Observation of weak neutral current neutrino production of J/ψ

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Abstract

Observation of $J/\psi$ production by neutrinos in the calorimeter of the CHORUS detector exposed to the CERN SPS wide-band $\nu_\mu$ beam is reported. A spectrum-averaged cross section $\sigma_{J/\psi} = (6.3 \pm 3.0) \times 10^{-41} \text{ cm}^2$ is obtained for $20 \text{ GeV} \leq E_{\nu} \leq 200 \text{ GeV}$. The data are compared with the theoretical model based on the QCD $Z$-gluon fusion mechanism. © 2001 Published by Elsevier Science B.V.

Keywords: $J/\psi$; Neutrino; Neutral currents; Nucleon

1. Introduction

Open charm production in neutrino charged-current interactions has been studied in detail during the past three decades by several groups (see, for example, Ref. [1]). In particular, the CDHS [2], CHARM-II [3], CCFR [4], and NuTeV [5] experiments each have collected thousands of events initiated by this process, with a cross section amounting to a few per cent of the total $\nu$ CC cross section. In neutral-current interactions, c-quarks appear only in pairs and, therefore, a much smaller production rate is expected. In these reactions the production of $J/\psi$ decaying into a muon pair has the cleanest experimental signature. Evidence for this rare process was reported by CDHS about 20 years ago [6].

The $J/\psi$ state can be produced either directly or via cascade decays of heavier charmonia: $\chi_{c1}, \chi_{c2} \to \gamma J/\psi$ and $\psi' \to \pi\pi J/\psi$ (Fig. 1). Theoretical calculations of the cross section of the direct $J/\psi$ production by neutrinos [7,8] were made in the framework of QCD-based $Z$-gluon fusion and vector dominance (VDM) models. In VDM, only the vector coupling, $g_V$, of the $Z$ boson to the c-quark contributes, while in the $Z$-gluon fusion approach both the vector and the axial vector couplings, $g_A$, are at work. One would expect the $Z$-gluon fusion mechanism to dominate because of the numerical smallness of $g_V$ in the standard electroweak theory at $\sin^2 \theta_W = 0.23$: $g_V^2 \approx 0.13(g_V^2 + g_A^2)$. There are no predictions for the indirect $J/\psi$ production rate in this model. Recent es-
Fig. 1. Feynman diagrams for production of charmonium states in weak neutral-current interactions: (a) Z-gluon fusion model, (b) VDM model (diffraction).

Figures based on the nonrelativistic QCD approach [9] as well as generalized VDM calculations [8] show that the contributions of direct and indirect J/ψ production can be comparable. The overall J/ψ production rate is expected at the level of $3 \times 10^{-3}$ of the open charm production.

The CHORUS experiment [10] searching for $\nu_\mu \rightarrow \nu_\tau$ oscillations in the CERN SPS wide-band $\nu_\mu$ beam recorded about five million dimuon triggers in its massive calorimeter. A muon spectrometer, located downstream from the calorimeter, is well suited to identify muons and to determine their trajectory, momentum and charge. It consists of six magnetized iron toroids, instrumented with scintillators, and tracking detectors composed of drift chambers and limited streamer tubes. Muon momenta are determined from their curvature in the toroidal magnetic field. The momentum resolution is limited mainly by multiple Coulomb scattering in the iron. It is about 15% [12] in the region of 12 GeV to 28 GeV and $\approx 19\%$ at 71 GeV [10] as measured with test-beam muons. For tracks with $p_\mu \lesssim 4.5$ GeV, stopping inside the spectrometer, the momentum can be reconstructed also by range with $\approx 6\%$ precision [10]. The muon spectrometer also provides rough measurement of the shower energy leakage from the calorimeter.

The trigger system [13] of the CHORUS experiment has different types of trigger for events originating from the emulsion, the calorimeter and the muon spectrometer. For our purpose we use the dimuon trigger, which requires a double-hit pattern in the calorimeter or in the muon spectrometer and an activity in the first two magnets of the muon spectrometer.

3. The analysis

For the analysis we used the standard CHORUS reconstruction program CHORAL [14]. In total, 4.7 million events recorded with the dimuon trigger were processed. This sample corresponds to $\approx 4.2 \times 10^{19}$ protons on the neutrino target. Most of these events
contain one track and an energetic hadronic shower. Only $2.3 \times 10^5$ events have two reconstructed tracks with at least 2 GeV momenta at the spectrometer entry and crossing at least two spectrometer magnets ($\geq 1$ m of iron) [15].

At the next step dimuons of opposite charge were selected. Muons were required to traverse at least four spectrometer magnets and be successfully reconstructed. The transverse distance between the tracks of $\mu^+$ and $\mu^-$ at the vertex plane was limited to $< 15$ cm. The fiducial volume was chosen with a lateral size of $240 \times 240$ cm$^2$ and length of about 130 cm (the vertex should lie within the calorimeter planes from 3 to 11) to fulfill the requirement of the dimuon trigger. The fiducial target mass is 38 tons. To suppress the background from $\nu_{\mu}$ CC events with $\pi^+ (K^+) \rightarrow \mu^+ X$ decays, both muons were required to have momenta above 5 GeV at the vertex. They are calculated by adding the energy-dependent average muon energy losses in the calorimeter to the momenta reconstructed in the spectrometer [16]. The visible energy for the event, $E_{\text{vis}}$, was required to be larger than 20 GeV. It is defined as the sum of muon momenta and shower energy deposited in the calorimeter and in the spectrometer: $E_{\text{vis}} = E_{\text{calo}} + E_{\text{spec}}$. The muons were subtracted from the shower. In addition, we required $E_{\text{spec}} / E_{\text{vis}} \leq 0.3$ to reduce the effects due to the poor spectrometer energy resolution. 14 995 $\mu^+ \mu^-$ events survived all these cuts.

The distributions of the muon momenta and of the angle between muons in this sample are shown in Fig. 2. All these spectra are well described by GEANT 3.21 based Monte Carlo (MC) simulations of single charm production in the complete setup, including modeling of the neutrino beam and the standard event reconstruction [19]. It should be noted that the MC does not include the background from $\nu_{\mu}$ CC events with subsequent muonic decays of pions and kaons. Its contribution ($\approx 15 \%$) was estimated from a $\mu^- \mu^-$ subsample. The observed invariant-mass distribution of $\mu^+ \mu^-$ pairs is presented in Fig. 3a. An approximation of the shape consists of $85 \%$ of the $\mu^+ \mu^-$ MC and of $15 \%$ of the $\mu^- \mu^-$ data. The fit gives $\chi^2 / \text{NDF} = 1.31$. Taking into account that some instrumental effects were not included in the MC such an agreement is reasonable. Unfortunately, the MC sample ($\approx 10 \times 10^4$ events) is comparable to the data sample and not larger as the simulation procedure is very CPU time consuming. However, it is suitable for our goals which do not include a detailed study

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24 For the simulation we used EHLQ structure functions [17] and Peterson longitudinal fragmentation function [18] for charmed quark $D(z) \propto z^{-1} [1 - \frac{1}{2} \left(1 - \frac{z}{\Lambda_c^2}\right)^2]$. The value of the parameter $\epsilon_P$ was chosen to be 0.072 as obtained from the CHARM-II data [3].

25 The fit quality is slightly better ($\chi^2 / \text{NDF} = 1.24$) if we exclude the $\mu^- \mu^-$ data.
of single charm production. The observed average visible energy in the dimuon events, \(E_V^{\text{vis}} \approx 85\) GeV, corresponds to a total energy of about 100 GeV, i.e., twice the average energy of CC events.

To reduce the background an upper cut on the shower energy was applied. \(\mu^+\mu^-\) invariant-mass distributions were analysed at different limits on \(E_{\text{sh}}^{\text{vis}}\). A structure appears in the \(J/\psi\) mass region at \(E_{\text{sh}}^{\text{vis}} \leq 15\) GeV. The excess of events in this region is clearly seen with the cut on the shower energy varying between 14 and 10 GeV. It is gradually reduced by further tightening the cut and vanishes at \(E_{\text{sh}}^{\text{vis}} \leq 5\) GeV. This is qualitatively in accordance with theoretical expectations \([8]\) of a small diffractive \(26\) part of the direct \(J/\psi\) production cross section \([8]\). It should be noted that the cut \(E_{\text{sh}}^{\text{vis}} \leq 5\) GeV could reject a significant fraction of events with \(J/\psi\) produced via cascade decays of excited charmonium states, \(\chi_{c1}, \chi_{c2} \rightarrow \gamma J/\psi\) and \(\psi' \rightarrow \pi^+\pi^- J/\psi\), as photons and pions are expected to be in the energy range of several GeV.

Fig. 3b shows the excess observed for \(E_{\text{sh}}^{\text{vis}} \leq 10\) GeV. \(27\) The invariant-mass distribution of muon pairs in all \(\mu^+\mu^-\) events (Fig. 3a, solid histogram) was used as a background shape to the spectrum. In total, 1265 events survived the cut. To improve the signal-to-background ratio we applied the selection \(p_\mu^+ \geq p_\mu^-\). This muon momentum ‘asymmetry’ cut suppresses the background by a factor of about five as, on average, \(\mu^+\) is much softer than \(\mu^-\) (Fig. 2), whereas in \(J/\psi\) decays \(p_\mu^+ \approx p_\mu^-\). The resulting invariant-mass distribution is shown in Fig. 3c. In total, 226 events survived the cut. In the signal region between 2.75 and 3.75 GeV there are 62 events. Again, the spectrum of all \(\mu^+\mu^-\) events was used as a background shape, with a small correction to account for the observed excess in the \(J/\psi\) region. It was normalized in the interval between 0 and 6 GeV, excluding the signal region. The fit gives \(\chi^2/\text{NDF}=19.6/19\). The background \(B\) is \(42.5 \pm 7.3\) events. The error \(\Delta B_{\text{stat}}\) includes both statistical fluctuation (\(\sqrt{B}\)) and normalization uncertainty (8%) added in quadrature. The excess \(S\) above the background is \(19.5 \pm 8.6\) (stat) events. To evaluate the systematical error due to the background shape variation caused by \(E_{\text{sh}}^{\text{vis}} \leq 10\) GeV and \(p_\mu^+ \geq p_\mu^-\) cuts, we performed a particle-level MC study using about 500 000 simulated dimuon events and a simplified description of the muon spectrometer. Our kine-

\[\chi^2/\text{NDF}=19.6/19\]

\[\Delta B_{\text{stat}} = \sqrt{B}\]

\[S = 19.5 \pm 8.6\text{ (stat)}\]

\[26\] We follow the conventional terminology, applying the term ‘diffe"

\[27\] CDHS reported evidence for \(J/\psi\) production by neutrinos via neutral currents at the same upper cut on the shower energy \([6]\).
Excess and background in the signal region at different upper cuts on $E^{\text{vis}}_{\text{sh}}$ (GeV)

<table>
<thead>
<tr>
<th>$E^{\text{vis}}_{\text{sh}} \leq$</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
<th>11</th>
<th>12</th>
<th>13</th>
<th>14</th>
<th>15</th>
</tr>
</thead>
<tbody>
<tr>
<td>$S$</td>
<td>1.0</td>
<td>8.6</td>
<td>7.2</td>
<td>8.7</td>
<td>9.7</td>
<td>19.5</td>
<td>24.8</td>
<td>24.0</td>
<td>21.8</td>
<td>23.6</td>
<td>23.4</td>
</tr>
<tr>
<td>$B$</td>
<td>8.0</td>
<td>12.4</td>
<td>18.8</td>
<td>25.3</td>
<td>34.3</td>
<td>42.5</td>
<td>52.2</td>
<td>63.0</td>
<td>77.2</td>
<td>88.4</td>
<td>99.6</td>
</tr>
<tr>
<td>$\Delta B_{\text{stat}}$</td>
<td>3.2</td>
<td>4.0</td>
<td>4.9</td>
<td>5.7</td>
<td>6.6</td>
<td>7.3</td>
<td>8.1</td>
<td>8.9</td>
<td>9.9</td>
<td>10.6</td>
<td>11.2</td>
</tr>
</tbody>
</table>

mational cuts and the procedure of background normalization and subtraction were applied to these simulated events. The average systematical uncertainty of the number of background events in the signal region was found to be 4.5\%. This corresponds to 1.9 events for our data sample.

Table 1 shows how the excess $S$, the background $B$ and its statistical error $\Delta B_{\text{stat}}$ depend on the upper cut on the shower energy $E^{\text{vis}}_{\text{sh}}$. It was checked with the binned likelihood method [21] that the normalization procedure produced unbiased estimates of $S$ and $B$ with all upper cuts on $E^{\text{vis}}_{\text{sh}}$ listed in Table 1.

The observed excess has to be corrected for finite invariant-mass resolution. The MC predicts the resolution of $0.41 \pm 0.02$ GeV at $J/\psi$ mass. Our choice of the signal region was based on the MC optimization of the signal-to-background ratio for the given background shape. The correction factor of 1.44 has been applied to account for the signal tails outside of the signal region. The resulting total signal, thus, amounts to $N^{J/\psi}_{\text{obs}} = 28.1 \pm 12.3\text{(stat)} \pm 2.7\text{(syst)}$ events, with the cuts $E^{\text{vis}}_{\text{sh}} \leq 10$ GeV and $p_{\mu^+} \geq p_{\mu^-}$.

### 4. $J/\psi$ production cross section

About one million CC events recorded in the calorimeter were used to normalize the neutrino flux by counting the number of events in bins of different energy and correcting for experimental effects. The total flux corresponds to more than 15 million physical CC events with $E_\nu \geq 20$ GeV in the fiducial volume.

To calculate the cross section of $J/\psi$ production in weak NC interactions, we use the following expression for the number of observed events:

$$N^{J/\psi}_{\text{obs}} = \int N_{\nu\nu} B \sigma^{J/\psi}(E_\nu) \epsilon(E_\nu) \phi_\nu(E_\nu) \, dE_\nu$$

with

$$B = \frac{N_{\nu\nu} \sigma^{J/\psi}(E_\nu)}{E_\nu} \int \epsilon(E_\nu) \, dE_\nu,$$

where $N_{\nu\nu}$ is the total number of nucleons in the fiducial volume, $B = Br(J/\psi \rightarrow \mu^+\mu^-)$ is the $J/\psi$ cross section per nucleon averaged over the effective beam spectrum in the range of $20 \leq E_\nu \leq 200$ GeV, $\epsilon(E_\nu)$ is the global $J/\psi$ detection efficiency, $\phi_\nu(E_\nu)$ is the time-integrated total neutrino flux, and $N^{CC}(E_\nu)$ is the time-integrated spectral density of physical CC events on an average nucleon [22].

The global efficiency consists of four factors: $\epsilon = \epsilon_{\text{det}} \epsilon_{\text{rec}} \epsilon_{\text{trig}} \epsilon_{\text{cut}}$. The detector efficiency, $\epsilon_{\text{det}}$, is defined as $\epsilon_{\text{det}} = \epsilon_{\text{CHORUS}}(1 - \epsilon_{\text{dead}}) \approx 0.87$, where $\epsilon_{\text{CHORUS}} \approx 0.92$ is the average CHORUS data collection efficiency [13], and $\epsilon_{\text{dead}} \approx 0.054$ is the average dead time during data-taking [23]. The reconstruction efficiency for $\mu^+\mu^-$ events in the spectrometer, $\epsilon_{\text{rec}} \approx 0.95 \pm 0.03$ [24], was evaluated by means of scanning by eye the subsample of the $\mu^+\mu^-$ MC events [19]. The efficiency of the dimuon trigger, $\epsilon_{\text{trig}} \approx 0.80 \pm 0.11$ [24], was estimated with the MC. The energy dependence of the efficiency of the kinematical cuts, $\epsilon_{\text{cut}}(E_\nu)$, was calculated using the kinematics of direct $J/\psi$ production and decay in the framework of the Z-gluon fusion model. The differential cross section for the process, $d^2\sigma^{J/\psi}/dQ^2 dv$, was taken from [8a].

The parametrization of the spectrometer muon momentum resolution from Ref. [10] was

$28$ The main term in this expression is the virtual photoproduction cross section which was chosen in the form $\sigma(v, Q^2) = (1 + Q^2/M^2_{J/\psi})^{-2} A \exp(-B/(v - C))$ [25], with parameters $A = 20$ nb, $B = 45$ GeV and $C = 6$ GeV [26] tuned to $J/\psi$ muoproduction data.
Fig. 4. (a) The efficiency of the kinematical cuts for direct $J/\psi$ production as a function of $E_\nu$ (histogram). The neutrino spectrum is also shown (dotted curve). (b) The efficiency-weighted (‘effective’) neutrino spectrum. was used. The smearing of the angle between muons due to multiple scattering in the calorimeter was also taken into account. The calculated $\epsilon_{\text{cut}}(E_\nu)$ is shown in Fig. 4a. The global efficiency was further folded with the neutrino beam spectrum (Fig. 4b). The average value of $\epsilon$ is about 0.18.

From formula (1) the theoretical expectation [8] of direct $J/\psi$ production in the framework of the Z-gluon fusion model is $8.0 \pm 1.5$ events. The main uncertainty comes from the efficiency calculation ($\sim 15\%$). Other sources give $\sim 10\%$ contribution. The theoretical uncertainties are very difficult to calculate reliably and are not taken into account. A higher experimental rate ($28.1 \pm 12.3 \pm 2.7$ events) suggests a sizeable contribution of excited charmonium states. We recall that it may be about as large as the direct $J/\psi$ production process (see Refs. [8,9]).

The experimental spectrum-averaged cross section calculated with formula (1) is $\langle \sigma_{J/\psi} \rangle = (6.3 \pm 3.0) \times 10^{-41} \text{ cm}^2/\text{nucleon}$. The error includes both statistical and systematical uncertainties added in quadrature. The CDHS result [6] is $(5.4 \pm 1.9) \times 10^{-41} \text{ cm}^2/\text{nucleon}$. It should be mentioned that CHORUS and CDHS were using different wide-band beams, with slightly different energy spectra and compositions. Although we do not expect large nuclear effects, we note that the CDHS experiment used an iron target, while CHORUS used a lead target.

In conclusion, the rare process of $J/\psi$ production via neutrino neutral-current interaction has been observed. The measured cross section is in agreement with the previous CDHS result [6]. The diffraction mechanism is unlikely to play an important rôle in the direct $J/\psi$ production process. A contribution of excited charmonium states decaying to $J/\psi$ could be as large as the direct $J/\psi$ production process, in qualitative agreement with theoretical expectations. Therefore neutral-current coupling to charmed quarks cannot be extracted with sufficient precision.

Acknowledgements

We would like to thank A. Kaidalov, S. Kruchinin and V. Lemaitre for fruitful discussions. We gratefully acknowledge the help and support of our numerous technical collaborators who contributed to the detector construction and operation. We thank the neutrino beam staff for their competent assistance, ensuring the excellent performance of the facility. The accumulation of a large data sample in this experiment was also made possible thanks to the efforts of the crew operating the CERN PS and SPS. The general technical support from EP, ECP and IT Divisions is gratefully acknowledged. We thank S. Anthony for help in preparation of the text.

The experiment has been made possible by grants from our funding agencies: the Institut Interuniversitaire des Sciences Nucléaires and the Interuniversitair Institut voor Kernwetenschappen (Belgium); the Israel Science Foundation (Grant 328/94) and the Technion Vice President Fund for the Promotion of Research (Israel); CERN (Geneva, Switzerland); the German Bundesministerium für Bildung und Forschung (Grant 057MS12P(0)) (Germany); the Institute of Theoretical and Experimental Physics (Moscow, Russia).
ria); the Istituto Nazionale di Fisica Nucleare (Italy); the Promotion and Mutual Aid Corporation for Private Schools of Japan and Japan Society for the Promotion of Science (Japan); the Korea Research Foundation (Grant KRF-99-005-D00004) (Republic of Korea); the Foundation for Fundamental Research on Matter (FOM) and the National Scientific Research Organization (NWO) (The Netherlands); and the Scientific and Technical Research Council of Turkey (Turkey).

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