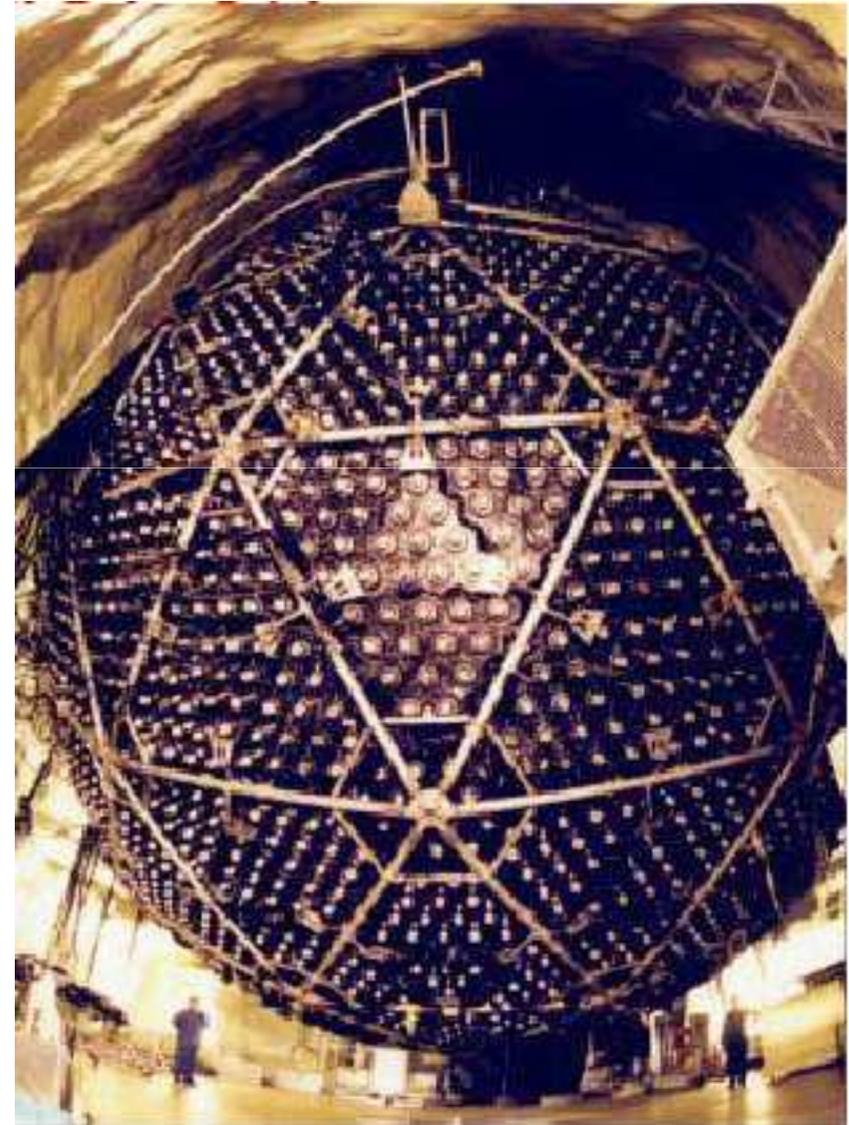
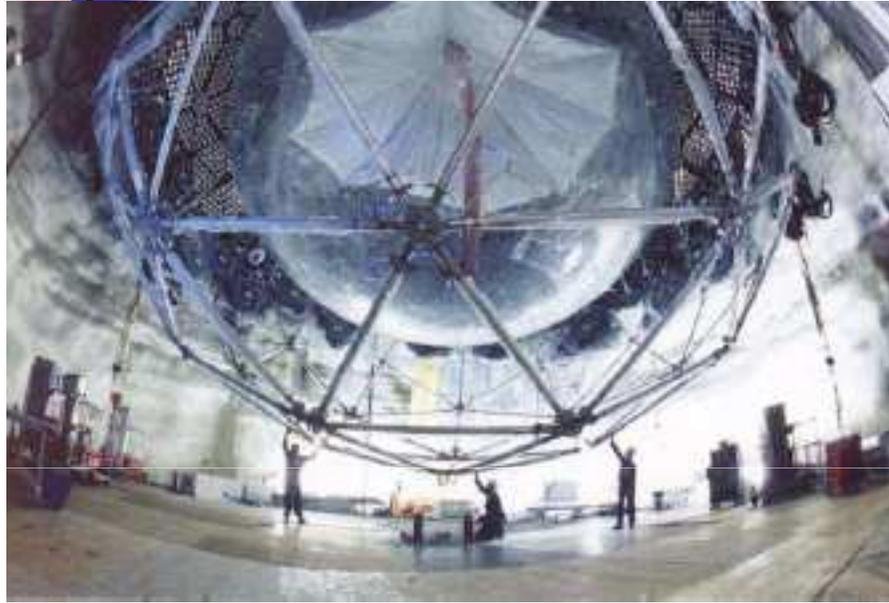
But, what about the solar neutrino problem/paradox/...?

# Many years after R. Davis...



Able to photograph the Sun with neutrinos, but not to understand it....

In 2000 the SNO experiment solved  
the long standing solar problem



# Signals in SNO

ES



- Strong directional sensitivity

CC



- Good measurement of  $\nu_e$  energy spectrum
- Weak directional sensitivity  $\propto 1 - 1/3 \cos(\theta)$

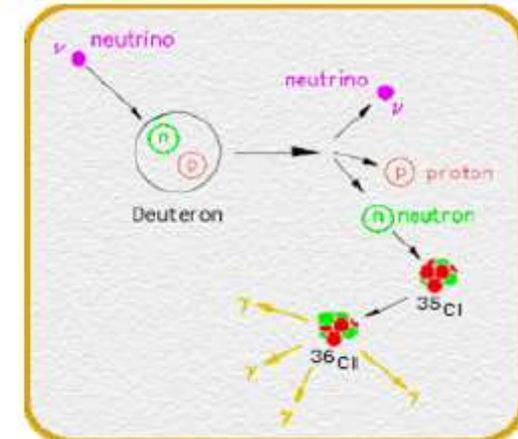
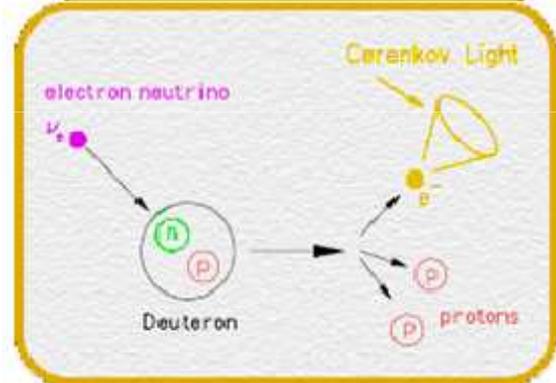
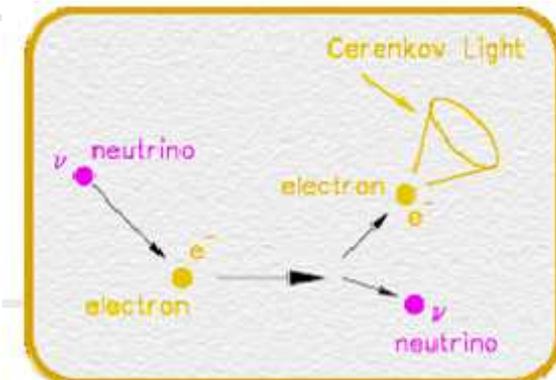
-  $\nu_e$  ONLY

NC

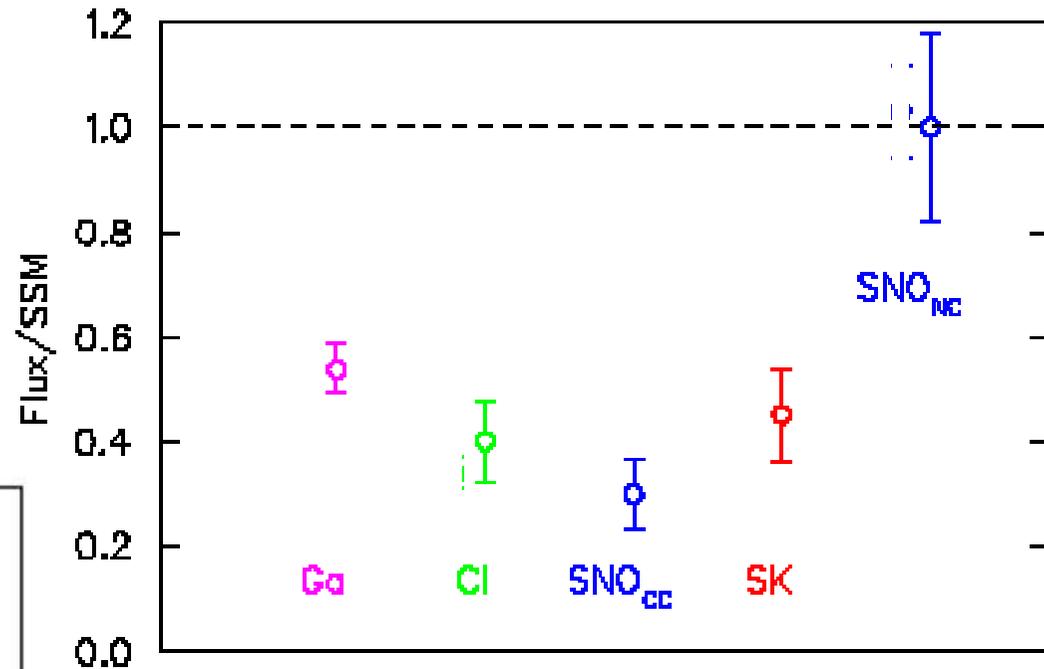
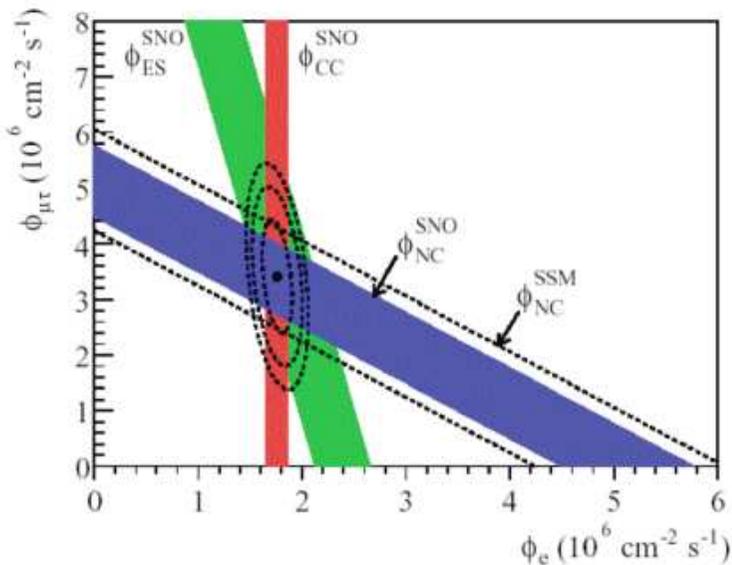
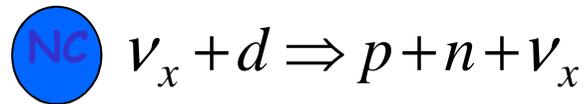
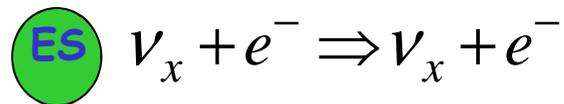
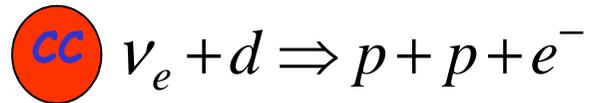


- Measure total  $^8\text{B}$   $\nu$  flux from the sun.

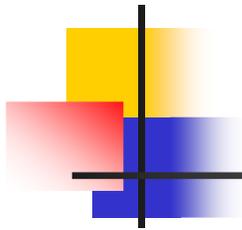
- Equal cross section for all  $\nu$  types



# The SNO results



So the Sun is shining the expected number of neutrinos but many of them are  $\nu_\mu$  and/or  $\nu_\tau$ !  
 Not only Davis, but also Bahcall was right!



# The Golden Age of Neutrino oscillation from 1998 to 2006: the PDG Indicator

## PDG 1997 edition

Neutrinos 5pg  
 No. of Light Neutrino Types from Collider Expts. 2pg  
 Massive Neutral Leptons & Effects of Nonzero Neutrino Masses 5pg  
 Limits from Neutrinoless Double-beta Decay 2pg  
 Solar neutrinos 8pg

## PDG 1999 edition

Neutrino mass 16pg  
 No. of Light Neutrino Types from Collider Expts. 2pg  
 Searches for Massive Neutrinos 5pg  
 Limits from Neutrinoless Double-beta Decay 2pg  
 Solar neutrinos 8 pg

## PDG 2000 edition

Neutrino mass 17 pg  
 No. of Light Neutrino Types from Collider Expts. 2 pg  
 Searches for Massive Neutrinos (5 pages)  
 Two-flavor Oscillation Parameters and Limits (2 pages)  
 Limits from Neutrinoless Double-beta Decay (3 pages)  
 Solar neutrinos (11 pages)

## PDG 2002 edition

Electron, muon, and tau neutrinos (New) 3pg  
 Neutrino Physics as Explored by Flavor Change (New) 24pg  
 Understanding Two-Flavor Oscillation Parameters and Limits (Rev.) 6pg  
 Two-Flavor Oscillation Parameters and Limits (plots) (Rev.) 4pg  
 No. of Neutrino Types from Collider Expts. (Rev.) 2pg  
 Solar neutrinos (Rev. ) 14pg  
 Limits from Neutrinoless Double-beta Decay (Rev.) 4pg

## PDG 2004 edition

Electron, muon, and tau neutrinos (Rev.) 3pg  
 Neutrino mass, mixing, and flavor change (Rev.) 24pg  
 Number of Light Neutrino Types from Collider Expts. 2pg  
 Understanding Two-Flavor Oscillation Parameters and Limits 5pg  
 Limits from Neutrinoless Double-beta Decay (Rev.) 4pg  
 Solar neutrinos (Rev. ) 18pg

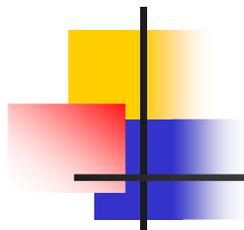
## PDG 2006 edition

### Standard Model and Related Topics

Quantum chromodynamics (Rev.) [errata](#) (25 pages)  
 Electroweak model and constraints on new physics (Rev.) [errata](#) (50 pages)  
 Cabibbo-Kobayashi-Maskawa quark-mixing matrix (New) [erratum](#) (20 pages)  
 CP violation (Rev.) (28 pages)  
**Neutrino mass, mixing, and flavor change (Rev.)** (23 pages)  
 Quark model (Rev.) [erratum](#) (20 pages)  
 Grand Unified Theories (Rev.) (20 pages)  
 Structure Functions (Rev.; see below for more figures) (15 pages)  
 Structure Functions--additional figures (Rev.; see above) [errata](#) (8 pages)  
 Fragmentation Functions (Rev.) (26 pages)  
 Tests of Conservation Laws (Rev.) [errata](#) (5 pages)  
 CPT Invariance Tests in Neutral Kaon Decay (Rev.) (4 pages)  
 CP Violation in  $K_S \rightarrow 3\pi$  (1 page)  
 CP Violation in  $K_L$  Decays (Rev.) (12 pages)  
 V(ud), V(us), Cabibbo Angle, and CKM Unitarity (New) (11 pages)  
 Determination of V(cb) and V(ub) (New) (39 pages)

# Constraints from a global 3ν analysis

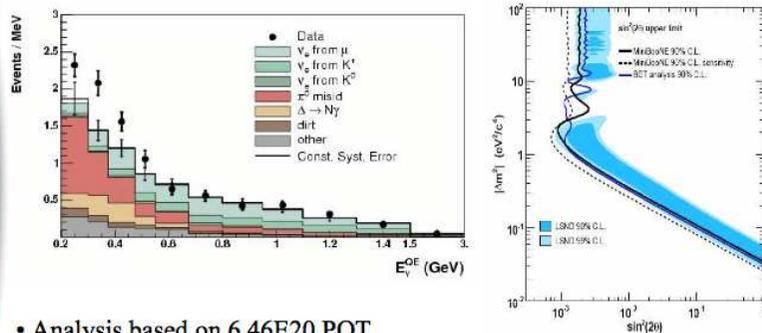
M. C. Gonzalez-García, M. Maltoni and J. Salvado, "Updated global fit to three neutrino mixing: status of the hints of  $\theta_{13} > 0$ ," arXiv:1001.4524 [hep-ph].



High-Z	GS98 with Gallium cross-section from [17]	Low-Z and SAGE meas.	AGSS09 with modified Gallium cross-section [13]
	$\Delta m_{21}^2 = 7.59 \pm 0.20 \begin{pmatrix} +0.61 \\ -0.69 \end{pmatrix} \times 10^{-5} \text{ eV}^2$		Same ( <b>&lt;10%</b> )
	$\Delta m_{31}^2 = \begin{cases} -2.40 \pm 0.11 \begin{pmatrix} +0.37 \\ -0.39 \end{pmatrix} \times 10^{-3} \text{ eV}^2 \text{ (inverted)} \\ +2.51 \pm 0.12 \begin{pmatrix} +0.39 \\ -0.36 \end{pmatrix} \times 10^{-3} \text{ eV}^2 \text{ (normal)} \end{cases}$		Same ( <b>~15%</b> )
	$\theta_{12} = 34.4 \pm 1.0 \begin{pmatrix} +3.2 \\ -2.9 \end{pmatrix}$		$34.5 \pm 1.0 \begin{pmatrix} +3.2 \\ -2.8 \end{pmatrix}$ ( <b>~10%</b> )
	$\theta_{23} = 42.3 \begin{matrix} +5.3 \\ -2.8 \end{matrix} \begin{pmatrix} +11.4 \\ -7.1 \end{pmatrix}$		Same ( <b>~30%</b> )
	$\theta_{13} = 6.8 \begin{matrix} +2.6 \\ -3.6 \end{matrix} (\leq 13.2) \quad \theta_{13} \neq 0 \text{ at } 1.9\sigma$	$\theta_{13} \neq 0 \text{ at } 1.5\sigma$	$5.7 \begin{matrix} +3.0 \\ -3.9 \end{matrix} (\leq 12.7)$
	$[\sin^2 \theta_{13} = 0.014 \begin{matrix} +0.013 \\ -0.011 \end{matrix} (\leq 0.052)]$		$[0.010 \begin{matrix} +0.013 \\ -0.009 \end{matrix} (\leq 0.049)]$
	$\delta_{\text{CP}} \in [0, 360]$		Same

# The LSND saga (from 1995 on...)

## Appearance results (neutrino mode)



- Analysis based on 6.46E20 POT
- No oscillations at LSND L/E region ( $> 475$  MeV)
- Observed  $3\sigma$  excess of events in low-energy region ( $< 475$  MeV)

**Anomaly Mediated Neutrino-Photon Interactions**  
Harvey, Hill, & Hill, arXiv:0905.029

Lett. 102, 101802 (2009)

### CP-Violation 3+2 Model

Maltoni & Schwetz, arXiv:0705.0107; T. Goldman, G. J. Stephenson Jr., B. H. J. McKellar, Phys. Rev. D75 (2007) 091301

### Lorentz Violation

Katori, Kostelecky, & Tayloe, Phys. Rev. D74 (2006) 105009

### CPT Violation 3+1 Model

Barger, Marfatia, & Whisnant, Phys. Lett. B576 (2003) 303

### VSBL Electron Neutrino Disappearance

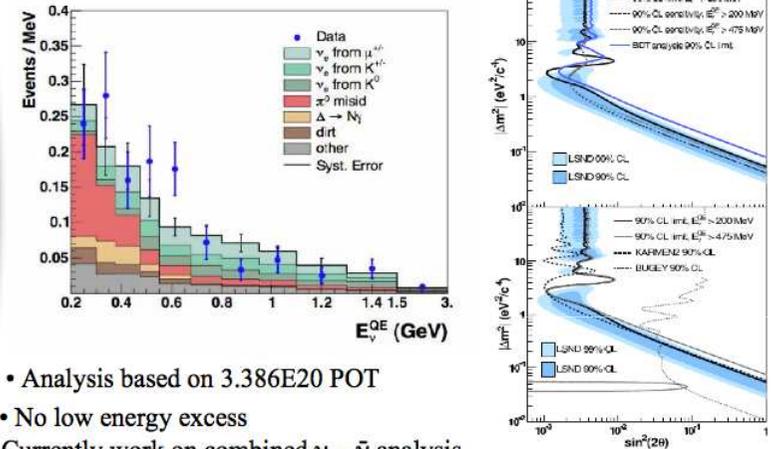
Giunti and Laveder arXiv:0902.1992

### New Gauge Boson with Sterile Neutrinos

Ann E. Nelson & Jonathan Walsh, arXiv:0711.1363

8 of 16

## Appearance results (anti-neutrino mode)



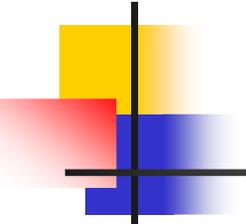
- Analysis based on 3.386E20 POT
- No low energy excess
- Currently work on combined  $\nu - \bar{\nu}$  analysis
- More data will provide additional information

Phys. Rev. Lett. 103, 111801 (2009)

Meriond EW<sup>o</sup> 2010, La Thuile, Italy

11 of 16

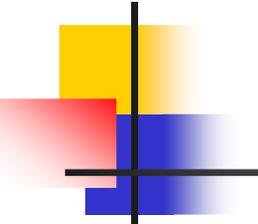
**MicroBooNE @FNAL on the BoONE and NUMI beams, DoubleLAr recently proposed to run on a refurbished PS neutrino beam. OscSNS will exploit the SNS neutrino beam with a oil-scintillator detector to check the LSND signal**



# The importance of pursuing neutrino oscillation studies

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- Neutrino oscillations are the sole body of experimental evidence for physics beyond the Standard Model
- The observed tiny mass and the large flavour mixing are believed to be consequences of phenomena occurred at the Big Bang
  - Neutrino oscillation physics is complementary to high-energy collider physics
- The precision measurement of the oscillation parameters and the discovery of LCPV will have important consequences on astrophysics and cosmology
- Furthermore, if the presence of massive sterile neutrinos is proved, it will contribute to clarify the Dark Matter problem
- For a detailed discussion of these topics we refer to arXiv:0710.4947 and references therein (The ISS Working Group); hep-ph/0606054 A. Strumia and F. Vissani



## What about OPERA?

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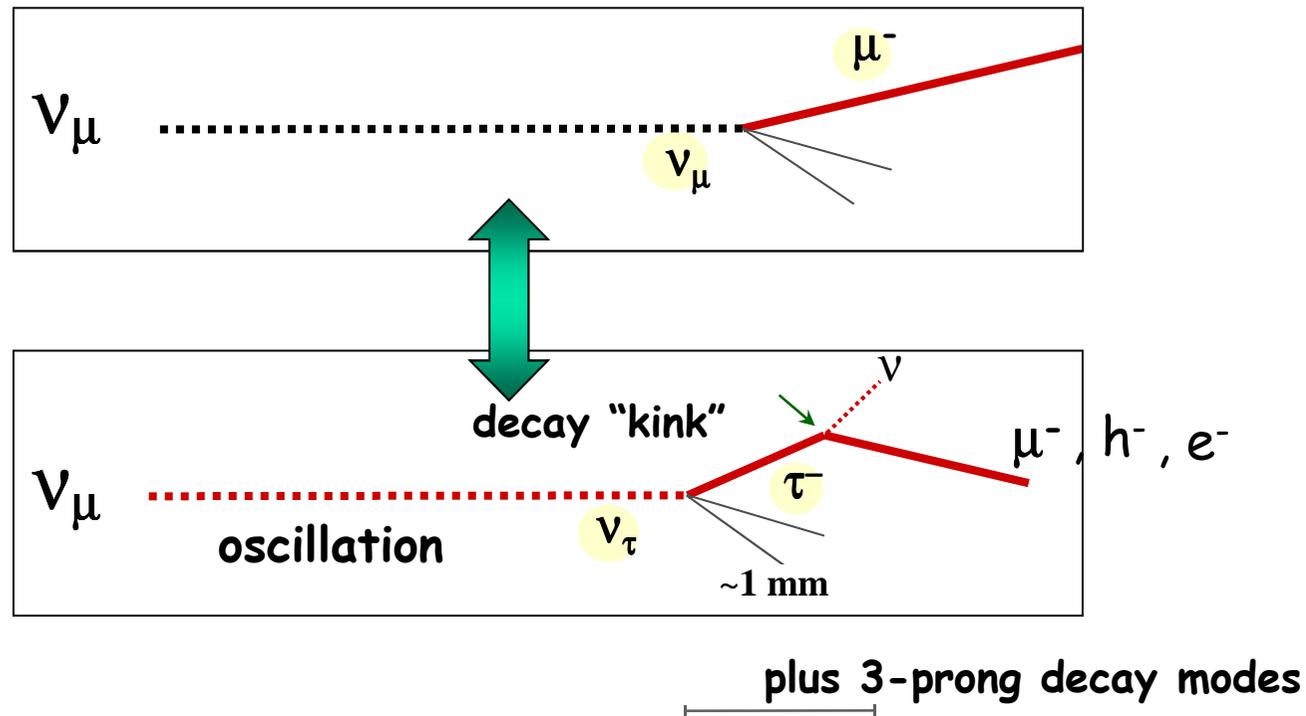
- None of the experiments showing an evidence for neutrino oscillations gave **DIRECT** evidence for the “appearance of an unexpected” flavour after a given distance
- LSND, Karmen and MiniBoone exploited the appearance but the results are rather controversial...
- These are the motivations that in 1997, but still valid, led to the proposal of the **OPERA** experiment

# OPERA: first direct detection of neutrino oscillations in appearance mode

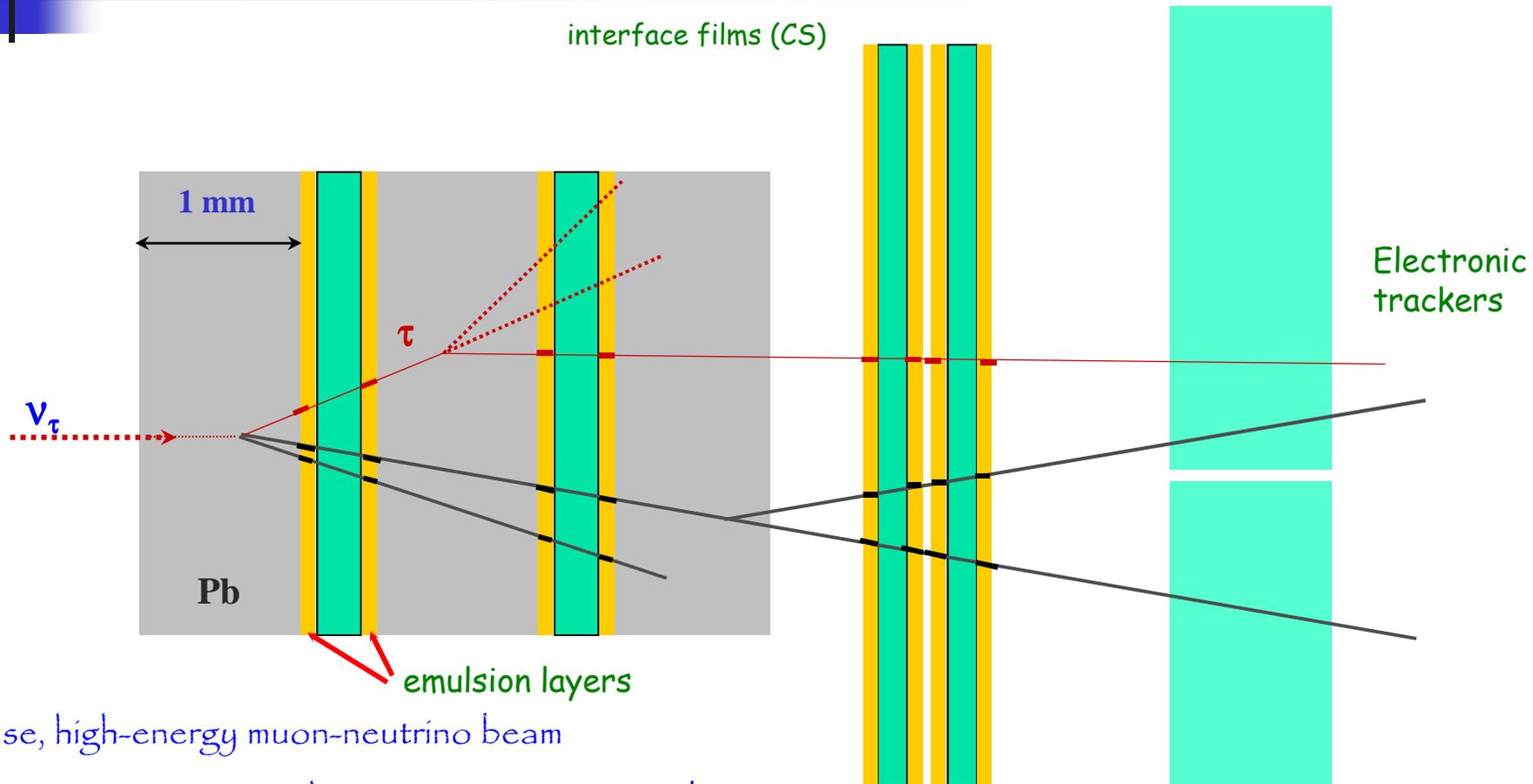
following the Super-Kamiokande discovery of oscillations with atmospheric neutrinos and the confirmation obtained with solar neutrinos and accelerator beams. Important, missing tile in the oscillation picture.

Requirements:

1) long baseline, 2) high neutrino energy, 3) high beam intensity, 4) detect short lived  $\tau$ 's

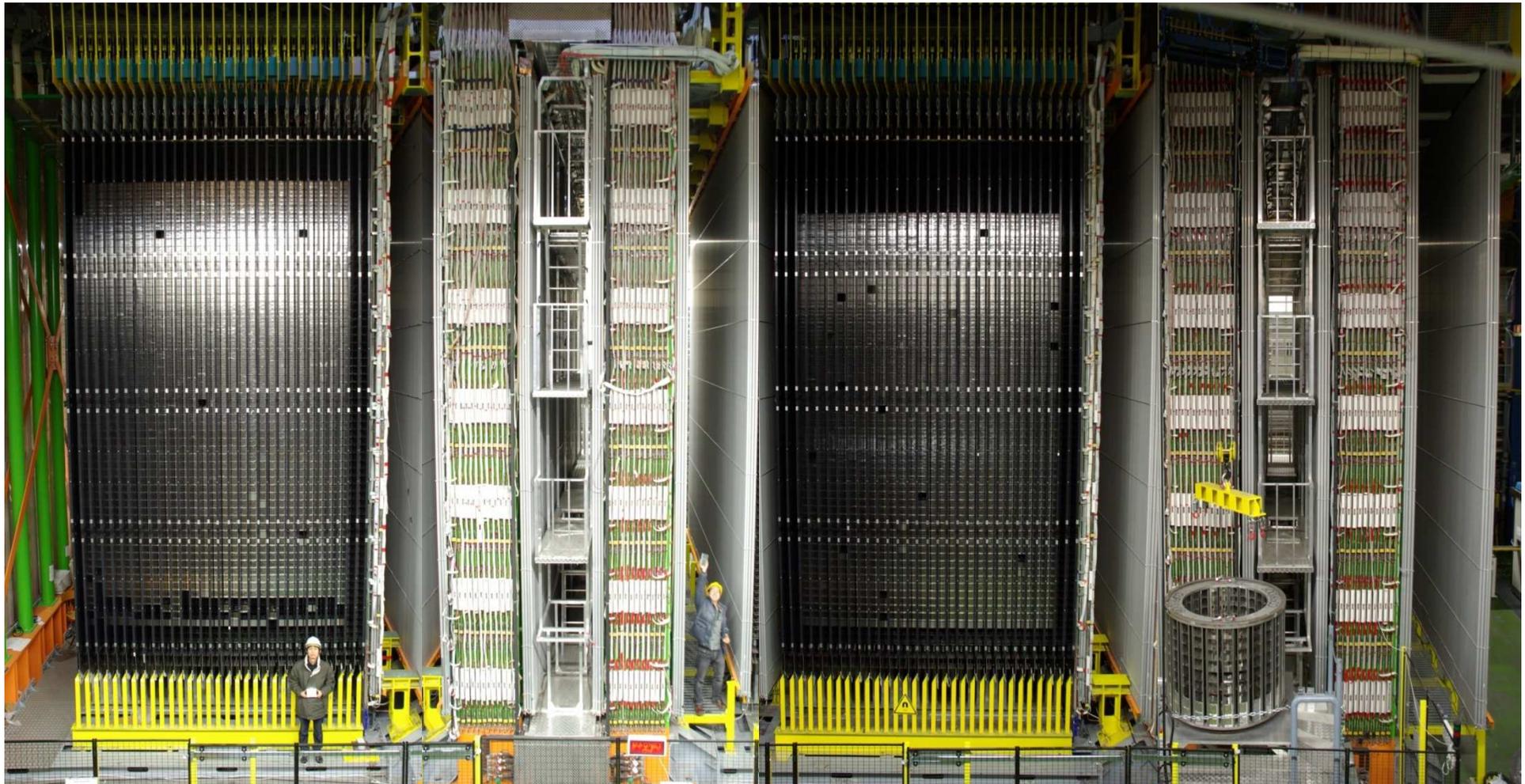


# Experiment principle: ECC + Electronic Detectors



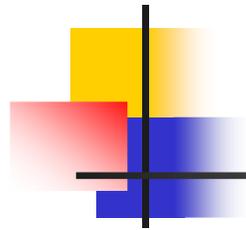
- Intense, high-energy muon-neutrino beam
- Massive active target with micrometric space resolution
- Detect tau-lepton production and decay
- Use electronic detectors to provide "time resolution" to the emulsions and preselect the interaction region

# THE IMPLEMENTATION OF THE PRINCIPLE



Target area

Muon spectrometer



# The OPERA Collaboration

180 physicists, 33 institutions in 12 countries



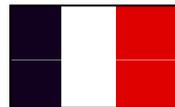
Belgium  
IIHE-ULB Brussels



Croatia  
IRB Zagreb



France  
LAPP Annecy  
IPNL Lyon  
IPHC Strasbourg



Germany  
Hamburg  
Münster  
Rostock



Israel  
Technion Haifa



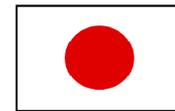
Italy  
Bari  
Bologna  
LNF Frascati



L'Aquila,  
LNGS

Naples  
Padova  
Rome

Salerno  
Japan

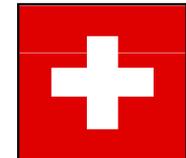


Aichi  
Toho  
Kobe

Nagoya  
Utsunomiya  
Korea  
Jinju



Russia  
INR RAS Moscow  
LPI RAS Moscow  
ITEP Moscow  
SINP MSU Moscow  
JINR Dubna  
Switzerland  
Bern  
ETH Zurich



Tunisia  
CNSTN Tunis

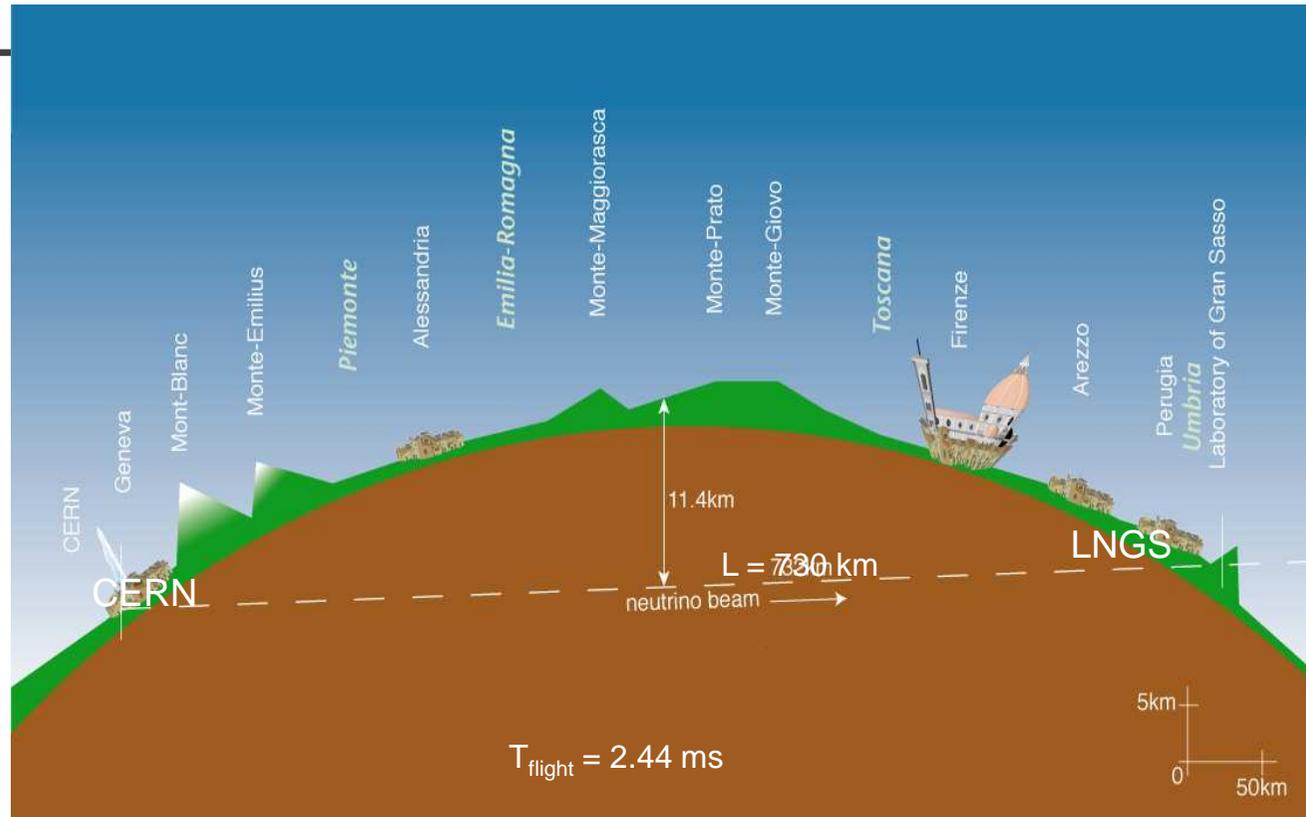
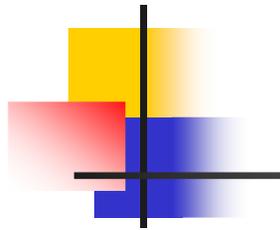


Turkey  
METU Ankara



<http://operaweb.web.cern.ch/operaweb/index.shtml>

# LNGS beam: tuned for $\nu_\tau$ -appearance at LNGS (730 km away from CERN)



$\langle E \rangle$	17 GeV
$L$	730 km
$(\nu_e + \bar{\nu}_e) / \nu_\mu$ (CC)	0.87%
$\nu_\mu / \bar{\nu}_\mu$ (CC)	2.1%
$\nu_\tau$ prompt	negligible

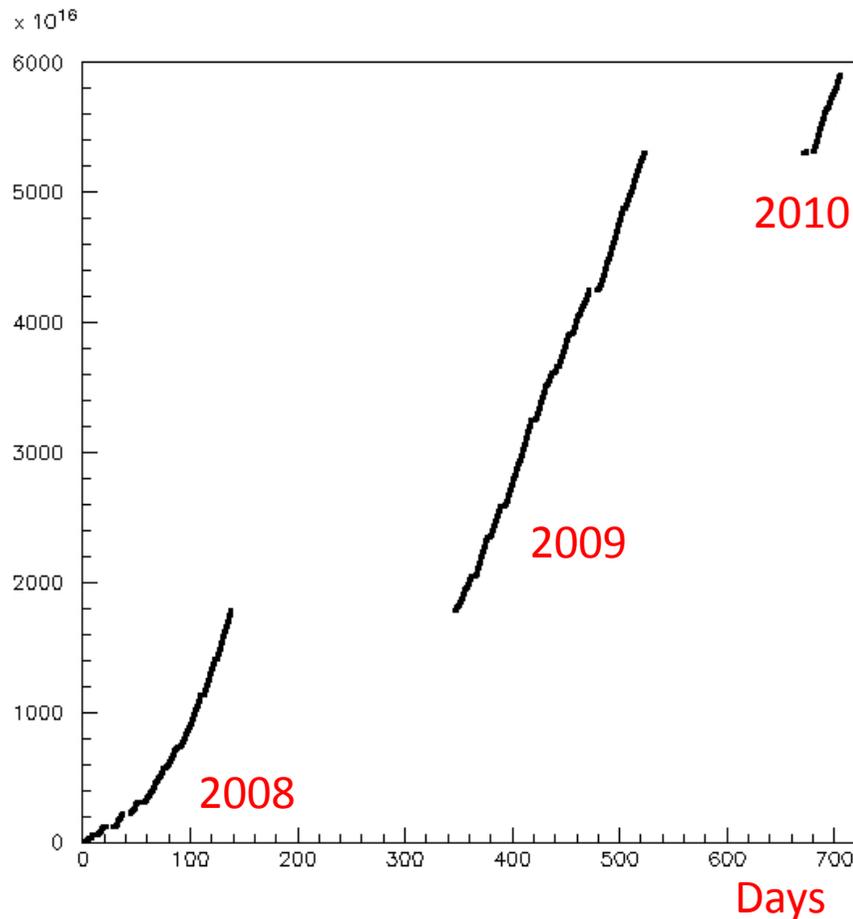
Expected neutrino interactions for  $22.5 \times 10^{19}$  pot:

$\sim 23600 \nu_\mu$  CC + NC

$\sim 160 \nu_e + \bar{\nu}_e$  CC

$\sim 115 \nu_\tau$  CC ( $\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$ )

# CNGS performance



2006	0.076x10 <sup>19</sup> pot	no bricks	Commissioning
2007	0.082x10 <sup>19</sup> pot	38 ev.	Commissioning
2008	<b>1.78x10<sup>19</sup> pot</b>	<b>1698 ev.</b>	<b>First physics run</b>
2009	<b>3.52x10<sup>19</sup> pot</b>	<b>3693 ev.</b>	<b>Physics run</b>
2010	<b>0.60x10<sup>19</sup> pot (23 May)</b>	<b>579 ev.</b>	<b>Physics run</b>

5970 events collected until 23 May 2010  
(within  $1\sigma$  in agreement with expectations)

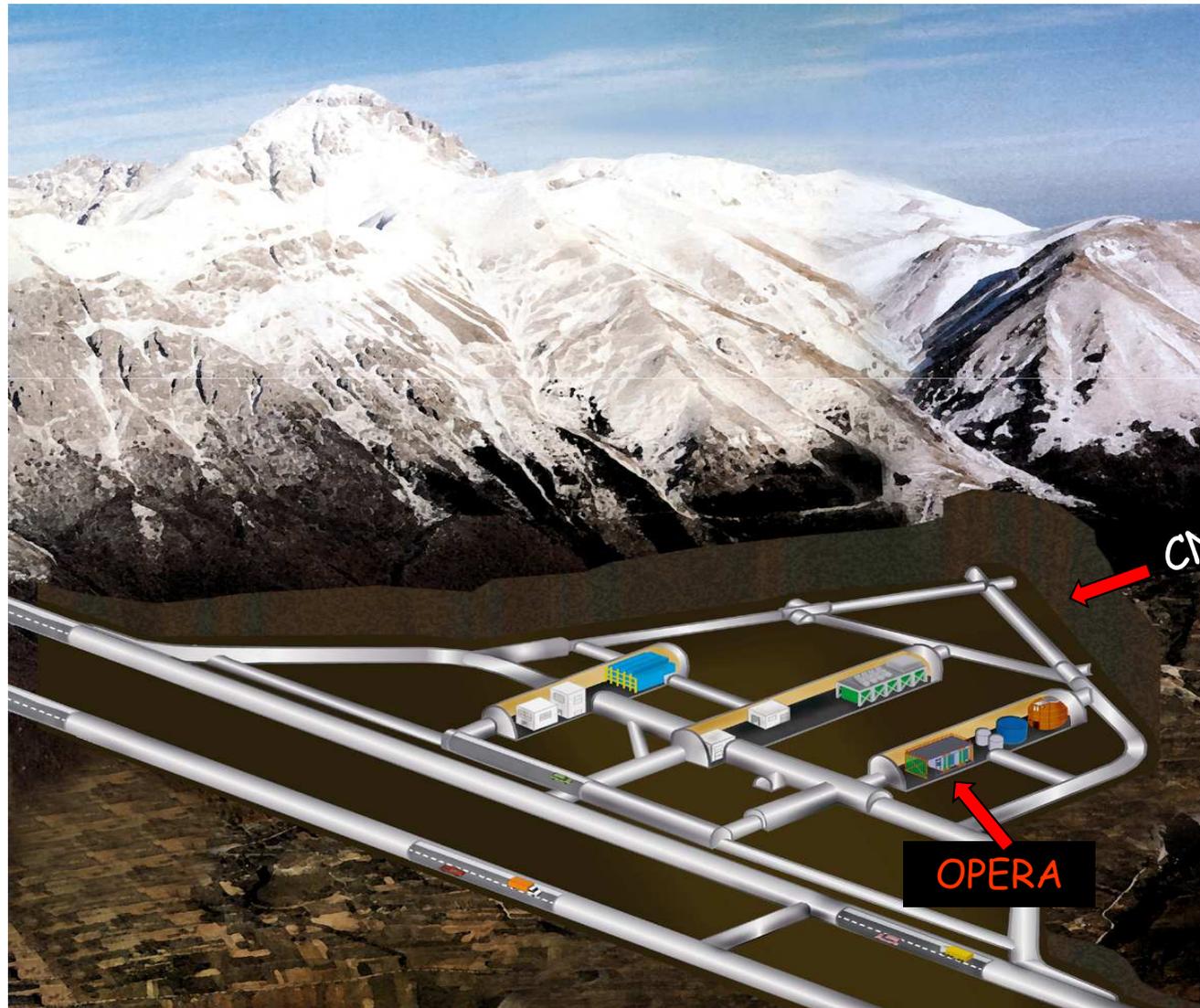
Improving features, high CNGS efficiency  
(97% in 2008-2009)

2010: close to nominal year;

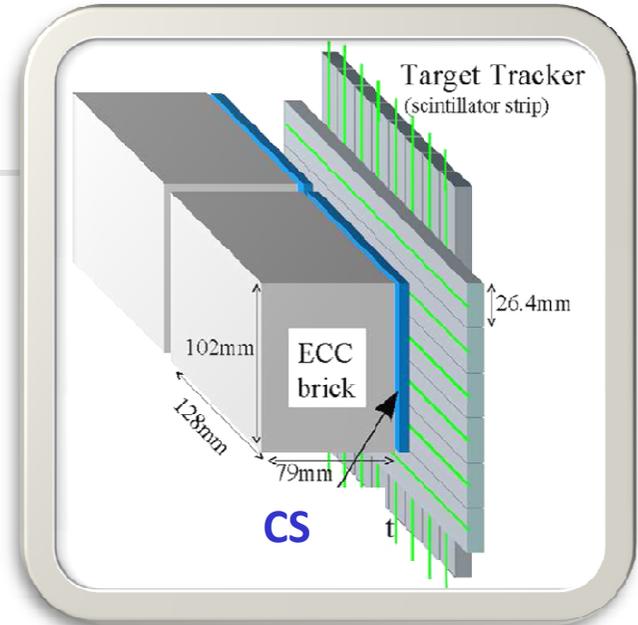
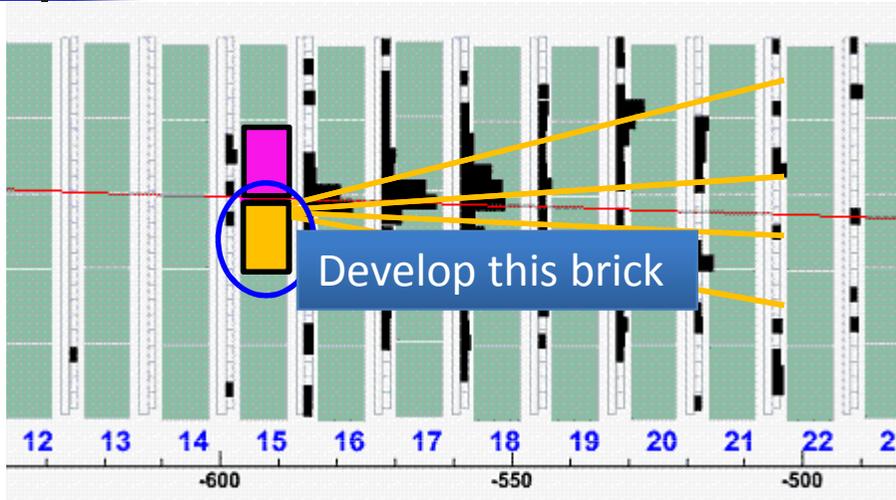
Aim at high-intensity runs in 2011 and 2012

# LNGS of INFN, the world largest underground physics laboratory:

~180'000 m<sup>3</sup> caverns' volume, ~3'100 m.w.e. overburden, ~1 cosmic  $\mu$  / m<sup>2</sup> x hour, experimental infrastructure, variety of experiments. Perfectly fit to host detector and related facilities, caverns oriented towards CERN.



# VALIDATION BY THE CS

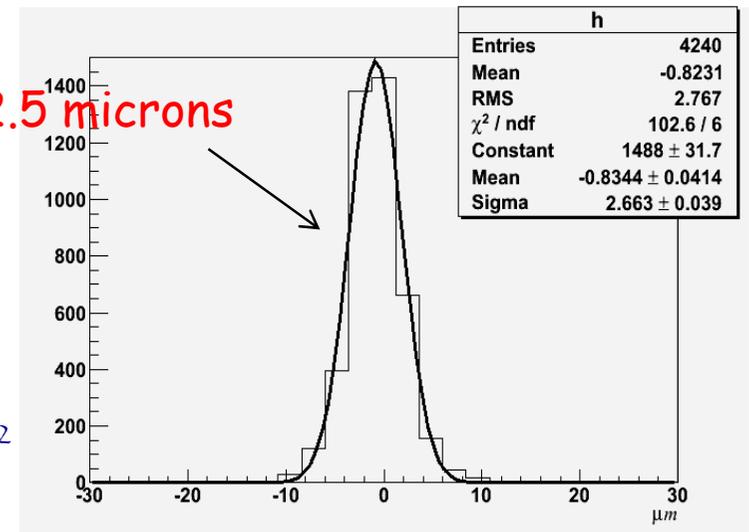


CS doublet alignment by Compton electrons: 2.5 microns

Scanning effort/event:

- CHORUS  $1 \times 1 \text{ mm}^2$
- DONUT  $5 \times 5 \text{ mm}^2$
- OPERA  $100 \times 100 \text{ mm}^2$

So far, 640'000  $\text{cm}^2$  of CS surface have been scanned in OPERA

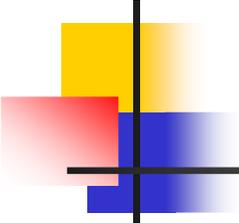


# PARALLEL ANALYSIS OF BRICKS

selected bricks sent to scanning labs (presently 12)

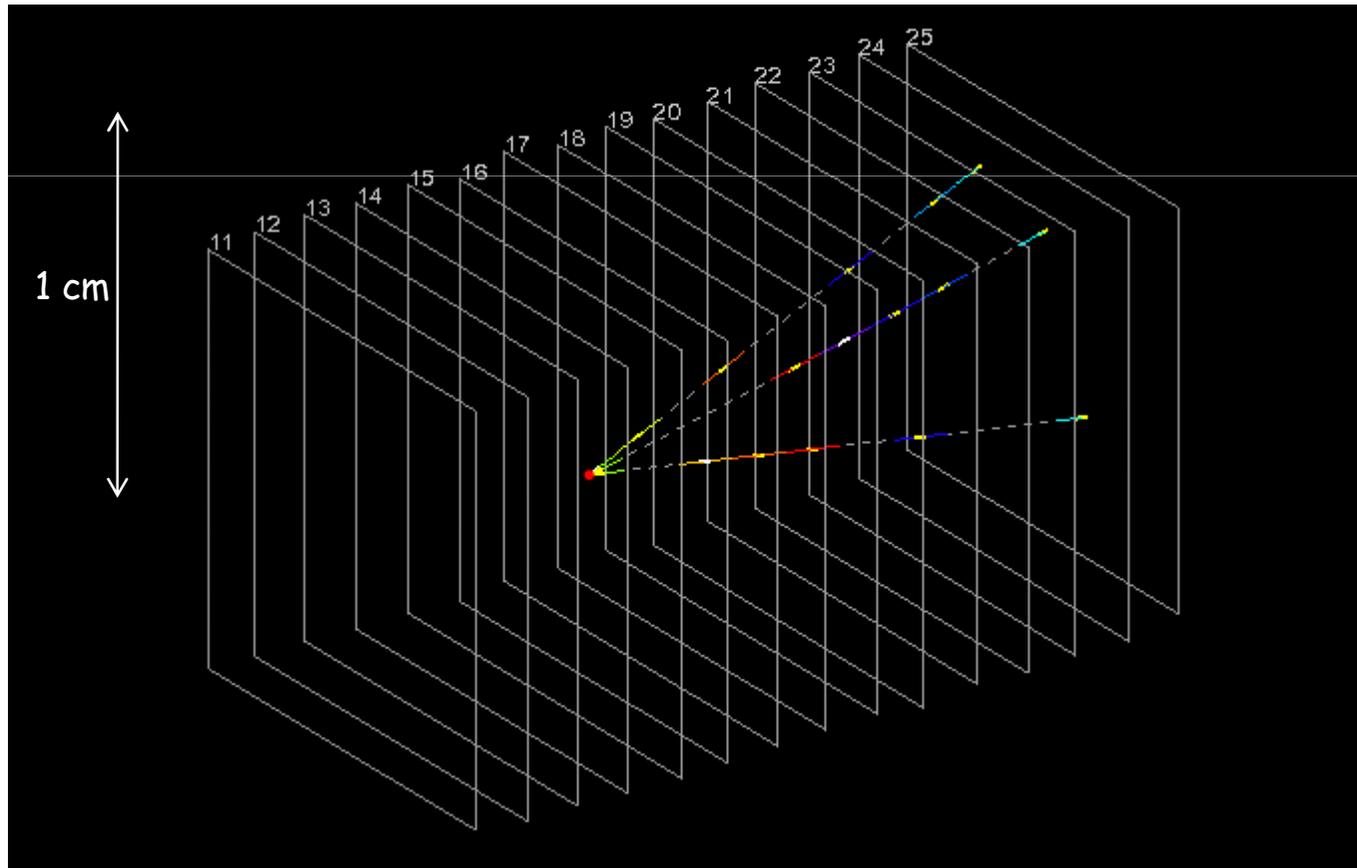


Napoli brick scanning labs



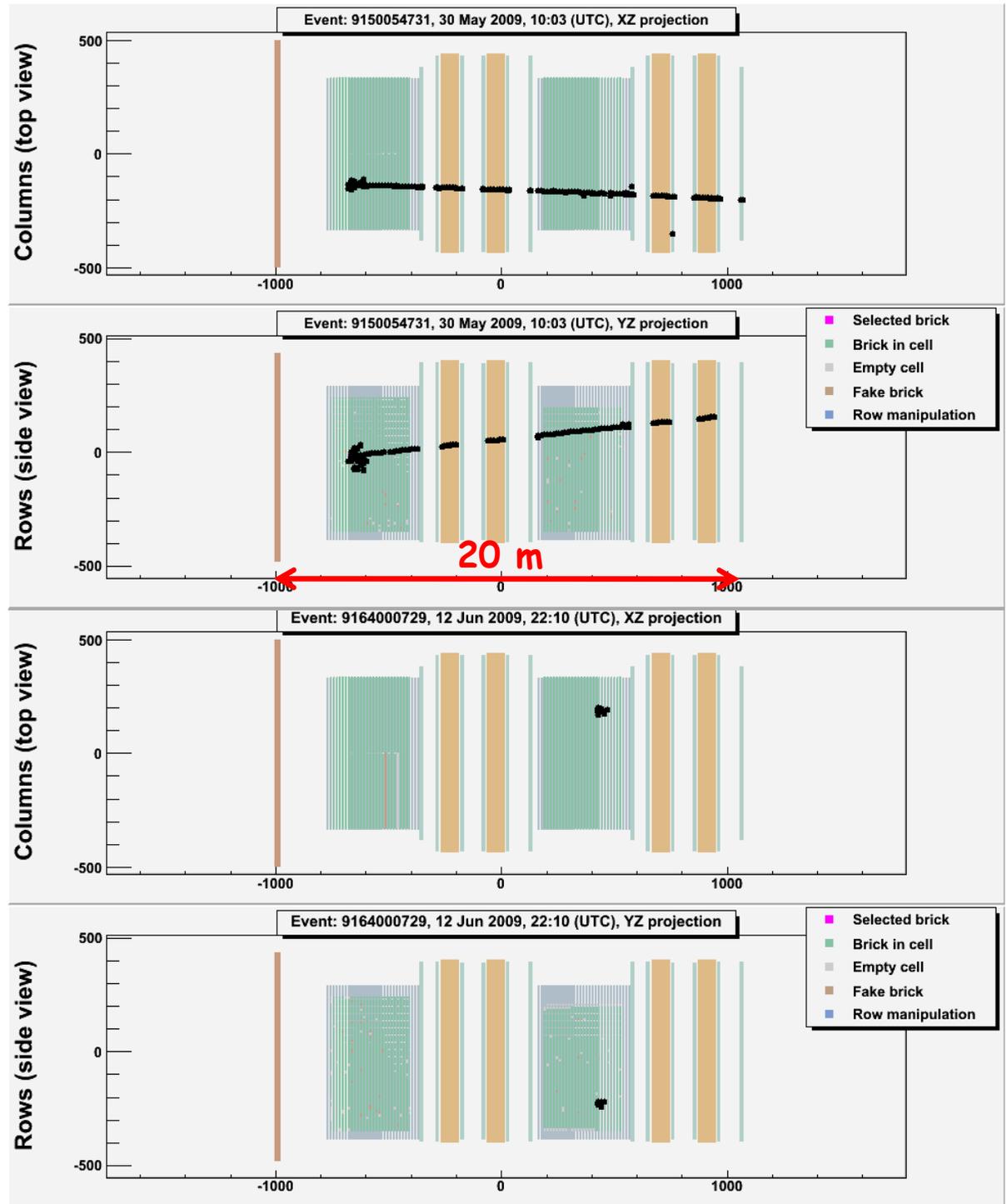
# Located neutrino interaction

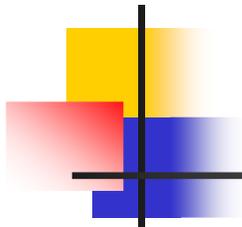
Emulsions give 3D vector data, with micrometric precision of the vertexing accuracy.  
The frames correspond to the scanning area. Yellow short lines → measured tracks.  
Other colored lines → interpolation or extrapolation.



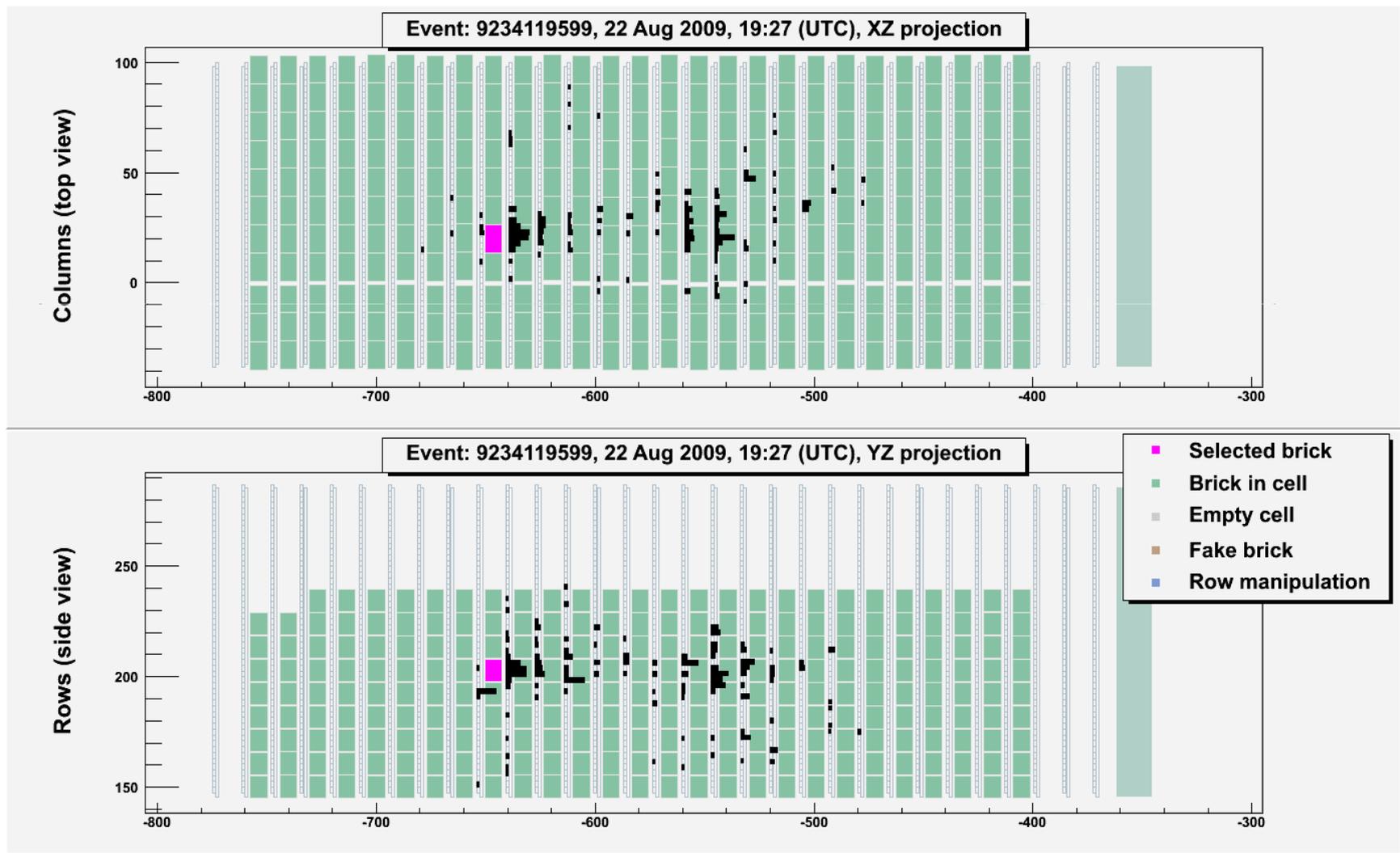
Typical  
 $\nu_{\mu}$  CC-  
and NC-like  
events

The measured ratio of  
NC-like/CC-like events  
after muon ID and event  
location is  $\sim 20\%$ , as  
expected from simulations

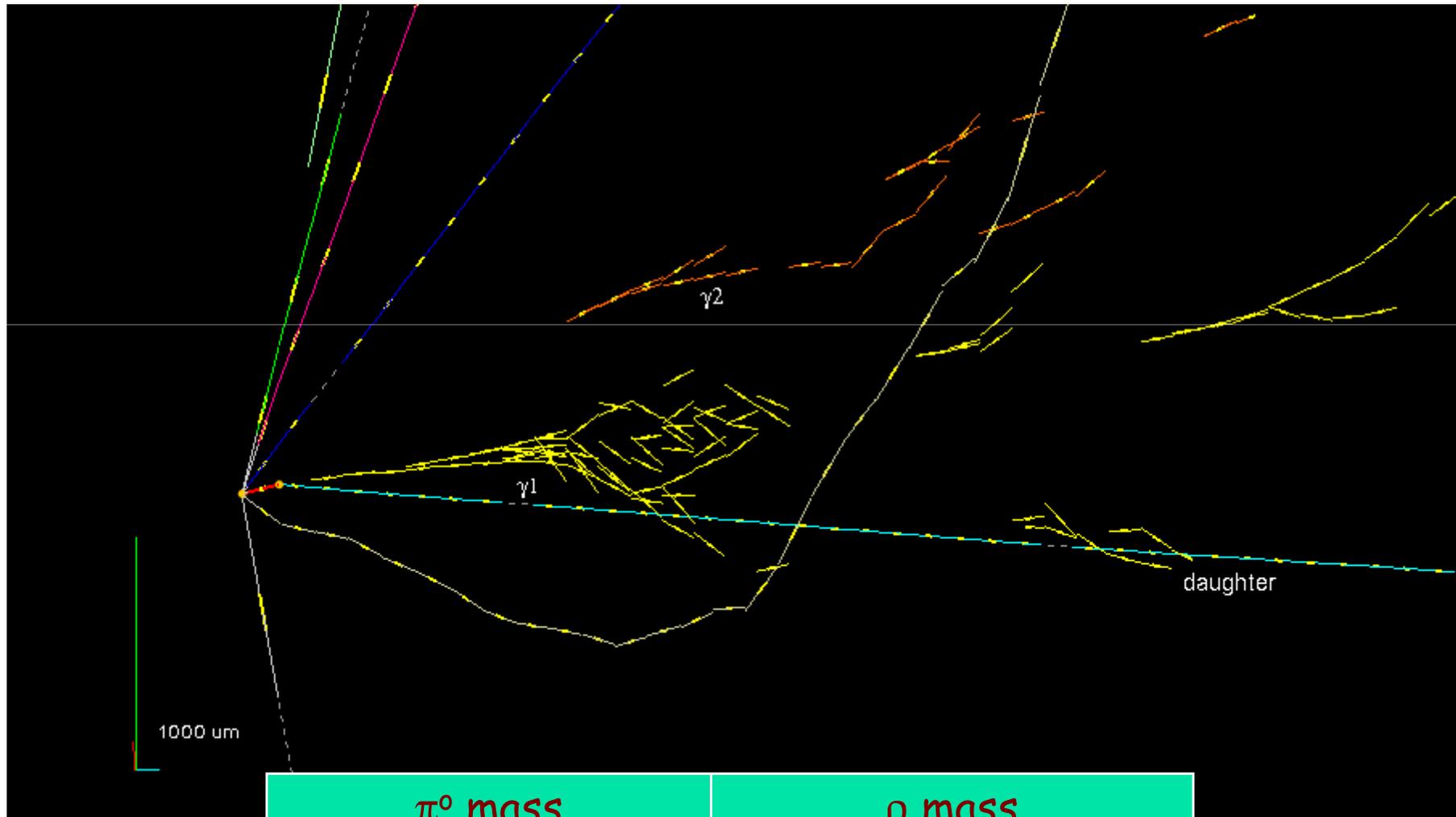




# Muonless event 9234119599, taken on 22 August 2009, 19:27 (UTC) (as seen by the electronic detectors)

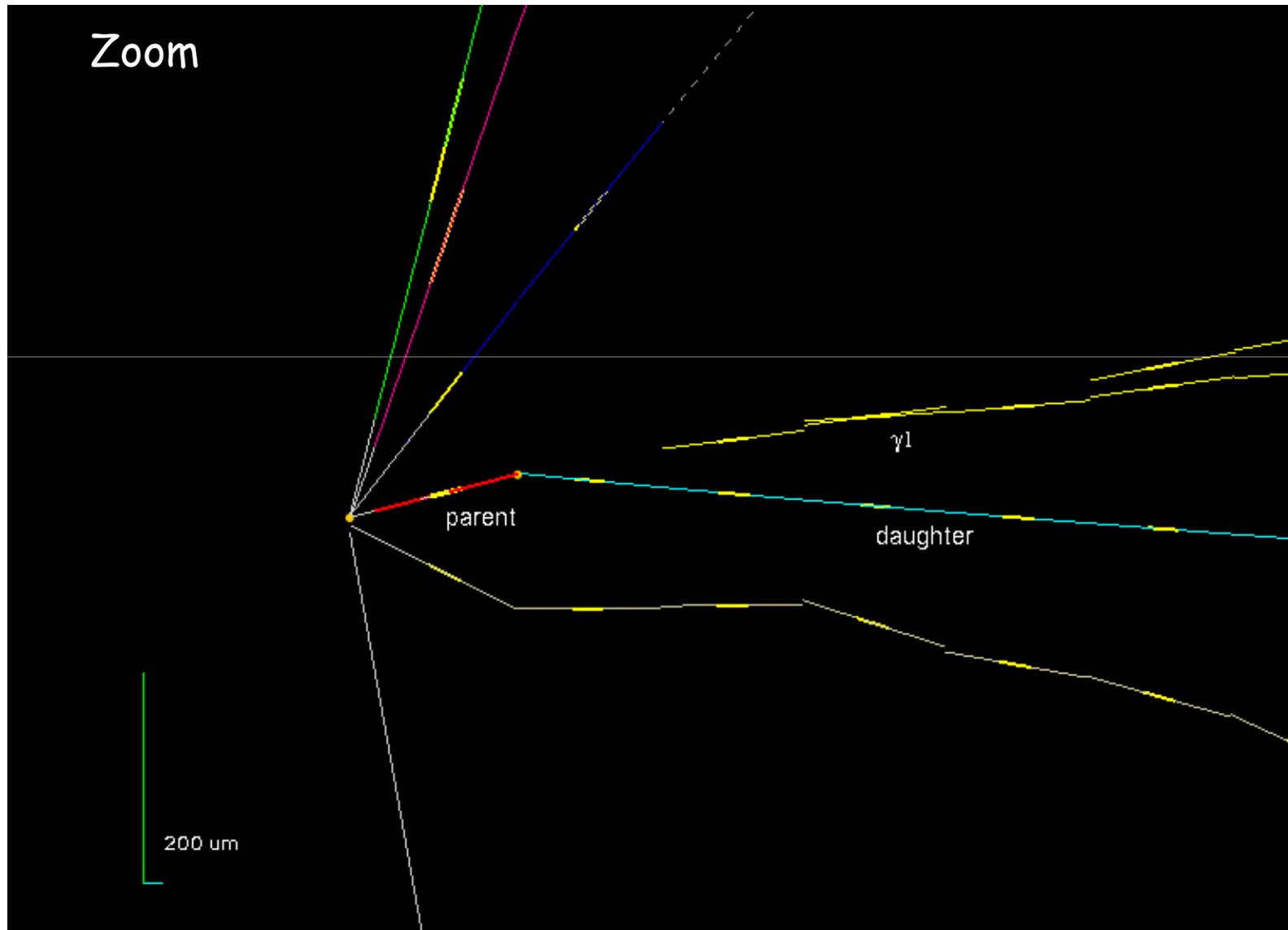


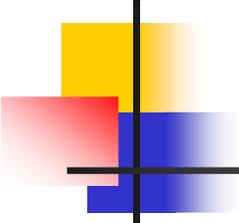
# Event reconstruction (1)



$\pi^0$ mass	$\rho$ mass
$120 \pm 20 \pm 35 \text{ MeV}$	$640^{+125}_{-80}{}^{+100}_{-90} \text{ MeV}$

# Event reconstruction (2)





# Kinematical analysis

OPERA nominal analysis flow applied to the hadronic kink candidates:

(more refined selection criteria being developed were not considered here not to bias our analysis)

- kink occurring within 2 lead plates downstream of the primary vertex
- kink angle larger than 20 mrad
- daughter momentum higher than 2 GeV/c
- decay  $P_t$  higher than 600 MeV/c, 300 MeV/c if  $\geq 1$  gamma pointing to the decay vertex
- missing  $P_t$  at primary vertex lower than 1 GeV/c
- azimuthal angle between the resulting hadron momentum direction and the parent track direction larger than  $\pi/2$  rad

# Kinematical variables

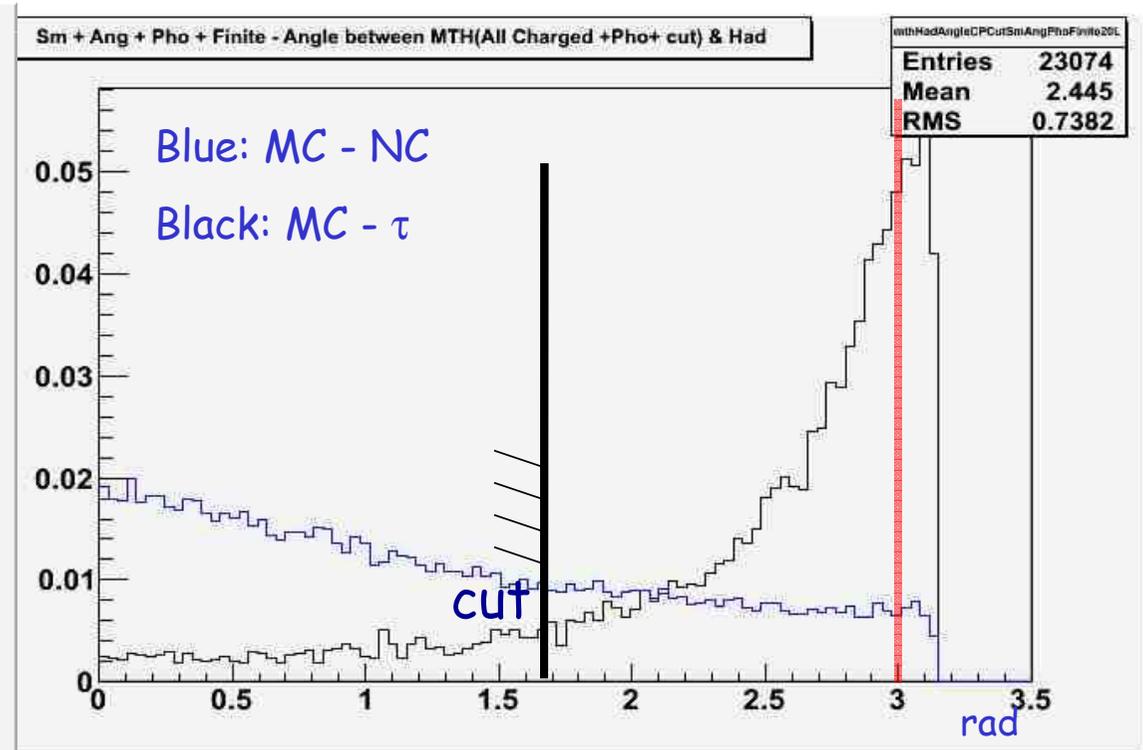
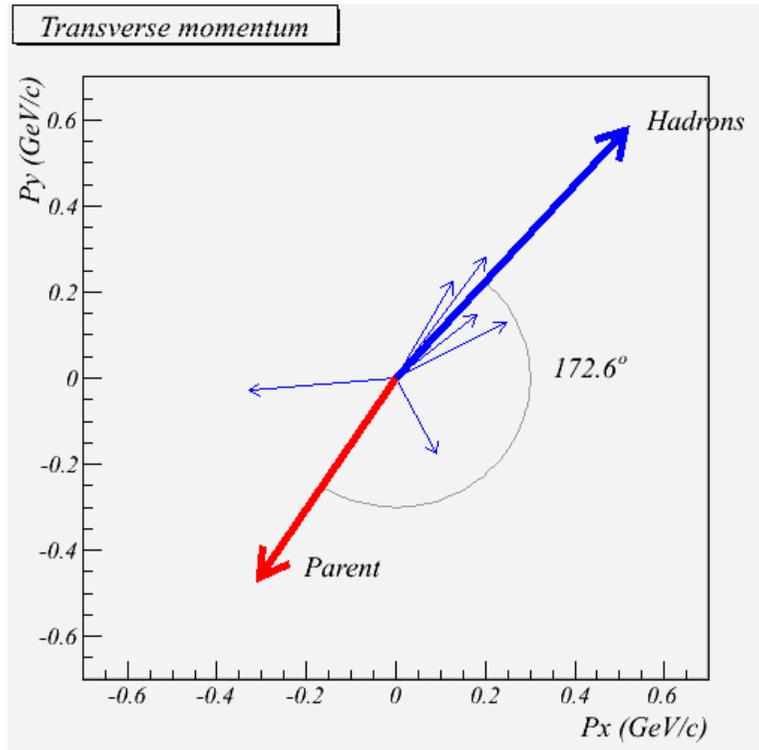
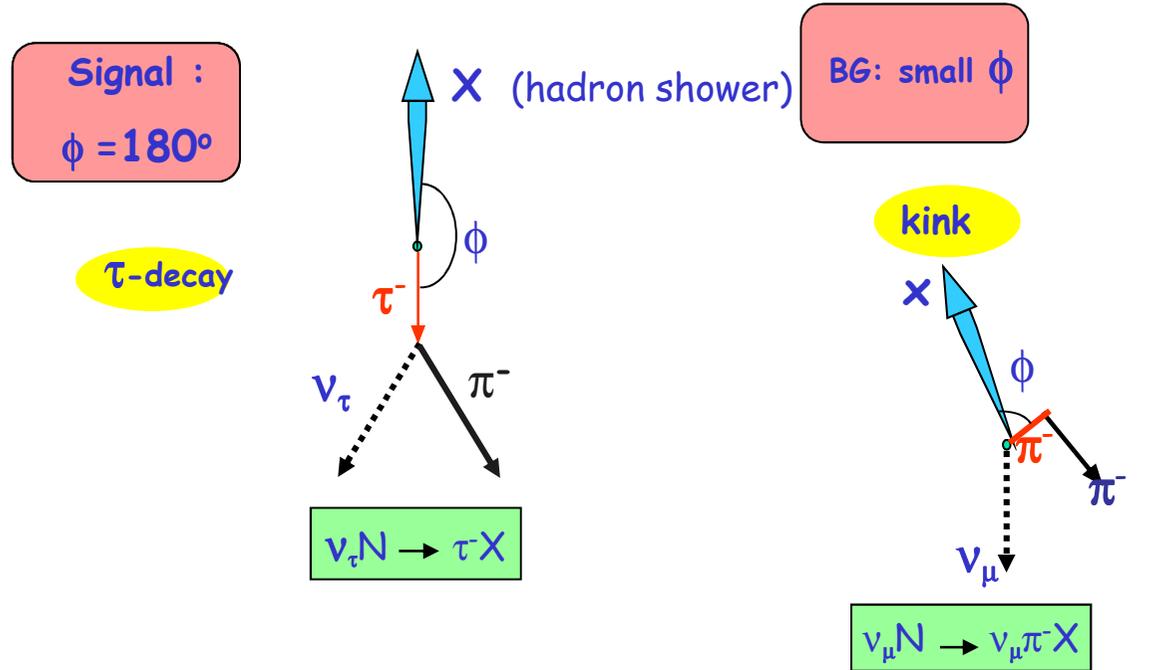
- The kinematical variables are computed by averaging the two sets of track parameter measurements
- We assume that:  
 $\gamma_1$  and  $\gamma_2$  are both attached to  $2^{\text{nd}}$  vertex

VARIABLE	AVERAGE
kink (mrad)	$41 \pm 2$
decay length ( $\mu\text{m}$ )	$1335 \pm 35$
P daughter (GeV/c)	$12^{+6}_{-3}$
Pt daughter (MeV/c)	$470^{+230}_{-120}$
missing Pt (MeV/c)	$570^{+320}_{-170}$
$\phi$ (deg)	$173 \pm 2$

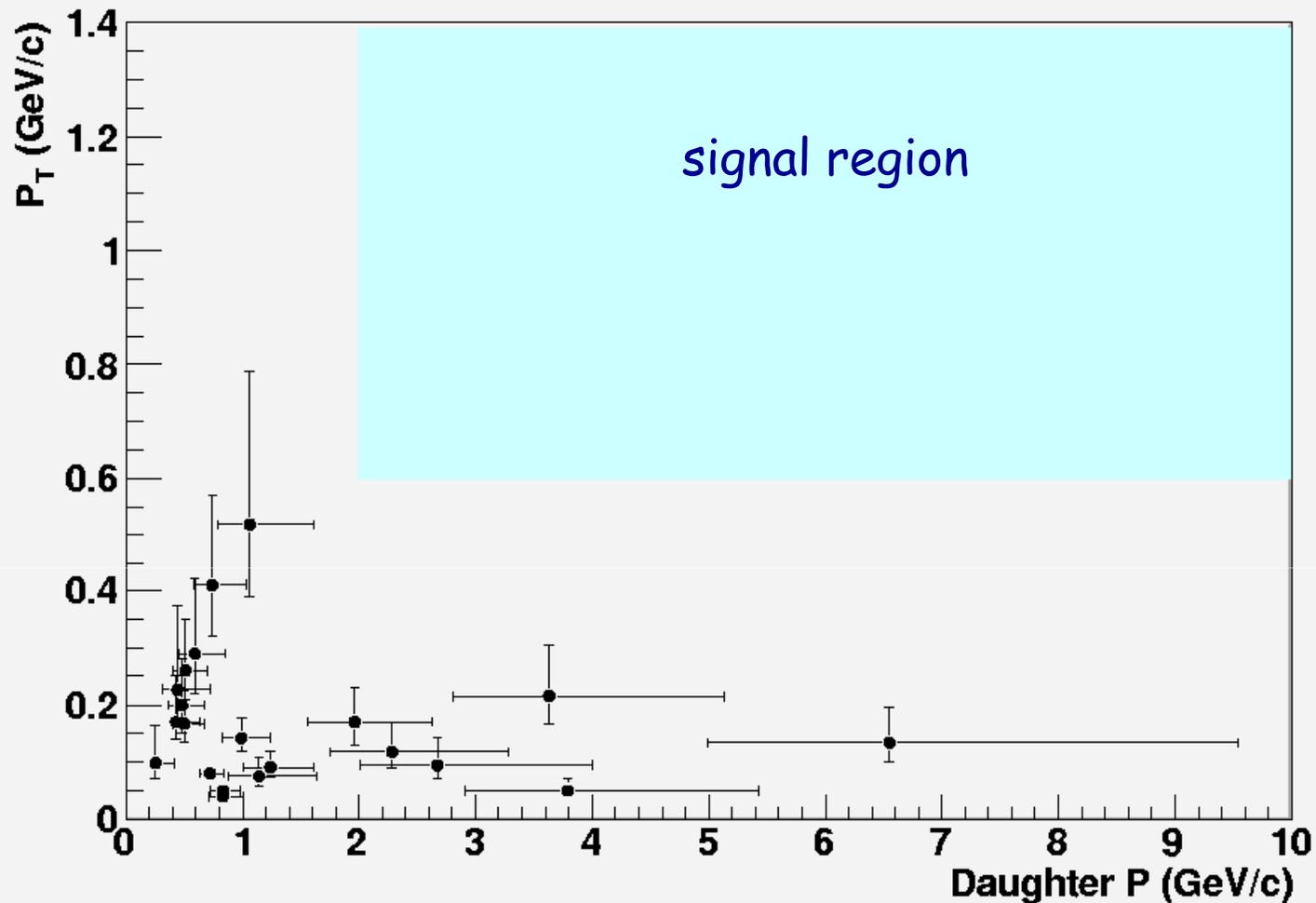
The average values are used in the following kinematical analysis

The uncertainty on Pt due to the alternative  $\gamma_2$  attachment is  $< 50$  MeV

Azimuthal angle between  
the resulting hadron  
momentum  
direction and the parent track  
direction

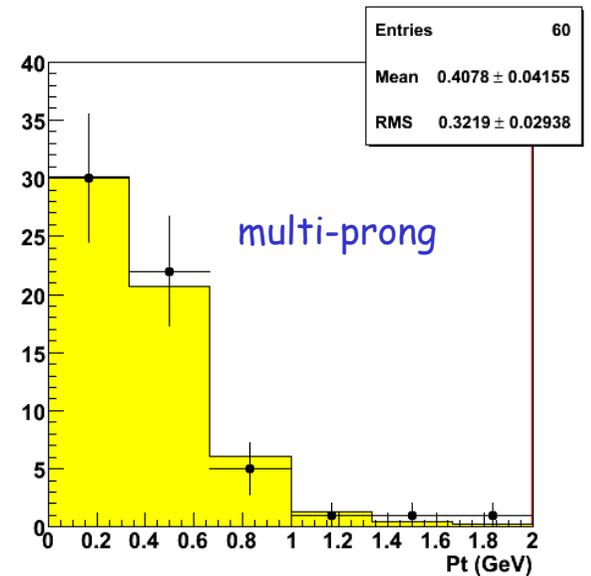
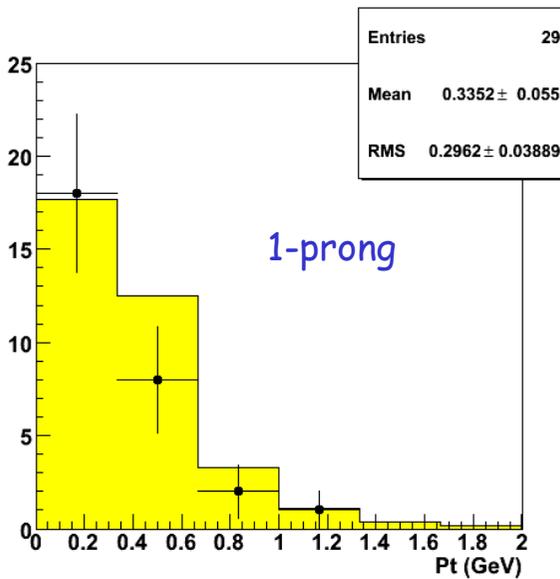
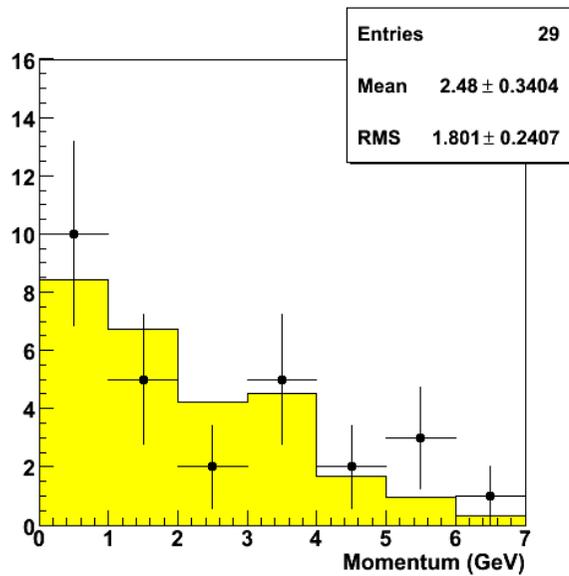
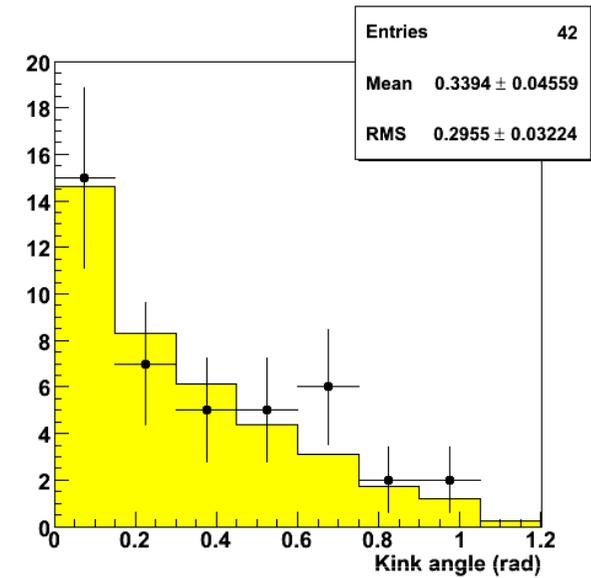
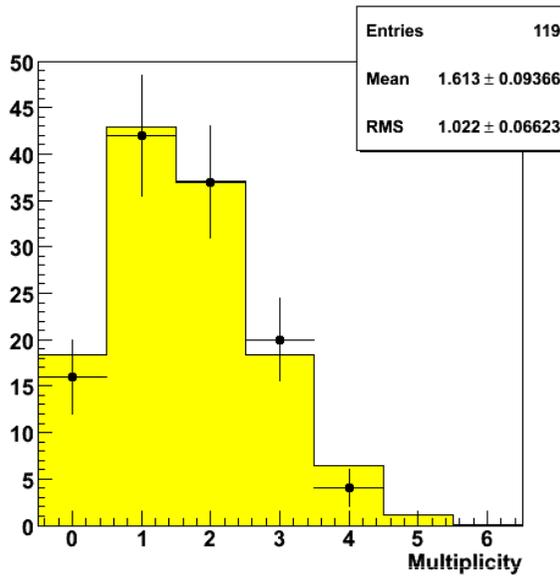
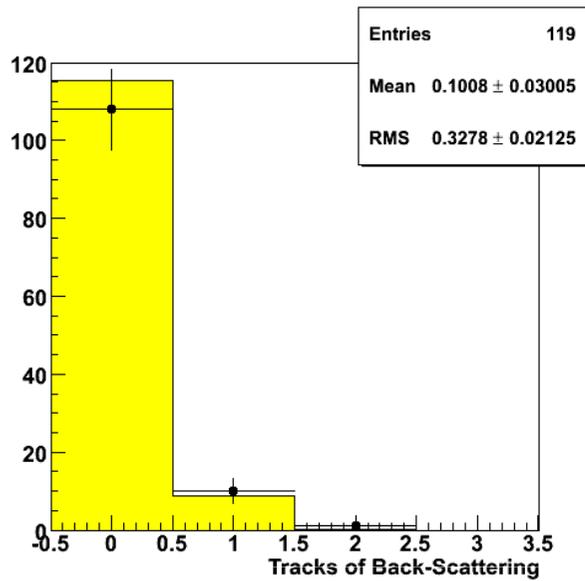


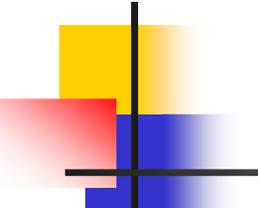
## Hadronic interaction, 1-prong



- no events in the signal region
- 90% CL upper limit of  $1.54 \times 10^{-3}$  kinks/NC event
- the number of events outside the signal region is confirmed by MC (within the  $\sim 30\%$  statistical accuracy of the measurement)

# DATA/MC comparison: good agreement in normalization and shape





# Statistical significance

We observe 1 event in the 1-prong hadron  $\tau$  decay channel, with a background expectation ( $\sim 50\%$  error for each component) of:

0.011 events (reinteractions)

0.007 events (charm)



$0.018 \pm 0.007$  (syst) events 1-prong hadron

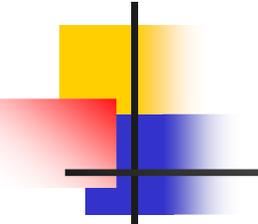
all decay modes: 1-prong hadron, 3-prongs + 1-prong  $\mu$  + 1-prong  $e$ :

$0.045 \pm 0.020$  (syst) events total BG

By considering the 1-prong hadron channel only, the probability to observe 1 event due to a background fluctuation is 1.8%, for a statistical significance of  $2.36 \sigma$  on the measurement of a first  $\nu_\tau$  candidate event in OPERA.

If one considers all  $\tau$  decay modes which were included in the search, the probability to observe 1 event for a background fluctuation is 4.5%.

This corresponds to a significance of  $2.01 \sigma$ .



# Napoli Group contribution

A. Ereditato, K. Niwa and P. Strolin, *The emulsion technique for short, medium and long baseline  $\nu_\mu \rightarrow \nu_\tau$  oscillation experiments* INFN-AE-97-06, DAPNU-97-07, Jan 1997.

**Paolo Strolin** ha avuto la responsabilità dell'esperimento come primo Spokesperson, carica poi passata al fisico francese Yves Déclais e ora affidata ad Antonio Ereditato.

**Pasquale Migliozzi** è ora vice-Spokesperson ed è stato Physics Coordinator avendo così tra l'altro una speciale responsabilità nella difficile compito di una valutazione preventiva delle prestazioni dell'esperimento.

**Salvatore Buontempo** è stato Technical Coordinator per la realizzazione dell'intero apparato sperimentale e in particolare ha diretto la costruzione, mediante un complesso sistema di robot, del grandissimo numero (150.000) di moduli elementari ("mattoni") in cui è suddiviso il bersaglio.

**Giovanni De Lellis** è stato profondamente impegnato nella messa a punto della tecnica delle emulsioni fotografiche, coordina ora la loro analisi e ha avuto in essa un ruolo personale importantissimo.

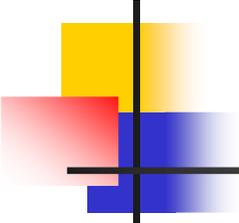
Nel gruppo di Salerno, Giovanni Rosa (ora a Roma La Sapienza) e Cristiano Bozza assieme a Nicola D'Ambrosio (ora al Laboratorio del Gran Sasso) e **Valeri Tioukov** del gruppo di Napoli hanno avuto un ruolo fondamentale nello sviluppo dei microscopi automatici ultra-veloci necessari per l'analisi della tante emulsioni fotografiche in cui cercare il fatidico neutrino tau.



Antonia Di Crescenzo

Andrea Russo

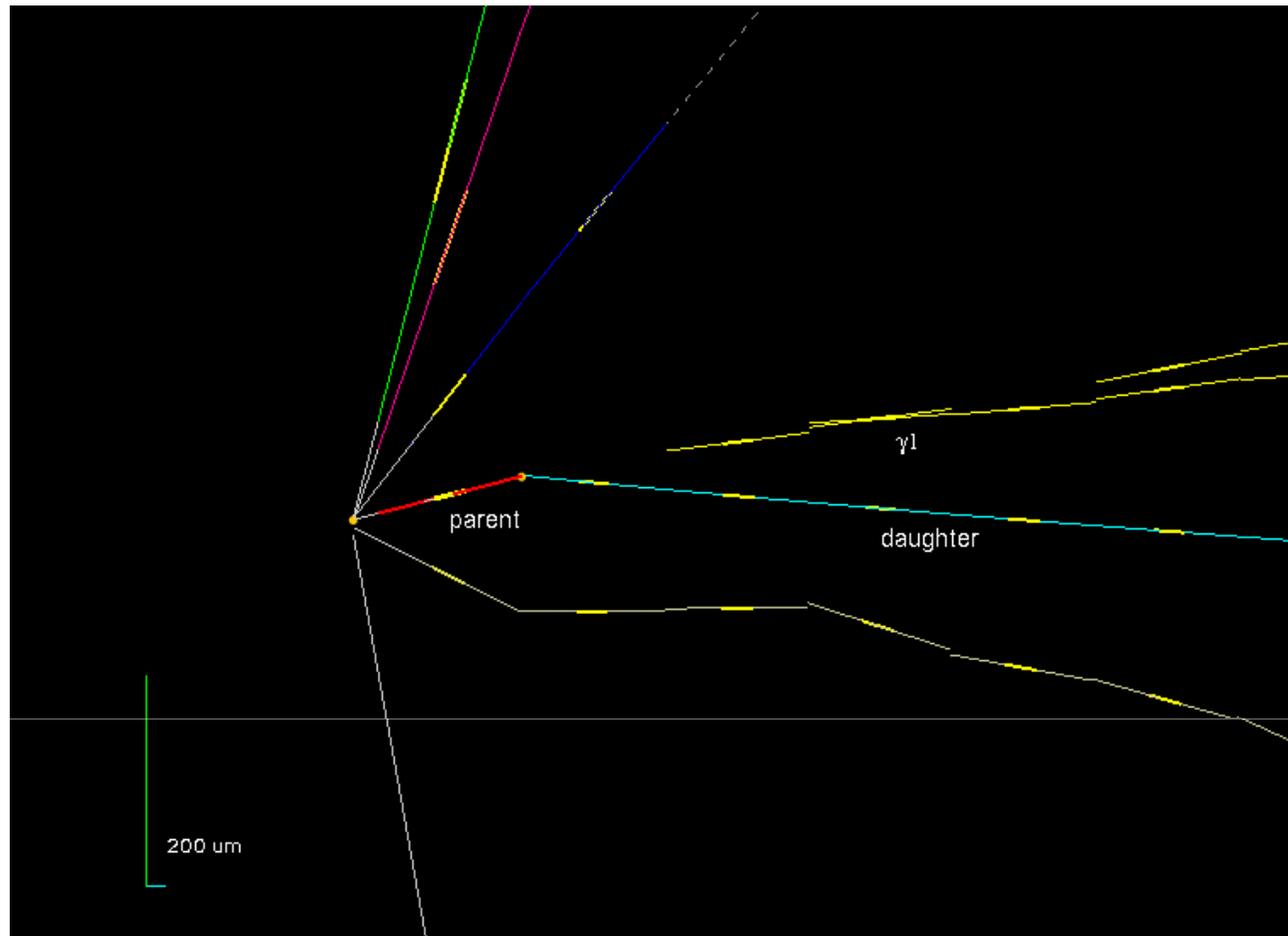
Francesco Di Capua



# Ringraziamenti

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- Il gruppo di Napoli (e tutta la Collaborazione) vuole ringraziare l'INFN per aver fortemente contribuito alla realizzazione del fascio CNGS e dell'esperimento OPERA
- I direttori INFN che si sono succeduti dal 2000 ad oggi (Profs B. D'Ettore Piazzoli e L. Merola) per il continuo supporto fornitoci
- I servizi della sezione di Napoli per il loro essenziale contributo nella fase di realizzazione e di presa dati dell'esperimento
- Tutti gli studenti e i ricercatori italiani e stranieri che negli ultimi 10 anni hanno fatto parte del gruppo OPERA di Napoli



... neutrinos induce courage in theoreticians and  
perseverance in experimenters

Maurice Goldhaber, 1974