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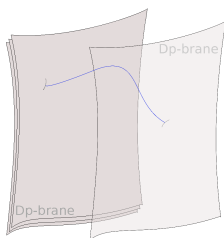


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# Brane Configuration

- Supersymmetry gauge theories in string theory can be realized by coinciding D-branes:

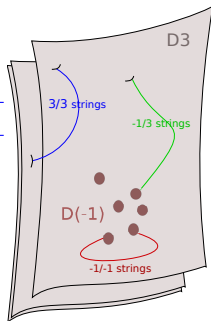


- The  $\mathcal{N} = 4$   $D = 4$   $U(N)$  gauge theories lives on a stack of  $N$  D3-branes .
- Instanton in gauge theories are realized by  $Dp/D(p-4)$  brane configurations.

- Gauge instantons with charge  $k$  in  $\mathcal{N} = 4 U(N)$  in 4D are realized by  $N$  **D3-branes** and  $k$  **D(-1)-branes**.

| $x^\mu$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|---------|---|---|---|---|---|---|---|---|---|----|
| D3      | – | – | – | – | × | × | × | × | × | ×  |
| D(-1)   | × | × | × | × | × | × | × | × | × | ×  |

- : Neumann boundary condition  
 × : Dirichlet boundary condition



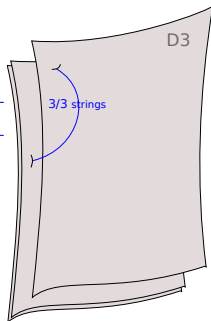
- Spectrum:

|                     | Neveu-Schwarz  | Ramond   |
|---------------------|--|--|
| 3/3                 | $\hat{A}_\mu, \hat{\Phi}^i$                            | $\hat{\Lambda}^{\alpha A}, \hat{\Lambda}_{\dot{\alpha} A}$ |
| $(-1)/(-1)$         | $\hat{a}_\mu, \hat{\chi}^i$                            | $\hat{M}^{\alpha A}, \hat{\lambda}_{\dot{\alpha} A}$       |
| $3/(-1)$ & $(-1)/3$ | $\hat{w}_{\dot{\alpha}}, \hat{\hat{w}}_{\dot{\alpha}}$ | $\hat{\mu}^A, \hat{\hat{\mu}}^A$                           |

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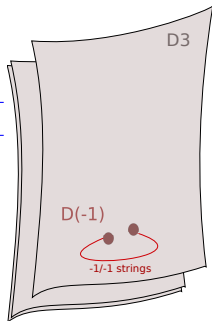
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|---------|---|---|---|---|---|---|---|---|---|----|
| D3      | – | – | – | – | × | × | × | × | × | ×  |
| D(-1)   | × | × | × | × | × | × | × | × | × | ×  |

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 × : Dirichlet boundary condition



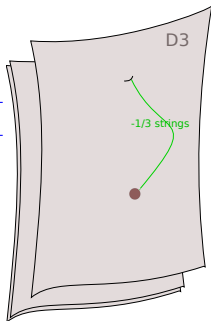
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|-----------------|--|--|
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| D3      | - | - | - | - | × | × | × | × | × | ×  |
| D(-1)   | × | × | × | × | × | × | × | × | × | ×  |

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- Spectrum:

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- We are interested in studying instantons in  $\mathcal{N} = 2 U(N)$  gauge theories in 4D.
- One way to reduce the number of supersymmetries is to add *orbifolds* in the background.
- An example of orbifold group that we consider in our model:

$$\mathbb{Z}_3 = \{1, \xi, \xi^{-1}\} \quad \xi = e^{\frac{2\pi i}{3}}$$

- The orbifold group acts only on the first two complex coordinates in the internal space. The manifold in the internal space:

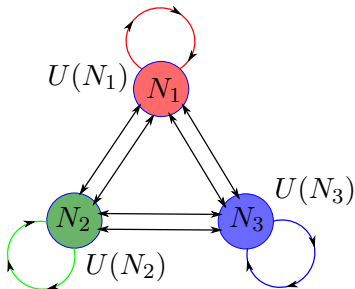
$$\mathbb{C}^2 / \mathbb{Z}_3 \times \mathbb{C}$$



- At the singularity of orbifold the SUSY breaks down:

$$\mathcal{N} = 4 \quad U(N) \rightarrow \mathcal{N} = 2 \quad U(N_1) \times U(N_2) \times U(N_3)$$

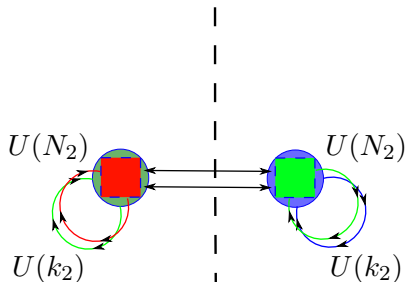
- In the presence of the orbifold  $N$  D3-branes split into  $N_1, N_2$  and  $N_3$  *fractional* D3-branes.
- Three gauge theories on fractional branes can be demonstrated by a *quiver diagram*:



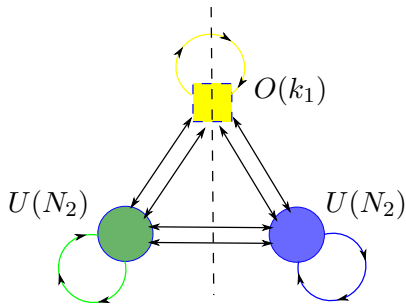
- To our model we include another background: An “O3-plane” along the D3-branes world-volume.
- Orientifold projection imposes extra symmetry on the world-sheet.
- Adding O3-planes do not change the number of supersymmetries; It reduces the number of moduli degrees of freedom.
- The orientifold projection also reduces the unitary groups:

$$U(N), U(k) \rightarrow USp(N), O(k)$$

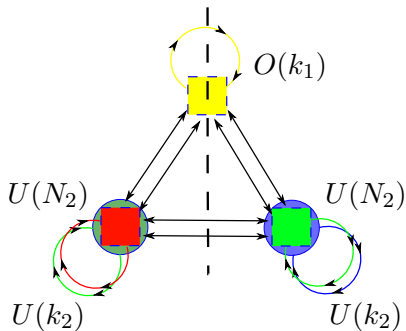
- Gauge instanton configuration:  
The gauge branes and D-instantons are in the same representation of the orbifold group, i.e. they occupy the same node of quiver diagram.



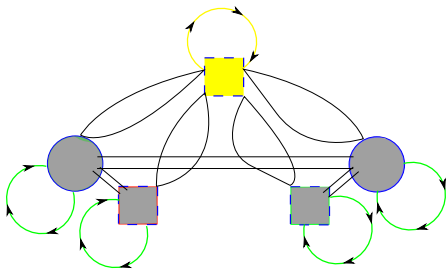
- Stringy instanton configuration:  
The gauge branes and D-instantons are in two different representations of the orbifold group, .i.e they occupy different nodes of quiver diagram.



- Gauge-Stringy Model:



# Gauge-Stringy Spectrum



- The NS sector of  $-1/-1$  string states are called the Neutral Bosonic Moduli:

$$\phi^M \rightarrow a^\mu \oplus \chi^p \equiv a^\mu \oplus \chi^i \quad M = 0, \dots, 9; \quad \mu = 0, \dots, 3; \quad i = 1, 2, 3$$

- Under orbifold transformation:

$$a_\mu = \gamma(g) a_\mu \gamma(g)^{-1} \quad \chi_i = \xi^i \gamma(g) \chi_i \gamma(g)^{-1}$$

- $g(\gamma)$  is a representation of the orbifold group acting on Chan-Paton matrices:

$$\gamma(g) = \begin{pmatrix} \mathbb{1}_{k_s} & 0 & 0 \\ 0 & \xi \mathbb{1}_{k_g} & 0 \\ 0 & 0 & \xi^{-1} \mathbb{1}_{k_g} \end{pmatrix}$$

- Under orientifold transformation:

$$a_\mu = \gamma_+(\Omega) a_\mu^T \gamma_+(\Omega)^{-1} \quad \chi_i = -\gamma_+(\Omega) \chi_i^T \gamma_+(\Omega)^{-1}$$

- $\gamma_+(\Omega)$  is a symmetric representation of orientifold group acting on Chan-Paton matrices:

$$\gamma_+(\Omega) = \begin{pmatrix} \mathbb{1}_{k_s} & 0 & 0 \\ 0 & 0 & \mathbb{1}_{k_g} \\ 0 & \mathbb{1}_{k_g} & 0 \end{pmatrix}$$

- $\mathbb{1}_{k_s}$  and  $\mathbb{1}_{k_g}$  are respectively  $k_s \times k_s$  and  $k_g \times k_g$  unit matrices.



- Neutral Bosonic Chan-Patons satisfying orbifold and orientifold conditions:

$$a^\mu = \begin{pmatrix} a_{(s)}^\mu & 0 & 0 \\ 0 & a_{(g)}^\mu & 0 \\ 0 & 0 & a_{(g)}^{\mu T} \end{pmatrix} \quad \chi_3 = \begin{pmatrix} \chi_{(s)} & 0 & 0 \\ 0 & \chi_{(g)} & 0 \\ 0 & 0 & -\chi_{(g)}^T \end{pmatrix}$$

$$\begin{aligned}
 & a_{(s)}^\mu = a_{(s)}^{\mu T} & \chi_{(s)} &= -\chi_{(s)}^T \\
 \chi^1 &= \begin{pmatrix} 0 & \chi_{(gs)}^1 & 0 \\ 0 & 0 & \chi_{(g)}^1 \\ -\chi_{(gs)}^{1T} & 0 & 0 \end{pmatrix} & \chi^2 &= \begin{pmatrix} 0 & 0 & \chi_{(gs)}^2 \\ -\chi_{(gs)}^{2T} & 0 & 0 \\ 0 & \chi_{(g)}^2 & 0 \end{pmatrix} \\
 & \chi_{(g)}^1 = -\chi_{(g)}^{1T} & \chi_{(g)}^2 &= -\chi_{(g)}^{2T}
 \end{aligned}$$

- The R sector of  $-1/-1$  string states are the Neutral Fermionic Moduli:

$$\Lambda_{\dot{A}} \rightarrow \lambda_{\dot{\alpha}A} \oplus M^{\alpha A}$$

$$\dot{A} = 1, \dots, 16 \quad \dot{\alpha}, \alpha = 1, 2 \quad A = 1, \dots, 4$$

- Through GSO projection we have chosen only the anti-chiral Ramond spinor i.e.  $\Lambda_{\dot{A}}$ .
- The index  $\dot{\alpha}$  ( $\alpha$ ) in  $\lambda_{\dot{\alpha}A}$  ( $M^{\alpha A}$ ) is anti-chiral (chiral) in the Lorentz space. The lower (upper) index  $A$  is chiral (anti-chiral) in 6d internal space.

- The Chan-Paton structure of Neutral Fermionic Moduli:

$$M^{\alpha\dot{a}} = \begin{pmatrix} M_{(s)}^{\alpha\dot{a}} & 0 & 0 \\ 0 & M_{(g)}^{\alpha\dot{a}} & 0 \\ 0 & 0 & M_{(g)}^{\alpha\dot{a}T} \end{pmatrix} \quad M_{(s)}^{\alpha\dot{a}} = M_{(s)}^{\alpha\dot{a}T}$$

$$\lambda_{\dot{a}\alpha} = \begin{pmatrix} \lambda_{(s)\dot{a}\alpha} & 0 & 0 \\ 0 & \lambda_{(g)\dot{a}\alpha} & 0 \\ 0 & 0 & -\lambda_{(g)\dot{a}\alpha}^T \end{pmatrix} \quad \lambda_{(s)\dot{a}\alpha} = -\lambda_{(s)\dot{a}\alpha}^T$$

- The entries in the Chan-Paton matrices are of either stringy or gauge type.

- The off-diagonal Chan-Patons of Neutral Fermionic Moduli:

$$M^{\alpha 3} = \begin{pmatrix} 0 & M_{(gs)}^{\alpha} & 0 \\ 0 & 0 & M_{(g)}^{\alpha} \\ M_{(gs)}^{\alpha T} & 0 & 0 \end{pmatrix} \quad M_{(g)}^{\alpha} = M_{(g)}^{\alpha T}$$

$$\lambda_{\dot{\alpha} 3} = \begin{pmatrix} 0 & 0 & \lambda_{(gs)\dot{\alpha}} \\ -\lambda_{(gs)\dot{\alpha}}^T & 0 & 0 \\ 0 & \lambda_{(g)\dot{\alpha}} & 0 \end{pmatrix} \quad \lambda_{(g)\dot{\alpha}} = -\lambda_{(g)\dot{\alpha}}^T$$

$$M^{\alpha 4} = \begin{pmatrix} 0 & 0 & M_{(gs)}^{\prime\alpha} \\ M_{(gs)}^{\prime\alpha T} & 0 & 0 \\ 0 & M_{(g)}^{\prime\alpha} & 0 \end{pmatrix} \quad M_{(g)}^{\prime\alpha} = M_{(g)}^{\prime\alpha T}$$

$$\lambda_{\dot{\alpha} 4} = \begin{pmatrix} 0 & \lambda'_{(gs)\dot{\alpha}} & 0 \\ 0 & 0 & \lambda'_{(g)\dot{\alpha}} \\ -\lambda'_{(gs)\dot{\alpha}}^T & 0 & 0 \end{pmatrix} \quad \lambda'_{(g)\dot{\alpha}} = -\lambda'_{(g)\dot{\alpha}}^T$$

- The charged moduli stem from  $-1/3$  string states which enjoy the mixed boundary conditions.
- The string endpoint on D3-branes transform under anti-symmetric representation  $\gamma_-(\Omega)$  of orientifold group and string endpoint on D-instanton transform under the symmetric representation  $\gamma_+(\Omega)$ :

$$\gamma_-(\Omega) = \begin{pmatrix} \epsilon_{N_1 \times N_1} & 0 & 0 \\ 0 & 0 & \mathbb{1}_{N \times N} \\ 0 & -\mathbb{1}_{N \times N} & 0 \end{pmatrix}$$

$$\gamma_+(\Omega) = \begin{pmatrix} \mathbb{1}_{k_s} & 0 & 0 \\ 0 & 0 & \mathbb{1}_{k_g} \\ 0 & \mathbb{1}_{k_g} & 0 \end{pmatrix}$$

- Charged bosonic moduli  $w_{\dot{\alpha}}$  and  $\bar{w}_{\dot{\alpha}}$  are Weyl spinors in Lorentz space and scalars in internal space.
- Orbifold and orientifold conditions on  $w_{\dot{\alpha}}$  and  $\bar{w}_{\dot{\alpha}}$  are:

$$\bar{w}_{\dot{\alpha}} = \gamma(g) w_{\dot{\alpha}} \gamma(g)^{-1} \quad \bar{w}_{\dot{\alpha}} = \gamma_+(\Omega) w_{\dot{\alpha}}^T \gamma_-(\Omega)^{-1}$$

- Chan-Paton matrices takes the form:

$$w_{\dot{\alpha}} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & w_{(g)\dot{\alpha}} & 0 \\ 0 & 0 & w'_{(g)\dot{\alpha}} \end{pmatrix} \quad \bar{w}_{\dot{\alpha}} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & w'_{(g)\dot{\alpha}}{}^T & 0 \\ 0 & 0 & -w_{(g)\dot{\alpha}}{}^T \end{pmatrix}$$

- The R sector of 3/-1 string states  $\mu^A$  and  $\bar{\mu}^A$  are charged fermionic moduli:

$$\bar{\mu}^A = R(g)^A_B \gamma(g) \mu^B \gamma(g)^{-1} \quad \bar{\mu}^A = R(\Omega)^A_B \gamma_+(\Omega) (\mu^B)^T \gamma_-(\Omega)^{-1}$$

$$\mu^a = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \mu_{(g)}^a & 0 \\ 0 & 0 & \mu_{(g)}'^a \end{pmatrix} \quad \bar{\mu}^a = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \mu_{(g)}'^a{}^T & 0 \\ 0 & 0 & -\mu_{(g)}^a{}^T \end{pmatrix}$$

$$\mu^3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & \mu_{(g)} \\ \mu_{(s)} & 0 & 0 \end{pmatrix} \quad \bar{\mu}^3 = \begin{pmatrix} 0 & \mu_{(s)}^T & 0 \\ 0 & 0 & -\mu_{(g)}^T \\ 0 & 0 & 0 \end{pmatrix}$$

$$\mu^4 = \begin{pmatrix} 0 & 0 & 0 \\ \mu_{(s)}' & 0 & 0 \\ 0 & \mu_{(g)}' & 0 \end{pmatrix} \quad \bar{\mu}^4 = \begin{pmatrix} 0 & 0 & -\mu_{(s)}'^T \\ 0 & 0 & 0 \\ 0 & \mu_{(g)}'^T & 0 \end{pmatrix}$$

- The quartic terms in the moduli action can be rewritten in quadratic form by introducing “auxiliary moduli”:

$$D^c \equiv \bar{\eta}_{\mu\nu}^c [a^\mu, a^\nu] + \bar{\zeta}_{mn}^c [\chi^m, \chi^n].$$

where

$$\bar{\eta}_{\mu\nu}^c \bar{\zeta}_{mn}^c = 0$$

$$D^c = \begin{pmatrix} D_{(s)}^c & 0 & 0 \\ 0 & D_{(g)}^c & 0 \\ 0 & 0 & -D_{(g)}^c{}^T \end{pmatrix}$$

with  $D_{(s)}^c = -D_{(s)}^c{}^T$ .



$$C^{\alpha\dot{a}} \equiv (\bar{\sigma}_{\mu})_{\dot{a}}^{\alpha} [a^{\mu}, \chi^{\dot{a}a}]$$

where

$$\chi^{\dot{a}b} \equiv (\bar{\sigma}^m)^{\dot{a}b} \chi_m$$

$$\chi_{ab} \equiv (\sigma^m)_{ab} \chi_m$$

therefore:

$$C^{\alpha 3} = \begin{pmatrix} 0 & C_{(gs)}^{\alpha} & 0 \\ 0 & 0 & C_{(g)}^{\alpha} \\ C_{(gs)}^{\alpha T} & 0 & 0 \end{pmatrix}$$

$$C^{\alpha 4} = \begin{pmatrix} 0 & 0 & C'_{(gs)}{}^{\alpha} \\ C'_{(gs)}{}^{\alpha T} & 0 & 0 \\ 0 & C'_{(g)}{}^{\alpha} & 0 \end{pmatrix}$$

$$C_{(g)}^{\alpha} = C_{(g)}^{\alpha T}$$

$$C'_{(g)}{}^{\alpha} = C'_{(g)}{}^{\alpha T}$$

$$h_a \equiv \bar{w}^{\dot{\alpha}} \chi_{\dot{\alpha}a}$$

$$h^1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & h_{(g)} \\ h_{(s)} & 0 & 0 \end{pmatrix} \quad \bar{h}^1 = \begin{pmatrix} 0 & h_{(s)}^T & 0 \\ 0 & 0 & -h_{(g)}^T \\ 0 & 0 & 0 \end{pmatrix}$$

$$h^2 = \begin{pmatrix} 0 & 0 & 0 \\ h'_{(s)} & 0 & 0 \\ 0 & h'_{(g)} & 0 \end{pmatrix} \quad \bar{h}^2 = \begin{pmatrix} 0 & 0 & -h'_{(s)}{}^T \\ 0 & 0 & 0 \\ 0 & h'_{(g)}{}^T & 0 \end{pmatrix}$$

# Gauge-Stringy $Q$ -Exact Action

- The moduli action is known from ADHM construction. It can also be rederived from disk amplitudes couplings in type IIB:

$$S = S_{\text{cubic}} + S_{\text{quartic}} + S_{\text{charged}}$$

$$g_0^2 S_{\text{quartic}} = \frac{1}{2} D_c^2 + \frac{1}{2} D_c \left( \bar{\eta}_{\mu\nu}^c [a^\mu, a^\nu] + \bar{\zeta}_{mn}^c [x^m, x^n] \right) - \frac{1}{4} [a_\mu, \chi] [a_\mu, \bar{\chi}] \\ - \frac{1}{2} [a^\mu, \chi^{\dot{\alpha}a}] [a_\mu, \chi_{a\dot{\alpha}}] - \frac{1}{4} [\chi, \bar{\chi}] [\chi, \bar{\chi}] - \frac{1}{4} [\chi, \chi^{\dot{\alpha}a}] [\bar{\chi}, \chi_{a\dot{\alpha}}],$$

$$g_0^2 S_{\text{cubic}} = 4(\bar{\sigma}^\mu)_{\dot{\alpha}\beta} [M^{\beta a}, a_\mu] \lambda_a^{\dot{\alpha}} + 4(\bar{\sigma}^\mu)_{\dot{\alpha}\beta} [M^{\beta \dot{a}}, a_\mu] \lambda_{\dot{a}}^{\dot{\alpha}} \\ - \frac{i}{2} \lambda_{\dot{\alpha}a} [\chi, \lambda^{\dot{\alpha}a}] - \frac{i}{2} \lambda_{\dot{\alpha}\dot{a}} [\bar{\chi}, \lambda^{\dot{\alpha}\dot{a}}] - i \lambda_{\dot{\alpha}\dot{a}} [\chi^{\dot{a}b}, \lambda_{\dot{b}}^{\dot{\alpha}}] \\ - \frac{i}{2} M^{\alpha a} [\chi, M_{\alpha a}] - \frac{i}{2} M^{\alpha \dot{a}} [\bar{\chi}, M_{\alpha \dot{a}}] - i M^{\alpha a} [\chi_{a\dot{b}}, M_{\alpha}^{\dot{b}}]$$

$$g_0^2 S_{\text{charged}} = 2i (\bar{\mu}^a w_{\dot{\alpha}} + \bar{w}_{\dot{\alpha}} \mu^a) \lambda_a^{\dot{\alpha}} + 2i (\bar{\mu}^{\dot{a}} w_{\dot{\alpha}} + \bar{w}_{\dot{\alpha}} \mu^{\dot{a}}) \lambda_{\dot{a}}^{\dot{\alpha}} \\ - i D^c \bar{w}^{\dot{\alpha}} (\tau^c)_{\dot{\alpha}\beta} w_{\dot{\beta}} - \chi^{\dot{a}b} \bar{w}_{\dot{\alpha}} w^{\dot{\alpha}} \chi_{b\dot{a}} + 2\chi \bar{w}_{\dot{\alpha}} w^{\dot{\alpha}} \bar{\chi} \\ + i \bar{\mu}^a \mu_a \chi + i \bar{\mu}^{\dot{a}} \mu_{\dot{a}} \bar{\chi} + i (\bar{\mu}^a \mu^{\dot{b}} - \bar{\mu}^{\dot{b}} \mu^a) \chi_{a\dot{b}}$$

- All moduli in the above action are  $3 \times 3$  block Chan-Paton matrices.

- The prepotential of  $\mathcal{N} = 2$  SYM is obtained through the logarithm of the total partition function  $\mathcal{Z}$ :

$$\mathcal{Z} = \sum_{k=1}^{\infty} q^k Z_k \quad q = \mu^{\gamma(k_s, k_g)} e^{2\pi i \tau}$$

- $k$ -instanton partition function is given by integrating out over all neutral and charged moduli.

$$Z_k = \mathcal{N}_k \int dx^4 d\theta^4 \int d\hat{\mathcal{M}}_k e^{-S(\mathcal{M}_k, \phi)} \quad [d\mathcal{M}_k] = \mu^{-\gamma}$$

- Superspace coordinates  $\theta^{\alpha a} \equiv \text{tr} M^{\alpha a}$  and  $x^\mu \equiv \text{tr} a_\mu$  are the center of the instanton. The moduli action does not depend on the center of instanton.
- The moduli integration except for leading instanton numbers is too difficult to perform.

- The action of the instanton moduli space enjoys an important **holomorphicity property**.
- The holomorphicity becomes evident by a topological twist:

$$SU(2)_R \times SU(2)_I \rightarrow SU(2)' = \text{diag}(SU(2)_R \times SU(2)_I)$$

- This identification reorganize the 4 supercharges  $Q^{\dot{\alpha}a}$  into a singlet and a triplet:

$$Q = \frac{1}{2} \epsilon_{\dot{\alpha}\dot{\beta}} Q^{\dot{\alpha}\dot{\beta}} \quad Q_c = \frac{i}{2} (\tau_c)_{\dot{\alpha}\dot{\beta}} Q^{\dot{\alpha}\dot{\beta}}$$

- The moduli having an index of right-handed Lorentz subgroup or the internal subgroup are decomposed.

$$\lambda_{\dot{\alpha}a} \rightarrow \lambda_{\dot{\alpha}\dot{\beta}} \equiv \frac{1}{2} \epsilon_{\dot{\alpha}\dot{\beta}} \eta + \frac{i}{2} (\tau^c)_{\dot{\alpha}\dot{\beta}} \lambda_c$$

$$M^{\alpha a} \rightarrow M^{\alpha\dot{\beta}} \equiv \frac{1}{2} M_\mu (\sigma^\mu)^{\alpha\dot{\beta}}$$

The action turns out to be **Q-exact**;  $S = Q \Xi$ :

$$\begin{aligned} \Xi = & \frac{i}{4} M^\mu [\bar{\chi}, a_\mu] + \frac{1}{2} A \bar{\eta}_{\mu\nu}^c \lambda^c [a_\mu, a_\nu] - \bar{w}^{\dot{\alpha}} (\tau^c)_{\dot{\alpha}\dot{\beta}} w^{\dot{\beta}} \lambda^c + (\bar{\mu}^{\dot{\alpha}} w_{\dot{\alpha}} + \bar{w}_{\dot{\alpha}} \mu^{\dot{\alpha}}) \bar{\chi} \\ & + \frac{1}{2} \lambda^c D^c + \frac{i}{4} [\chi, \bar{\chi}] \eta - \frac{1}{2} (\bar{\mu}^a h_a + \bar{h}^a \mu_a) - (\bar{w}^{\dot{\alpha}} \mu^a + \bar{\mu}^a w^{\dot{\alpha}}) \chi_{a\dot{\alpha}} \\ & + 4 (\bar{\sigma}^\mu)^{\dot{\alpha}}_{\alpha} [\chi_{a\dot{\alpha}}, a_\mu] M^{\alpha a} + \frac{1}{2} M^{\alpha a} C_{\alpha a} - \frac{i}{2} \lambda_{\dot{\alpha} a} [\chi^{\dot{\alpha} a}, \bar{\chi}] \\ & + \frac{1}{2} \bar{\zeta}_{mn}^c (\bar{\sigma}^m \sigma^n)_{\dot{\alpha}}^{\dot{\beta}} \lambda_c [\chi^{a\dot{\alpha}}, \chi_{\dot{\beta} a}] \end{aligned}$$

|  |  |
|--|--|
| $Q\chi = 0, \quad Q\bar{\chi} = \eta$                | $Q\eta = i[\chi, \bar{\chi}]$                                |
| $Qa^\mu = M^\mu$                                     | $QM^\mu = i[\chi, a^\mu]$                                    |
| $Q\lambda^c = D^c$                                   | $QD^c = i[\chi, \lambda^c]$                                  |
| $Qw^{\dot{\alpha}} = \mu^{\dot{\alpha}}$             | $Q\mu^{\dot{\alpha}} = -iw^{\dot{\alpha}}\chi$               |
| $Q\bar{w}_{\dot{\alpha}} = \bar{\mu}_{\dot{\alpha}}$ | $Q\bar{\mu}_{\dot{\alpha}} = i\chi\bar{w}_{\dot{\alpha}}$    |
| $Q\chi^{\dot{\alpha} a} = \lambda^{\dot{\alpha} a}$  | $Q\lambda^{\dot{\alpha} a} = i[\chi, \chi^{\dot{\alpha} a}]$ |
| $Q\chi_{a\dot{\alpha}} = \lambda_{a\dot{\alpha}}$    | $Q\lambda_{a\dot{\alpha}} = i[\chi, \chi_{a\dot{\alpha}}]$   |
| $QM^{\alpha a} = C^{\alpha a}$                       | $QC^{\alpha a} = i[\chi, M^{\alpha a}]$                      |
| $Q\mu^a = h^a$                                       | $Qh^a = i\mu^a\chi$  |
| $Q\bar{\mu}^a = \bar{h}^a$                           | $Q\bar{h}^a = -i\chi\bar{\mu}^a$                             |

- The multi-instanton calculus becomes possible by **localization** of integral on the instanton moduli space through the introduction of an  **$\Omega$ -background**.
- In IIB the  $\Omega$ -background is provided by a **R-R 3-form flux**  $F_{LMN}$ .
- The flux invariant under orbifold and orientifold projection:  
 $\mathcal{F}_{\mu\nu} \equiv F_{\mu\nu z}$  and  $\bar{\mathcal{F}}_{\mu\nu} \equiv F_{\mu\nu \bar{z}}$ ,  $z$  and  $\bar{z}$  along the third complex coordinate.
- Interaction with bosonic moduli:

$$\frac{1}{g_0^2} \text{tr} \left\{ \mathcal{F}^{\mu\nu} a_\nu [\bar{\chi}, a_\mu] + i \bar{\mathcal{F}} a_\mu [\chi, a_\nu] - i \bar{\mathcal{F}}^{\mu\nu} a_\mu \mathcal{F}_{\nu\rho} a^\rho \right\}$$

- Interaction with fermionic moduli:

$$\frac{1}{g_0^2} \text{tr} \left\{ -\frac{1}{2} \epsilon_{cde} \lambda^c \lambda^d f^e - f_c \lambda^c \eta + i f_c D^c \bar{\chi} + \bar{\mathcal{F}}_{\mu\nu} M^\mu M^\nu \right\}$$



- The **BRST transformation** of moduli involve only **holomorphic** graviphoton field strength.

$$\begin{aligned}
Q_\Omega \chi &= 0, & Q_\Omega \bar{\chi} &= \eta & Q_\Omega \eta &= i[\chi, \bar{\chi}] \\
Q_\Omega a^\mu &= M^\mu & Q_\Omega M^\mu &= i[\chi, a^\mu] - i\bar{\mathcal{F}}^{\mu\nu} a_\nu \\
Q_\Omega \lambda^c &= D^c & Q_\Omega D^c &= i[\chi, \lambda^c] + \epsilon^{cde} \lambda_d f_e \\
Q_\Omega w^{\dot{\alpha}} &= \mu^{\dot{\alpha}} & Q_\Omega \mu^{\dot{\alpha}} &= -i w^{\dot{\alpha}} \chi + i \phi w^{\dot{\alpha}} - \frac{1}{2} \bar{\mathcal{F}}_{\mu\nu} (\bar{\sigma}^{\mu\nu})^{\dot{\alpha}}_{\dot{\beta}} w^{\dot{\beta}} \\
Q_\Omega \bar{w}_{\dot{\alpha}} &= \bar{\mu}_{\dot{\alpha}} & Q_\Omega \bar{\mu}_{\dot{\alpha}} &= i \chi \bar{w}_{\dot{\alpha}} - i \bar{w}_{\dot{\alpha}} \phi - \frac{1}{2} \bar{\mathcal{F}}_{\mu\nu} (\bar{\sigma}^{\mu\nu})^{\dot{\alpha}}_{\dot{\beta}} \bar{w}^{\dot{\beta}} \\
Q_\Omega \chi^{\dot{\alpha}a} &= \lambda^{\dot{\alpha}a} & Q_\Omega \lambda^{\dot{\alpha}a} &= i[\chi, \chi^{\dot{\alpha}a}] - \frac{1}{2} \bar{\mathcal{F}}_{\mu\nu} (\bar{\sigma}^{\mu\nu})^{\dot{\alpha}}_{\dot{\beta}} \chi^{\dot{\beta}a} \\
Q_\Omega \chi_{a\dot{\alpha}} &= \lambda_{a\dot{\alpha}} & Q_\Omega \lambda_{a\dot{\alpha}} &= i[\chi, \chi_{a\dot{\alpha}}] - \frac{1}{2} \bar{\mathcal{F}}_{\mu\nu} (\bar{\sigma}^{\mu\nu})^{\dot{\beta}}_{\dot{\alpha}} \chi_{a\dot{\beta}} \\
Q_\Omega M^{\alpha a} &= C^{\alpha a} & Q_\Omega C^{\alpha a} &= i[\chi, M^{\alpha a}] - \frac{1}{2} \bar{\mathcal{F}}^{\mu\nu} (\sigma_{\mu\nu})^\alpha_\beta M^{\beta a} \\
Q_\Omega \mu^a &= h^a & Q_\Omega h^a &= i \mu^a \chi - i \phi \mu^a \\
Q_\Omega \bar{\mu}^a &= \bar{h}^a & Q_\Omega \bar{h}^a &= -i \chi \bar{\mu}^a + i \bar{\mu}^a \phi
\end{aligned}$$

- The **gauge fermion**  $\Xi$  depends only on **anti-holomorphic** graviphoton field strength:  $\Xi = \Xi + \Xi \bar{\mathcal{F}}$

$$\Xi_{\bar{\mathcal{F}}} = i f_c \lambda^c \bar{\chi} + \bar{f}_{\mu\nu} a^\mu M^\nu + \bar{f}_{\mu\nu} (\bar{\sigma}^{\mu\nu})_{\dot{\alpha}\dot{\beta}} \bar{w}^{\dot{\alpha}} \mu^{\dot{\beta}} + \bar{f}^{\mu\nu} (\bar{\sigma}_{\mu\nu})^{\dot{\alpha}}_{\dot{\beta}} \chi_{\dot{\alpha}a} \lambda^{a\dot{\beta}}$$

- The dimension of the moduli space is defined as the sum over all canonical dimensions of the moduli.
- In number of degrees of freedom of the various Chan-Paton matrices and the moduli dimensions are given by the table:

| ↓ BRST pairs   moduli →  | gauge                       | stringy                     | gauge-stringy | [L]                          |
|--|-----------------------------|-----------------------------|---------------|------------------------------|
| $(a^\mu, M^\mu)$   | $k_g^2$                     | $\frac{1}{2} k_s (k_s + 1)$ | ×             | $(L, L^{\frac{1}{2}})$       |
| $(\bar{\chi}, \eta)$   | $k_g^2$                     | $\frac{1}{2} k_s (k_s - 1)$ | ×             | $(L^{-1}, L^{-\frac{3}{2}})$ |
| $(\lambda^c, D^c)$   | $k_g^2$                     | $\frac{1}{2} k_s (k_s - 1)$ | ×             | $(L^{-\frac{3}{2}}, L^{-2})$ |
| $(\mu^a, h^a), (\bar{\mu}^a, \bar{h}^a)$   | $k_g N$                     | $k_s N$                     | ×             | $(L^{\frac{1}{2}}, L^0)$     |
| $(w^{\dot{\alpha}}, \mu^{\dot{\alpha}}), (\bar{w}_{\dot{\alpha}}, \bar{\mu}_{\dot{\alpha}})$ | $k_g N$                     | ×                           | ×             | $(L, L^{\frac{1}{2}})$       |
| $(M^{\alpha a}, C^{\alpha a})$   | $\frac{1}{2} k_g (k_g + 1)$ | ×                           | $k_g k_s$     | $(L^{\frac{1}{2}}, L^0)$     |
| $(\chi_{\dot{\alpha} a}, \lambda_{\dot{\alpha} a})$  | $\frac{1}{2} k_g (k_g - 1)$ | ×                           | $k_g k_s$     | $(L^{-1}, L^{-\frac{3}{2}})$ |

- The dimension of the moduli space becomes then:

$$[d\mathcal{M}] = \mu^{-b_1(k_g - k_s)}$$

# Gauge-Stringy Partition Function

- In a certain **localization limit** the functional integral becomes Gaussian and easy to perform.

$$Z_k^{(gs)} = \int d\chi d\tilde{\chi} \mathcal{I}_{(g)} \mathcal{I}_{(s)} \mathcal{I}_{(gs)}$$

where

$$\mathcal{I}_{(g)} = \frac{\mathcal{P}_{(g)}(\tilde{\chi})\mathcal{R}_{(g)}(\tilde{\chi})\mathcal{C}_{(g)}(\tilde{\chi})}{\mathcal{Q}_{(g)}(\tilde{\chi})\mathcal{L}_{(g)}(\tilde{\chi})\mathcal{W}_{(g)}(\tilde{\chi})}$$

$$\mathcal{I}_{(s)} = \frac{\mathcal{P}_{(s)}(\chi)\mathcal{R}_{(s)}(\chi)}{\mathcal{Q}_{(s)}(\chi)}$$

$$\mathcal{I}_{(gs)} = \frac{\mathcal{C}_{(gs)}(\tilde{\chi}, \chi)}{\mathcal{L}_{(gs)}(\tilde{\chi}, \chi)}$$

- The functions above are the determinants of the BRST charge in different representations.
- $\chi \equiv \chi_s$  and  $\tilde{\chi} \equiv \chi_g$  are unpaired Chan-Paton matrices.

- The integration still get simplified more if one goes to the **Cartan basis**. In Cartan basis the partition function integration takes the form:

$$Z_k^{(gs)} = \int d\chi_i d\tilde{\chi}_I \prod_{I=1}^{r[U(k)]} \left( \frac{d\tilde{\chi}_I}{2\pi i} \right) \prod_{i=1}^{r[SO(k)]} \left( \frac{d\chi_i}{2\pi i} \right) \Delta(\tilde{\chi}_I) \Delta(\chi_i) \\ \times \mathcal{I}_{(g)}(\chi_I) \mathcal{I}_{(s)}(\chi_i) \mathcal{I}_{(gs)}(\chi_i, \chi_I)$$

where

$$\mathcal{I}_{(g)}(\chi_I) = \frac{\mathcal{P}_{(g)}(\tilde{\chi}_I) \mathcal{R}_{(g)}(\tilde{\chi}_I) \mathcal{C}_{(g)}(\tilde{\chi}_I)}{\mathcal{Q}_{(g)}(\tilde{\chi}_I) \mathcal{L}_{(g)}(\tilde{\chi}_I) \mathcal{W}_{(g)}(\tilde{\chi}_I)}$$

$$\mathcal{I}_{(s)}(\chi_i) = \frac{\mathcal{P}_{(s)}(\chi_i) \mathcal{R}_{(s)}(\chi_i)}{\mathcal{Q}_{(s)}(\chi_i)}$$

$$\mathcal{I}_{(gs)}(\chi_i, \tilde{\chi}_I) = \frac{\mathcal{C}_{(gs)}(\tilde{\chi}_I, \chi_i)}{\mathcal{L}_{(gs)}(\tilde{\chi}_I, \chi_i)}$$

- Switching off any of the gauge or stringy moduli we reach respectively to stringy or gauge partition function.
- The partition function we obtain in our string theory calculations is exactly the same as the one extracted from ADHM calculations:

$$Z_k^{(g)} = \frac{\epsilon^k}{(E_1 E_2)^k} \int \prod_{I=1}^k d\tilde{\chi}_I \prod_{l=1}^N \prod_{A=1}^2 \frac{(2\tilde{\chi}_I + E_A)(\tilde{\chi}_I + \phi_l)}{(\tilde{\chi}_I + \phi_l - \epsilon)(\tilde{\chi}_I + \phi_l + \epsilon)} \\ \times \frac{(\tilde{\chi}_I - \tilde{\chi}_J)^2 [(\tilde{\chi}_I - \tilde{\chi}_J)^2 - \epsilon^2] (\tilde{\chi}_I + \tilde{\chi}_J + E_A)}{[(\tilde{\chi}_I - \tilde{\chi}_J)^2 - E_A^2][(\tilde{\chi}_I + \tilde{\chi}_J)^2 - \epsilon^2]}$$

- The stringy partition function is also coincides with the one we studied in a separate paper.

- The prepotential is the logarithm of the total partition function:

$$F^{(n.p.)}(\Phi) = \epsilon \log \mathcal{Z}_{tot} |_{\phi \rightarrow \Phi, E_A \rightarrow 0}$$

- The prepotential itself come from the contribution of all instanton numbers:

$$F^{(n.p.)} = \sum_{k=1}^{\infty} F_k q^k |_{\phi \rightarrow \Phi, E_A \rightarrow 0}$$

- Expanding the logarithm of the total partition function one arrives at

$$F_1^{(gs)} = \epsilon Z_1^{(gs)}$$

$$F_2^{(gs)} = \epsilon Z_2^{(gs)} - F_1^{(gs)2} / 2\epsilon$$

- Performing the integration even for small instanton numbers is very difficult.
- We have done the integration of the partition function for only  $k = 1$  and  $k = 2$ :

$$Z_1^{(gs)} = \frac{(-1)^N}{2} \mathcal{N}_1 E_1^2$$

$$Z_2^{(gs)} = \frac{(-1)^N}{3} \mathcal{N}_2 E_1^4 (8\text{tr}\Phi^4 - 4E_1^2\text{tr}\Phi^2 + 5/16E_1^4)$$

- The prepotential corrections due to 1- and 2-instanton in  $U(N)$  gauge theory becomes:

$$F_1^{(gs)} = \frac{(-1)^N}{2} \mathcal{N}_1 E_1^4$$

$$F_2^{(gs)} = \frac{(-1)^N}{3} \mathcal{N}_2 E_1^6 (8\text{tr}\Phi^4 - 4E_1^2\text{tr}\Phi^2 + 5/16E_1^4) - \frac{\mathcal{N}_1^2}{8} E_1^6$$



- One may be interested in studying the gauge-instanton functions in other classical gauge theories.
- It is nice to see if the dimensionless moduli measure can exist in other gauge-stringy configurations.
- Obtaining higher instanton number calculation is still an open problem in gauge-stringy calculation.

Thank You!