

Non-perturbative Gauge/Gravity Correspondence

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Gauge Theory from Strings

String theory is a very powerful tool to analyze perturbative field theories, and in particular **gauge theories**.

Behind this, there is a rather simple and well-known fact: in the field theory limit $\alpha' \rightarrow 0$ **string theory S-matrix elements** reproduce **vertices and effective actions** in field theory.

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- ▶ **Closed Strings amplitudes** \Rightarrow Gravitational amplitudes
- ▶ **Open Strings amplitudes** \Rightarrow Gauge amplitudes

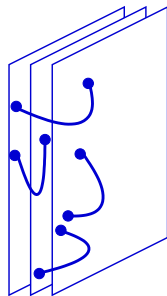
D Branes

The advances of the last 15 years in string theory have opened new perspectives, and new insights on gauge theories can be obtained using **D Branes**:

- ▶ The end points of open strings with Neumann boundary conditions in $(p + 1)$ space-time directions and Dirichlet boundary conditions in the other $(9 - p)$,

$$\begin{aligned}\partial_\sigma X^\mu|_{\sigma=0,\pi} &= 0, & \mu &= 0, 1, \dots, p \\ X^i|_{\sigma=0,\pi} &= Y^i, & i &= p + 1, \dots, 9\end{aligned}$$

define a $(p + 1)$ -dimensional **hyperplane** called **Dp -brane**.



World-volume Theory

- The presence of a D brane breaks Lorentz and Poincaré invariance:
 - ▶ $SO(1, 9) \Rightarrow SO(1, p) \times SO(9 - p)$
 - ▶ translational invariance is broken in the $(9 - p)$ directions transverse to the brane

Open string excitations have **no momentum in the transverse directions** \Rightarrow massless open strings describe a **Field Theory in $(p + 1)$ dimensions.**

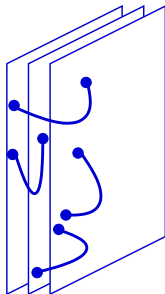
- Also supersymmetry is generically broken, so we have a **Supersymmetric Field Theory with at most 16 charges (instead of the original 32 of the bulk theory)!**

N D3 branes in Flat Space

Open string spectrum:

$$\begin{array}{ll} A_\mu, \phi^i & \mu = 0, \dots, 3; \quad i = 1, \dots, 6 \\ \lambda_\alpha^A, \bar{\lambda}_{\dot{\alpha}A} & \alpha, \dot{\alpha} = 1, 2; \quad A = 1, 2, 3, 4 \end{array}$$

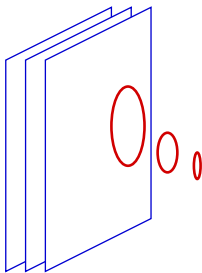
all fields transform in the adjoint representation of $SU(N)$



Gauge vector multiplet of $\mathcal{N} = 4$ $SU(N)$ SYM in 4d

D branes as Gravitational Solitons

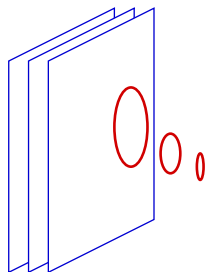
- D branes have a dual nature, since they are also **sources of closed strings**



- A stack of N Dp -branes produces a **non-trivial geometry** in the 10d-spacetime

D branes as Gravitational Solitons

- For instance D3 Branes are **4-dimensional solitonic solutions** of Type IIB SUGRA charged under a **4-form RR potential C_4**



$$e^{\phi} = g_s$$

$$F_5 = d(H(r)^{-1} dx^0 \wedge \dots \wedge dx^3) + \text{*dual}$$

$$ds^2 = H(r)^{-\frac{1}{2}} \eta_{\mu\nu} dx^\mu dx^\nu + H(r)^{\frac{1}{2}} (dr^2 + r^2 d\Omega_5^2)$$

with $r^2 = x^i x_i$ and

$$H(r) = 1 + \frac{4\pi g_s N \alpha'^2}{r^4}, \quad \int_{S_5} *F_5 = \int_{S_5} F_5 = N$$

AdS/CFT correspondence

- In the **near horizon limit** \Rightarrow D3 brane geometry reduces to $AdS_5 \times S_5$
- On the field theory side, this limit is a **decoupling limit** \Rightarrow gauge degrees of freedom decouple from the gravitational ones $\Rightarrow \mathcal{N} = 4$ $SU(N)$ SYM in $d=4$
- This is at the origin of the **AdS/CFT** correspondence, that conjectures a **duality** between **Type II B String Theory in $AdS_5 \times S_5$** and $\mathcal{N} = 4$ $SU(N)$ SYM in $d=4$.

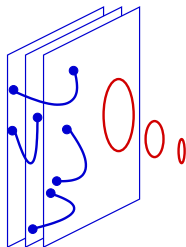
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- Strong quantum gauge effects can be computed using classical gravitational calculations!
- This has been tested by
 - ▶ comparison of **correlation functions** in SYM and SUGRA (non-renormalization theorems)
 - ▶ comparison of **spectra** in SYM and SUGRA (matching between conformal dimensions in SYM with Kaluza-Klein masses)

Gauge/Gravity Correspondence

Can this correspondence be established also in case of non conformal theories and/or with reduced supersymmetry?

In this talk I will discuss in a specific example how a quantum field theory with reduced supersymmetry can be described, also non-perturbatively, by string theory and show that the two-fold nature of D branes



allows to establish a quantitative non-perturbative

GAUGE / GRAVITY CORRESPONDENCE

Plan of the talk

$\mathcal{N}=2$ SYM from Fractional D3 branes

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Conclusions and Outlooks

Branes on Orbifolds

- ▶ To construct gauge theories in 4d with **reduced SUSY** one must change the 10d geometry:

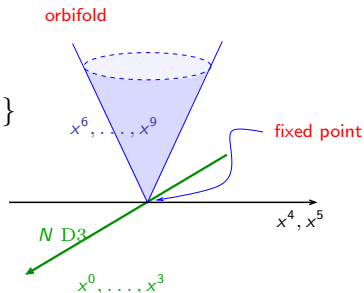
$$\mathbb{R}^{1,3} \times \mathbb{R}^6 \longrightarrow \mathbb{R}^{1,3} \times \mathbb{X}^6$$

for suitable choices of \mathbb{X}^6 .

- ▶ For example one could consider the \mathbb{Z}_2 -orbifold:

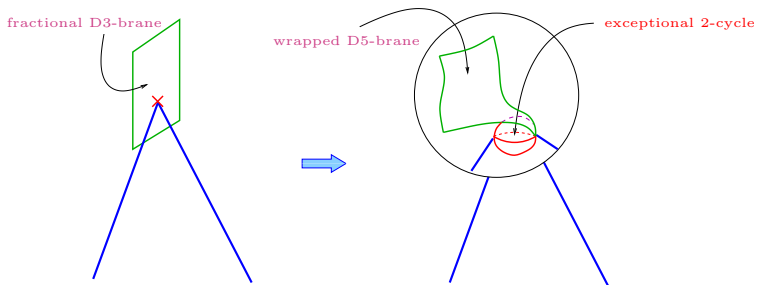
$$\mathbb{R}^{1,3} \times \mathbb{R}^2 \times \mathbb{R}^4 / \mathbb{Z}_2$$

$$\text{with } \mathbb{Z}_2 : \{x_6, \dots, x_9\} \rightarrow \{-x_6, \dots, -x_9\}$$



Branes on Orbifolds

- ▶ To adjust the matter content one must consider **fractional D3 branes**.
- ▶ They can be interpreted as a **D5 branes wrapped** around **singular exceptional 2-cycles \mathcal{S}_2** (with vanishing volume) of the singular space X^6 :



For this reason they are stuck at the singular points and cannot move.

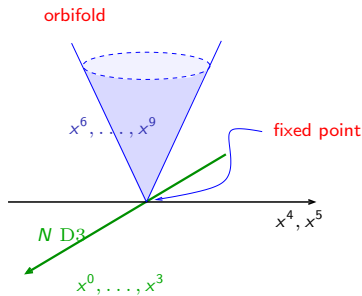
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- It is realized by the massless d.o.f. of open strings attached to N fractional D3 branes in the orbifold background

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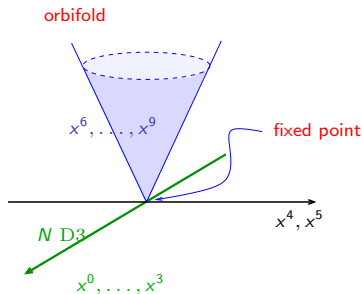
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- The orbifold breaks 1/2 SUSY in the bulk, the D3 branes break a further 1/2:

$$32 \times \frac{1}{2} \times \frac{1}{2} = 8 \text{ real supercharges} \quad \rightarrow \quad \mathcal{N} = 2 \text{ SUSY}$$

	0	1	2	3	4	5	6	7	8	9
D3	-	-	-	-	*	*	*	*	*	*

Since

$$SO(1, 9) \rightarrow SO(1, 3) \times SO(2) \times SO(4)$$

the ten dimensional string coordinates X^M , ψ^M and spin fields S^A split as follows

$$X^M \rightarrow X^\mu, X, \bar{X}, X^i, \quad \psi^M \rightarrow \psi^\mu, \Psi, \bar{\Psi}, \psi^i$$

$$S^A \rightarrow S_\alpha S_- S_{\dot{a}}, S^{\dot{\alpha}} S^+ S^{\dot{a}}, S_\alpha S_+ S_a, S^{\dot{\alpha}} S^- S^a$$

- ▶ The \mathbb{Z}_2 orbifold acts as follows

NS sector	\mathbb{Z}_2 parity	
$A_\mu \leftrightarrow \psi_{-\frac{1}{2}}^\mu k\rangle$	+	
$\phi \leftrightarrow \bar{\Psi}_{-\frac{1}{2}} k\rangle$	+	
$\phi^i \leftrightarrow \psi_{-\frac{1}{2}}^i k\rangle$	-	
R sector	\mathbb{Z}_2 parity	
$\Lambda^{\alpha a} \leftrightarrow S_\alpha S_+ S_a k\rangle$	+	
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- ▶ Thus the surviving fields are: A_μ , ϕ , $\Lambda^{\alpha a}$, which build a

$\mathcal{N} = 2$ vector multiplet in $d = 4$

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- ▶ The surviving fields A_μ , ϕ , $\Lambda^{\alpha a}$, can be organized in an $\mathcal{N} = 2$ chiral superfield:

$$\Phi = \phi + \theta\Lambda + \frac{1}{2}\theta\gamma^{\mu\nu}\theta F^{\mu\nu}$$

Classical Geometry of N Fractional D3 branes

There is a non trivial profile for the usual (untwisted) fields associated to D3 Branes:

- Metric
- Dilaton
- R-R self-dual 5-form field strength

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There is a non trivial profile also for new (twisted) fields:

- NS-NS twisted scalar:

$$B_2 = b \Omega_2 \quad \Rightarrow \quad b = \int_{\mathcal{S}_2} B_2$$

- R-R twisted scalar:

$$C_2 = c \Omega_2 \quad \Rightarrow \quad c = \int_{\mathcal{S}_2} C_2$$

where Ω_2 is the form dual to the singular cycle \mathcal{S}_2 of the orbifold.

The twisted scalar

It is convenient to combine the twisted fields in a **complex scalar**

$$t = c + \frac{i}{g_s} b$$

that is the lowest component of a bulk scalar superfield

$$T = t + \dots + \theta^4 (\partial^2 \bar{t} + \dots)$$

and has a standard quadratic bulk action

$$S_{bulk} = -\frac{(\pi^2 \alpha')^2}{\kappa^2} \int d^6 x (\partial \bar{t} \cdot \partial t + \dots), \quad \kappa = 8\pi^{7/2} \alpha'^2$$

World-volume Action

The scalar field t appears also in the world-volume action of the fractional D3 brane:

$$S_{brane} \propto -2N \int d^6x \bar{t} \delta^2(z) + \int d^4x t \text{Tr} F^2 + \text{c.c.}$$

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- ▶ it generates a source term for the equation of motion of t

$$\square t = J_{cl} \delta^2(z), \quad J_{cl} = -\frac{\delta}{\delta \bar{t}} S_{brane}$$

Gauge/Gravity Correspondence

- ▶ The solution of the field equation for t is

$$\pi i t(z) = \pi i t_0 - 2N \log \frac{z}{\epsilon}, \quad t_0 = \frac{i}{2g_s}$$

Bertolini, Di Vecchia, M.F., Lerda, Marotta, Pesando; Polchinski; M.F., Liccardo, Musto.

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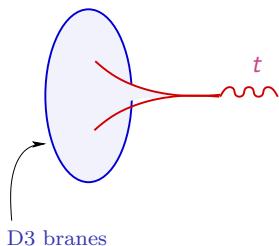
$$\pi i t(a) = \pi i t_0 - 2N \log \frac{a}{\mu}$$

i.e. the running coupling constant of $\mathcal{N} = 2$ SU(N) SYM:

$$\pi i t \equiv \pi i \tau_{gauge} = \pi i t_0 - 2N \log \frac{a}{\mu} = -2N \log \frac{a}{\Lambda}$$

where $\Lambda = \mu e^{-\pi/(4Ng_s)}$ is the dynamically generated scale of the theory.

Gauge/Gravity Correspondence

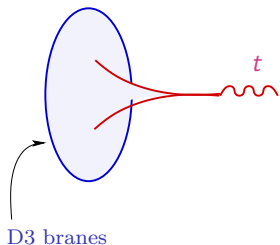


$$t(z) \equiv \tau_{gauge}$$

In this correspondence

- ▶ the N source D3 branes are placed at $z = 0$, *i.e.* the expectation value of the adjoint scalar of the world-volume theory is vanishing: $\langle \phi \rangle = 0$.

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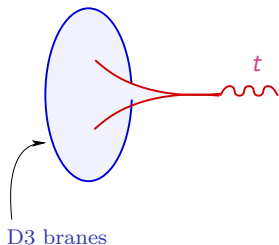
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- ▶ When the D branes are displaced from the origin, *i.e.* $\langle \phi \rangle = \text{diag}(a_1, a_2, \dots, a_N)$ the solution is

$$\pi i t(z) = -2N \text{Tr} \log \frac{z - \langle \phi \rangle}{\Lambda}$$

Gauge/Gravity Correspondence



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- ▶ the N source D3 branes are placed at $z = 0$, *i.e.* the expectation value of the adjoint scalar of the world-volume theory is vanishing: $\langle \phi \rangle = 0$.
- ▶ the point z at which we evaluate $t(z)$ is identified with the (complexified) energy scale at which we compute τ_{gauge} !

Is That all?

- ▶ The fractional brane solution has problems:
 - ▶ it has a short distance (IR) singularity at $|z| = \rho_s$
 - ▶ at $|z| = 2\pi\alpha'\Lambda e^{-\pi/4g_s} \geq \rho_s$, the YM coupling diverges :
 $g_{YM}^2 \rightarrow \infty$ and massive probes become tensionless \Rightarrow
Enhancement
- ▶ This description breaks down for small z , that is for small a (IR region !)

Is That all?

- ▶ From the Seiberg & Witten exact solution of $\mathcal{N} = 2$ gauge theories we know that the **complete gauge coupling** τ has **non-perturbative contributions due to instantons** !

For example, for SU(2) we have

$$\pi i \tau = -4 \log \left(\frac{a}{\Lambda} \right) + \frac{3}{2} \frac{\Lambda^4}{a^4} + \frac{105}{64} \frac{\Lambda^8}{a^8} + \dots$$

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- ▶ Is there a way to obtain these instanton effects from the gauge/gravity correspondence?

Instantons in Gauge Theories

- ▶ A gauge instanton in four dimensions is a non trivial solution $A_\mu^a(x)$ of the field equations with

$$*F_{\mu\nu}^a = F_{\mu\nu}^a \quad S = \frac{8\pi^2}{g^2} k$$

- ▶ For instance the $k = 1$ $SU(2)$ instanton in the regular gauge is

't Hooft

$$A_\mu^a = 2 \frac{\eta_{\mu\nu}^a (x - x_0)^\nu}{(x - x_0)^2 + \rho^2}$$

- ▶ The $SU(N)$ instanton depends on $4N$ moduli.

Instantons in Gauge Theories

- ▶ The more general instantonic solution of a gauge theory can be constructed using the **ADHM construction**.

Atiyah, Drinfeld, Hitchin, Manin

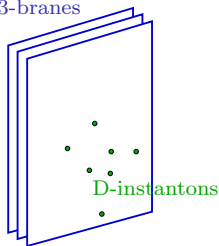
- ▶ The gauge connection $A_\mu(x)$ is built up algebraically in terms of a $(k + 1)$ dimensional vector of quaternions, which satisfies a certain number of conditions so that the field strength $F_{\mu\nu}$ is automatically self-dual.
- ▶ In this way $A_\mu(x)$ depends on a number of parameters which are more than the real instanton moduli, but are subject to a number of bosonic and fermionic constraints: **ADHM constraint**.
- ▶ To compute the instantonic contribution to the gauge degrees of freedom effective action we have to integrate over the instanton moduli space with an appropriate measure.

String description of Gauge Instantons

- Instanton-charge k solutions of $SU(N)$ gauge theories correspond to k D-instantons inside N D3 branes.

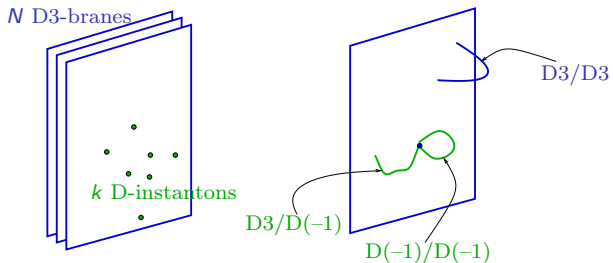
Witten 1995, Douglas 1995, Dorey 1999, ...

N D3-branes



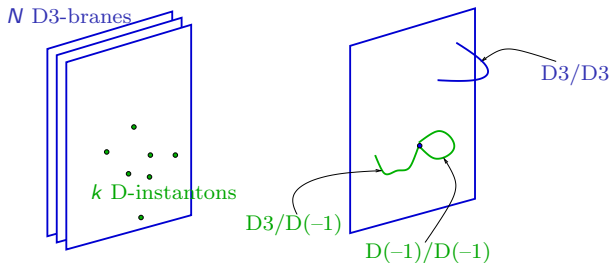
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In a system of k D-instantons inside N D3 branes there are different types of open strings:



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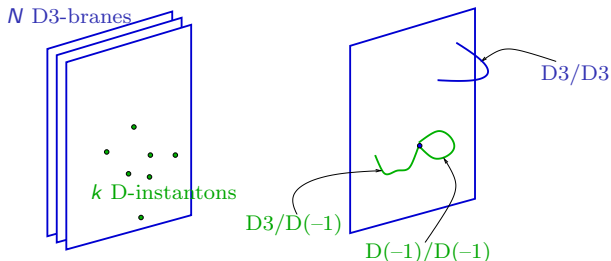
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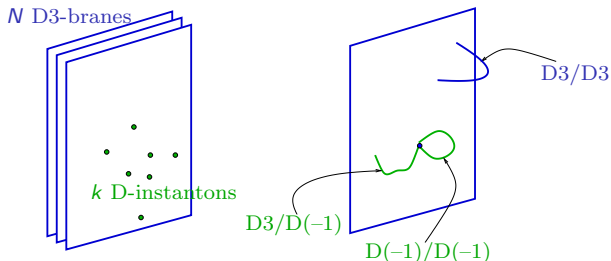


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- ▶ D(-1)/D(-1) and D(-1)/D3 open strings account for the instanton moduli

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- ▶ D(-1)/D(-1) and D(-1)/D3 open strings account for the instanton moduli

Witten 1995; Douglas 1995

- ▶ the action of the D(-1)/D(-1) and D(-1)/D3 strings is the ADHM measure of the instanton moduli space

Green-Gutperle 2000; Billó et al. 2002

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- ▶ Is this classical profile related to the **exact gauge coupling** of a dual theory?

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- ▶ Is this classical profile related to the **exact gauge coupling** of a dual theory?

Let's try to answer these questions!

Field Equations for t

We have derived the classical t profile solving the field equation

$$\square t = J_{cl} \delta^2(z)$$

derived from the bulk supergravity action:

$$S_{bulk} \propto \int d^6x (\partial \bar{t} \cdot \partial t + \dots)$$

and from the boundary action:

$$S_{brane} \propto \int d^6x J_{cl} \bar{t} \delta^2(z)$$

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and from the boundary action:

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All the relevant information is encoded in $J_{cl} \Rightarrow$ terms linear in \bar{t} of the boundary action!

The Current J_{cl} from the Prepotential

- ▶ As customary for $\mathcal{N} = 2$ theories, the brane action can be written in terms of a prepotential

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- ▶ If we recall that

$$T = t + \dots + \theta^4 (\partial^2 \bar{t} + \dots)$$

we see that the source terms can be derived from $F_{pert}(\Phi, T)$ as

$$J_{cl} = \frac{\bar{p}^2}{\pi} \left. \frac{\delta F_{pert}}{\delta T} \right|_{T=0, \Phi=\langle \phi \rangle}$$

The Complete Current J from the Prepotential

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- ▶ To find J we have to include in $F(\Phi, T)$ the non perturbative contributions induced by D-instantons:

$$F(\Phi, T) = F_{pert}(\Phi, T) + F_{non-pert}(\Phi, T)$$

The non-perturbative prepotential

The non-perturbative prepotential is an integral over the centered D-instanton moduli space $\mathcal{M}_{(k)}$:

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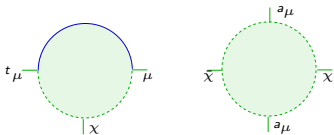
$$S_{inst}(\mathcal{M}_{(k)}, M, T) = S(\mathcal{M}_{(k)}) + S(\mathcal{M}_{(k)}, \Phi) + S(\mathcal{M}_{(k)}, T)$$

- ▶ $S(\mathcal{M}_{(k)})$: pure moduli action \Rightarrow ADHM measure on $\mathcal{M}_{(k)}$
- ▶ $S(\mathcal{M}_{(k)}, \Phi)$: mixed moduli-gauge fields action
- ▶ $S(\mathcal{M}_{(k)}, T)$: mixed moduli-gravity fields action

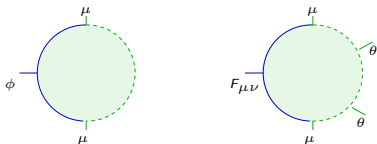
$$S_{inst}(\mathcal{M}_{(k)}, \Phi, T)$$

All terms in $S_{inst}(\mathcal{M}_{(k)}, \Phi, T)$ can be computed from strings diagrams:

$$S(\mathcal{M}_{(k)}):$$



$$S(\mathcal{M}_{(k)}, \Phi):$$



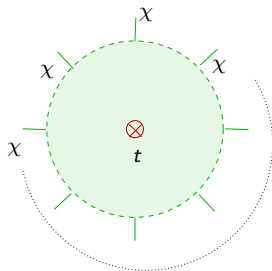
$$S(\mathcal{M}_{(k)}, T):$$



$$S_{inst}(\mathcal{M}_{(k)}, \Phi, T)$$

The relevant term in our discussion is $S(\mathcal{M}_{(k)}, T)$:

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$$S(\mathcal{M}_{(k)}, T) = -i\pi \sum_{\ell=0}^{\infty} \frac{1}{\ell!} \text{Tr}(\chi^{\ell}) (i\bar{p})^{\ell} T$$

The Non-perturbative Prepotential

The non-perturbative prepotential is:

$$\begin{aligned} F_{np}(\Phi, T) &= \sum_k \int d\widehat{\mathcal{M}}_{(k)} e^{-S_{inst}(\mathcal{M}_{(k)}, \Phi, T)} \\ &= \dots + i\pi T \sum_k \int d\widehat{\mathcal{M}}_{(k)} \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (i\bar{\rho})^\ell \text{Tr} \chi^\ell e^{-S_{inst}(\mathcal{M}_{(k)}, \Phi)} \end{aligned}$$

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but in fact their result is already known, since they are related to the quantum expectation values of chiral correlators:

$$\sum_k \int d\widehat{\mathcal{M}}_{(k)} e^{-S_{inst}(\mathcal{M}_{(k)}, \Phi)} \text{Tr} \chi^\ell \propto \langle \text{Tr} \phi^{\ell+2} \rangle_{inst}$$

For instance:

$$\langle \text{Tr} \phi^4 \rangle = 2a^4 + 6\Lambda^4 - \frac{9\Lambda^8}{8a^4} + \frac{7\Lambda^{12}}{8a^8} + \dots$$

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The integrals over the moduli space can be explicitly computed using the **localization technique**

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so we get

$$F_{np}(\Phi, T) = -i\pi T \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (i\bar{p})^{\ell-2} \langle \text{Tr} \phi^\ell \rangle_{inst}$$

The Exact Current J

We can now compute the complete superpotential:

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that depends on the complete quantum correlators $\langle \text{Tr} \phi^{\ell} \rangle$ and obtain the exact current J :

$$J = \frac{\bar{p}^2}{\pi} \frac{\delta F(\Phi, T)}{\delta T} \Big|_{T=0, \Phi=\langle \phi \rangle} = i \sum_{\ell=0}^{\infty} \frac{1}{\ell!} (i\bar{p})^{\ell} \langle \text{Tr} \phi^{\ell} \rangle$$

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Solving the Field Equation for t with the exact current:

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$$i\pi t = i\pi t_0 - 2 \left\langle \text{Tr} \log \frac{z - \phi}{\mu} \right\rangle = -2 \left\langle \text{Tr} \log \frac{z - \phi}{\Lambda} \right\rangle$$

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The exact solution has the same form of the classical one

$$i\pi t = -2 \text{Tr} \log \frac{z - \langle \phi \rangle}{\Lambda}$$

with the classical expectation values replaced by the quantum ones!

Closed Expression for the t Profile

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In the simple **SU(2)** case, when the curve is a torus

$$y^2 = (z^2 - u)^2 - 4\Lambda^4$$

where $u = \frac{1}{2} \langle \text{Tr} \phi^2 \rangle$ and Λ is the dynamically generated scale of the effective theory, it can be shown that

$$i\pi t = \log \frac{(z^2 - u) - \sqrt{(z^2 - u)^2 - 4\Lambda^4}}{(z^2 - u) + \sqrt{(z^2 - u)^2 - 4\Lambda^4}} .$$

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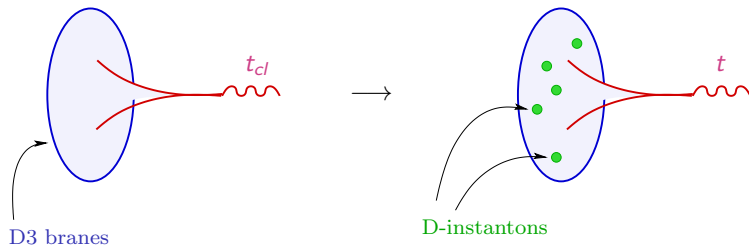
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Similar expressions hold for the $SU(N)$ cases.

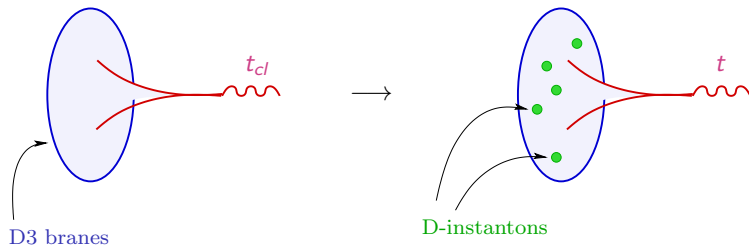
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Going from $t_{cl}(z)$ to $t(z)$ the result is naturally expressed as function of $u = \frac{1}{2} \langle \text{Tr} \phi^2 \rangle$ instead of $a^2 = \frac{1}{2} \text{Tr} \langle \phi^2 \rangle$.

t as Gauge Coupling?

Can this profile be read as the exact gauge coupling of a dual $SU(2)$ gauge theory?

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- ▶ in the classical case the gauge coupling τ was identified with the classical solution of 2 D3 branes sitting at the origin:
 $a = 0$
- ▶ in the quantum case the equivalent choice is

$$u = a^2 + \frac{1}{2} \frac{\Lambda^4}{a^2} + \frac{5}{32} \frac{\Lambda^8}{a^6} + \frac{9}{64} \frac{\Lambda^{12}}{a^{10}} + \dots = 0$$

\Rightarrow Enhancon !!!

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$$i\pi t = \log \frac{z^2 - \sqrt{z^4 - 4\Lambda^4}}{z^2 + \sqrt{z^4 - 4\Lambda^4}}$$

- ▶ in the classical case, the **complex variable** z was identified with the **classical parameter** of the Coulomb branch of the dual theory a while $\Lambda \equiv \Lambda$
- ▶ in the quantum case we have to identify z with the **quantum parameter** of the Coulomb branch of the dual theory $u^{1/2}$ and $\Lambda \equiv \Lambda$

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$$i\pi t = \log \frac{u - \sqrt{u^2 - 4\Lambda^4}}{u + \sqrt{u^2 - 4\Lambda^4}}$$

- ▶ Using the Seiberg-Witten curve of an SU(2) theory one can verify that this expression **does not coincide with the torus modular parameter**, which is in fact the gauge coupling τ !

τ as Function of t

There is however a relation between τ and t !

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- ▶ Using again the Seiberg-Witten curve one can verify that t is the anharmonic ratio of the roots of the curve, which is known to be a particular modular function of τ :

$$i\pi t = \log \frac{u - \sqrt{u^2 - 4\Lambda^4}}{u + \sqrt{u^2 - 4\Lambda^4}} = -16 \frac{\eta(4\tau)^8}{\eta(\tau)^8}$$

where $\eta(\tau) = e^{i\pi\tau/24} \prod_n (1 - e^{i\pi n\tau})$ is the Dedekind theta-function.

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- ▶ This relation holds for all the SU(2) theories with an arbitrary matter content.
- ▶ Similar relations hold for SU(3) and SU(4) (and presumably for all the SU(N))!

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- ▶ $t(z)$ still contains all the information about the gauge theory coupling, even if it does not directly coincide with it!

Conclusions and Outlooks

- ▶ D brane systems provide a very efficient set-up to describe gauge theories in a “stringy way”.
- ▶ Also non-perturbative effects can be explicitly described in this set-up introducing instantonic branes.
- ▶ Gauge/Gravity correspondence can, at least in some cases, incorporate also the non-perturbative features of the field theory.
- ▶ Possible developments:
 - ▶ Gravity \Rightarrow compute the exact solution for the other gravitational fields.
 - ▶ Gauge \Rightarrow study strong coupling regime of the gauge theories.