

# Pushdown Module Checking

Laura Bozzelli, Aniello Murano, and Adriano Peron

Università di Napoli Federico II, Via Cintia, 80126 - Napoli, Italy

**Abstract.** *Model checking* is a useful method to verify automatically the correctness of a system with respect to a desired behavior, by checking whether a mathematical model of the system satisfies a formal specification of this behavior. Many systems of interest are open, in the sense that their behavior depends on the interaction with their environment. The model checking problem for finite-state open systems (called *module checking*) has been intensively studied in the literature. In this paper, we focus on *open pushdown systems* and we study the related model-checking problem (*pushdown module checking*, for short) with respect to properties expressed by *CTL* and *CTL\** formulas. We show that pushdown module checking against *CTL* (resp., *CTL\**) is 2EXPTIME-complete (resp., 3EXPTIME-complete). Moreover, we prove that for a fixed *CTL\** formula, the problem is EXPTIME-complete.

## 1 Introduction

In the last decades significant results have been achieved in the area of formal design verification of reactive systems. In particular, a meaningful contribution has been given by algorithmic methods developed in the context of *model-checking* ([CE81, QS81, VW86]). In this verification method, the behaviour of a system, formally described by a mathematical model, is checked against a behavioural constraint specified by a formula in a suitable temporal logic, which enforces either a linear model of time (formulas are interpreted over linear sequences corresponding to single computations of the system) or a branching model of time (formulas are interpreted over infinite trees, which describe all the possible computations of the system). Traditionally, model checking is applied to finite-state systems, typically modelled by labelled state-transition graphs.

In system modelling, we distinguish between *closed* and *open* systems. For a closed system, the behavior is completely determined by the state of the system. For an open system, the behaviour is affected both by its internal state and by the ongoing interaction with its environment. Thus, while in a closed system all the nondeterministic choices are internal, and resolved by the system, in an open system there are also external nondeterministic choices, which are resolved by the environment [Hoa85]. Model checking algorithms used for the verification of closed systems are not appropriate for the verification of open systems. In the latter case, we should check the system with respect to arbitrary environments and should take into account uncertainty regarding the environment.

In [KVW01], Kupferman, Vardi, and Wolper extend model checking from closed finite-state systems to open finite-state systems. In such a framework, the

open finite-state system is described by a labelled state-transition graph called *module* whose set of states is partitioned into a set of *system states* (where the system makes a transition) and a set of *environment states* (where the environment makes a transition). The problem of model checking a module (called *module checking*) has two inputs: a module  $M$  and a temporal formula  $\psi$ . The idea is that an open system should satisfy a specification  $\psi$  no matter how the environment behaves. Let us consider the unwinding of  $M$  into an infinite tree, say  $T_M$ . Checking whether  $T_M$  satisfies  $\psi$  is the usual *model-checking problem* [CE81, QS81]. On the other hand, for an open system,  $T_M$  describes the interaction of the system with a maximal environment, i.e. an environment that enables all the external nondeterministic choices. In order to take into account all the possible behaviours of the environment, we have to consider all the trees  $T$  obtained from  $T_M$  by pruning subtrees whose root is a successor of an environment state (pruning these subtrees correspond to disable possible environment choices). Therefore, a module  $M$  satisfies  $\psi$  if all these trees  $T$  satisfy  $\psi$ .

Note that for the linear-time paradigm, module checking coincides with the usual model checking, since for linear temporal formulas  $\psi$  we require that all the possible interactions of the system with its environment (corresponding to all computations of  $M$ , i.e. to all possible full-paths in  $T_M$ ) have to satisfy  $\psi$ . Therefore, while the complexity of model checking for closed and open finite-state systems coincide using linear time logics, when using branching time logics, model checking for open finite-state systems is much harder than model checking for closed finite-state systems. In particular, it is proved in [KVV01], that the problem is EXPTIME-complete for specifications in  $CTL$  and 2EXPTIME-complete for specifications in  $CTL^*$ . Moreover, the complexity of this problem in terms of the size of the module is PTIME-complete.

Recently, the investigation of model-checking techniques has been extended to infinite-state systems. An active field of research is model-checking of closed infinite-state sequential systems. These are systems in which each state carries a finite, but unbounded, amount of information e.g. a pushdown store. The origin of this research is the result of Muller and Schupp that the monadic second-order theory of *context-free graphs* is decidable [MS85]. Concerning *pushdown systems*, Walukiewicz [Wal96] has shown that model checking these systems with respect to *modal  $\mu$ -calculus* is EXPTIME-complete. Even for a fixed formula in the *alternation-free modal  $\mu$ -calculus*, the problem is EXPTIME-hard in the size of the pushdown system. The problem remains EXPTIME-complete also for the logic  $CTL$  [Wal00], which corresponds to a fragment of the alternation-free modal  $\mu$ -calculus. However, the exact complexity in the size of the system (for a fixed  $CTL$  formula) is an open problem: it lies somewhere between PSPACE and EXPTIME [BEM97]. To the best of our knowledge, the pushdown model checking problem for  $CTL^*$  has not been investigated so far. However, since  $CTL^*$  formulas can be translated to modal  $\mu$ -calculus with an exponential blow-up [BC96], we obtain that the problem is, at worst, in 2EXPTIME. The situation is quite different for linear-time logics. Model-checking with  $LTL$  and the *linear-time  $\mu$ -calculus* is

EXPTIME-complete [BEM97]. However, the problem is polynomial in the size of the pushdown system.

In the literature, verification of open systems has been also formulated as two-players games. For pushdown systems, games with parity winning conditions are known to be decidable [Wal96]. More recently, in [LMS04], it is shown that pushdown games against *LTL* specifications are 3EXPTIME-complete.

This paper contributes to the investigation of model checking of open infinite-state systems by introducing *Open Pushdown systems (OPD)* and considering model checking with respect to *CTL* and *CTL\**. An *OPD* is a pushdown system augmented with finite information that allow to partition the set of configurations (in accordance with the control state and the symbol on the top of the stack) into a set of *system configurations* and a set of *environment configurations*.

As an example of closed and open pushdown systems, we can consider two drink-dispensing machines (obtained as an extension of the machines defined in [Hoa85]). The first machine repeatedly boils water for a while, makes an internal nondeterministic choice and serves either tea or coffee, with the additional constraint that coffee can be served only if the number of coffees served up to that time is smaller than that of teas served. Such a machine can be modelled as a closed pushdown system (the stack is used to guarantee the inequality between served coffees and teas). The second machine repeatedly boils water for a while, asks the environment to make a choice between coffee and tea, and *deterministically* serves a drink according to the external choice, with the additional constraint that coffee can be served only if the number of coffees served up to that time is smaller than that of teas served. Such a machine can be modelled as an open pushdown system. Both machines can be represented by a pushdown system that induces the same infinite tree of possible executions, nevertheless, while the behavior of the first machine depends on internal choices solely, the behavior of the second machine depends also on the interaction with its environment. Thus, for instance, for the first machine, it is always possible to eventually serve coffee. On the contrary, for the second machine, this does not hold. Indeed, if the environment always chooses tea, the second machine will never serve coffee.

We study module checking of (infinite-state) modules induced by *OPD* w.r.t. the branching-time logics *CTL* and *CTL\**. As in the case of finite-state systems, pushdown module checking is much harder than pushdown model checking for both *CTL* and *CTL\**. Indeed, we show that pushdown module checking is 2EXPTIME-complete for *CTL* and 3EXPTIME-complete for *CTL\**. We also show that for *CTL\**, the complexity of pushdown module checking in terms of the size of the given *OPD* is EXPTIME-complete. For the upper bounds of the complexity results, we exploit the standard automata-theoretic approach. In particular, for *CTL* (resp., *CTL\**) we propose an exponential time (resp., a double-exponential time) reduction to the emptiness problem of nondeterministic pushdown tree automata with parity acceptance conditions. The latter problem is known to be decidable in exponential time [KPV02]. Finally, the lower bound for *CTL* (resp., *CTL\**) is shown by a technically non-trivial reduction from the word problem for EXPSPACE-bounded (resp., 2EXPSPACE-bounded) alternating Turing Machines.

## 2 Preliminaries

### 2.1 Module Checking for Branching Temporal Logics

In this subsection we define the module checking problem for *CTL* and *CTL\** [KVVW01]. First, we recall syntax and semantics of *CTL* and *CTL\**.

Let  $\mathbb{N}$  be the set of positive integers. A *tree*  $T$  is a prefix closed subset of  $\mathbb{N}^*$ . The elements of  $T$  are called *nodes* and the empty word  $\varepsilon$  is the *root* of  $T$ . For  $x \in T$ , the set of *children* of  $x$  (in  $T$ ) is  $children(T, x) = \{x \cdot i \in T \mid i \in \mathbb{N}\}$ . For  $k \geq 1$ , the (complete)  $k$ -ary tree is the tree  $\{1, \dots, k\}^*$ . For  $x, y \in \mathbb{N}^*$ , we write  $x \prec y$  to mean that  $x$  is a proper prefix of  $y$ . For  $x \in T$ , a (full) path  $\pi$  of  $T$  from  $x$  is a *minimal* set  $\pi \subseteq T$  such that  $x \in \pi$  and for each  $y \in \pi$  such that  $children(T, y) \neq \emptyset$ , there is exactly one node in  $children(T, y)$  belonging to  $\pi$ . For  $y \in \pi$ , we denote by  $\pi^y$  the (suffix) path of  $T$  from  $y$  given by  $\{z \in \pi \mid y \preceq z\}$ . For an alphabet  $\Sigma$ , a  $\Sigma$ -labelled tree is a pair  $\langle T, V \rangle$  where  $T$  is a tree and  $V : T \rightarrow \Sigma$  maps each node of  $T$  to a symbol in  $\Sigma$ .

The logic *CTL\** is a branching-time temporal logic [EH86], where a path quantifier,  $E$  (“for some path”) or  $A$  (“for all paths”), can be followed by an arbitrary linear-time formula, allowing boolean combinations and nesting, over the usual linear temporal operators  $X$  (“next”),  $U$  (“until”),  $F$  (“eventually”), and  $G$  (“always”). There are two types of formulas in *CTL\**: *state formulas*, whose satisfaction is related to a specific state (or node of a labelled tree), and *path formulas*, whose satisfaction is related to a specific path. Formally, for a finite set  $AP$  of proposition names, the class of state formulas  $\varphi$  and the class of path formulas  $\theta$  are defined by the following syntax:

$$\begin{aligned} \varphi &:= p \mid \neg\varphi \mid \varphi \wedge \varphi \mid A\theta \mid E\theta \\ \theta &:= \varphi \mid \neg\theta \mid \theta \wedge \theta \mid X\theta \mid \theta U\theta \end{aligned}$$

where  $p \in AP$ . The set of state formulas  $\varphi$  forms the language *CTL\**. The other operators can be introduced as abbreviations in the usual way: for instance,  $F\theta$  abbreviates  $true U \theta$  and  $G\theta$  abbreviates  $\neg F\neg\theta$ .

The *Computation Tree Logic CTL* [CE81] is a restricted subset of *CTL\**, obtained restricting the syntax of path formulas  $\theta$  as follows:  $\theta := X\varphi \mid \varphi U \varphi$ . This means that  $X$  and  $U$  must be immediately preceded by a path quantifier.

We define the semantics of *CTL\** (and its fragment *CTL*) with respect to  $2^{AP}$ -labelled trees  $\langle T, V \rangle$ . Let  $x \in T$  and  $\pi \subseteq T$  be a path from  $x$ . For a state (resp., path) formula  $\varphi$  (resp.  $\theta$ ), the satisfaction relation  $(\langle T, V \rangle, x) \models \varphi$  (resp.,  $(\langle T, V \rangle, \pi) \models \theta$ ), meaning that  $\varphi$  (resp.,  $\theta$ ) holds at node  $x$  (resp., holds along path  $\pi$ ) in  $\langle T, V \rangle$ , is defined by induction. The clauses for proposition letters, negation, and conjunction are standard. For the other constructs we have:

- $(\langle T, V \rangle, x) \models A\theta$  iff for each path  $\pi$  in  $T$  from  $x$ ,  $(\langle T, V \rangle, \pi) \models \theta$ ;
- $(\langle T, V \rangle, x) \models E\theta$  iff there exists a path  $\pi$  from  $x$  such that  $(\langle T, V \rangle, \pi) \models \theta$ ;
- $(\langle T, V \rangle, \pi) \models \varphi$  (where  $\pi$  is a path from  $x$ ) iff  $(\langle T, V \rangle, x) \models \varphi$ ;
- $(\langle T, V \rangle, \pi) \models X\theta$  iff  $\pi \setminus \{x\} \neq \emptyset$  and  $(\langle T, V \rangle, \pi \setminus \{x\}) \models \theta$ ;<sup>1</sup>

<sup>1</sup> Note that  $\pi \setminus \{x\}$  is a path starting from the unique child of  $x$  in  $\pi$ .

- $(\langle T, V \rangle, \pi) \models \theta_1 \mathcal{U} \theta_2$  iff there exists  $y \in \pi$  such that  $(\langle T, V \rangle, \pi^y) \models \theta_2$  and  $(\langle T, V \rangle, \pi^z) \models \theta_1$  for all  $z \in \pi$  such that  $z \prec y$ .

Given a *CTL\** (state) formula  $\varphi$ , we say that  $\langle T, V \rangle$  satisfies  $\varphi$  if  $(\langle T, V \rangle, \varepsilon) \models \varphi$ .

In this paper we consider open systems, i.e. systems that interact with their environment and whose behavior depends on this interaction. The (global) behavior of such a system is described by an *open* Kripke structure (called also *module* [KVW01])  $M = \langle AP, W_s, W_e, R, w_0, \mu \rangle$  where  $AP$  is a finite set of atomic propositions,  $W_s \cup W_e$  is a countable set of (global) states partitioned into a set  $W_s$  of *system* states and a set  $W_e$  of *environment* states (we use  $W$  to denote  $W_s \cup W_e$ ),  $R \subseteq W \times W$  is a (global) transition relation,  $w_0 \in W$  is an initial state, and  $\mu : W \rightarrow 2^{AP}$  maps each state  $w$  to the set of atomic propositions that hold in  $w$ . For  $(w, w') \in R$ , we say that  $w'$  is a successor of  $w$ . We assume that the states in  $M$  are ordered and the number of successors of each state  $w$ , denoted by  $bd(w)$ , is finite. For each state  $w \in W$ , we denote by  $succ(w)$  the ordered tuple (possibly empty) of  $w$ 's successors. We say that a state  $w$  is *terminal* if it has no successor. When the module  $M$  is in a non-terminal system state  $w_s$ , then all the states in  $succ(w_s)$  are possible next states. On the other hand, when  $M$  is in a non-terminal environment state  $w_e$ , then the possible next states (that are in  $succ(w_e)$ ) depend on the current environment. Since the behavior of the environment is not predictable, we have to consider all the possible sub-tuples of  $succ(w_e)$ . The only constraint, since we consider environments that cannot block the system, is that not all the transitions from  $w_e$  are disabled.

The set of all (maximal) computations of  $M$  starting from the initial state  $w_0$  is described by a  $W$ -labelled tree  $\langle T_M, V_M \rangle$ , called *computation tree*, which is obtained by unwinding  $M$  in the usual way. The problem of deciding, for a given branching-time formula  $\psi$  over  $AP$ , whether  $\langle T_M, \mu \circ V_M \rangle$  satisfies  $\psi$ , denoted  $M \models \psi$ , is the usual *model-checking problem* [CE81, QS81]. On the other hand, for an open system,  $\langle T_M, V_M \rangle$  corresponds to a very specific environment, i.e. a maximal environment that never restricts the set of its next states. Therefore, when we examine a branching-time specification  $\psi$  w.r.t. a module  $M$ ,  $\psi$  should hold not only in  $\langle T_M, V_M \rangle$ , but in all the trees obtained by pruning from  $\langle T_M, V_M \rangle$  subtrees whose root is a child (successor) of a node corresponding to an environment state. The set of these trees is denoted by  $exec(M)$ , and is formally defined as follows.  $\langle T, V \rangle \in exec(M)$  iff  $V(\varepsilon) = w_0$  and the following holds:

- For  $x \in T$  with  $V(x) = w \in W_s$  and  $succ(w) = \langle w_1, \dots, w_n \rangle$ , it holds that  $children(T, x) = \{x \cdot 1, \dots, x \cdot n\}$  and for  $1 \leq i \leq n$ ,  $V(x \cdot i) = w_i$ .
- For  $x \in T$  with  $V(x) = w \in W_e$  and  $succ(w) = \langle w_1, \dots, w_n \rangle$ , there exists a sub-tuple  $\langle w_{i_1}, \dots, w_{i_p} \rangle$  of  $succ(w)$  such that  $p \geq 1$  if  $succ(w)$  is not empty,  $children(T, x) = \{x \cdot i_1, \dots, x \cdot i_p\}$  and for  $1 \leq j \leq p$ ,  $V(x \cdot i_j) = w_{i_j}$ .

Intuitively, each tree in  $exec(M)$  corresponds to a different behavior of the environment. In the following, we consider the trees in  $exec(M)$  as  $2^{AP}$ -labelled trees, i.e. taking the label of a node  $x$  to be  $\mu(V(x))$ .

For a module  $M$  and a *CTL\** (resp., *CTL*) formula  $\psi$ , we say that  $M$  satisfies  $\psi$ , denoted  $M \models_r \psi$ , if all the trees in  $exec(M)$  satisfy  $\psi$ . The problem of deciding

whether  $M$  satisfies  $\psi$  is called *module checking* [KVV01]. Note that  $M \models_r \psi$  implies  $M \models \psi$  (since  $\langle T_M, V_M \rangle \in \text{exec}(M)$ ), but the converse in general does not hold. Also, note that  $M \not\models_r \psi$  is *not* equivalent to  $M \models_r \neg\psi$ . Indeed,  $M \not\models_r \psi$  just states that there is some tree  $\langle T, V \rangle \in \text{exec}(M)$  satisfying  $\neg\psi$ .

## 2.2 Pushdown Module Checking

In this paper we consider Modules induced by *Open Pushdown Systems* (*OPD*, for short), i.e., Pushdown systems where the set of configurations is partitioned (in accordance with the control state and the symbol on the top of the stack) in a set of *environment* configurations and a set of *system* configurations.

An *OPD* is a tuple  $\mathcal{S} = \langle AP, \Gamma, P, p_0, \alpha_0, \Delta, L, Env \rangle$ , where  $AP$  is a finite set of propositions,  $\Gamma$  is a finite stack alphabet,  $P$  is a finite set of (control) states,  $p_0 \in P$  is an initial state,  $\alpha_0 \in \Gamma^* \cdot \gamma_0$  is an initial stack content (where  $\gamma_0 \notin \Gamma$  is the *stack bottom symbol*),  $\Delta \subseteq (P \times (\Gamma \cup \{\gamma_0\})) \times (P \times \Gamma^*)$  is a finite set of transitions,  $L : P \times (\Gamma \cup \{\gamma_0\}) \rightarrow 2^{AP}$  is a labelling function, and  $Env \subseteq P \times (\Gamma \cup \{\gamma_0\})$  is used to specify the set of *environment configurations*. A *configuration* is a pair  $(p, \alpha)$  where  $p \in P$  is a control state and  $\alpha \in \Gamma^* \cdot \gamma_0$  is a stack content. We assume that the set  $P \times \Gamma^*$  is ordered and for each  $(p, A) \in P \times (\Gamma \cup \{\gamma_0\})$ , we denote by  $\text{next}_{\mathcal{S}}(p, A)$  the ordered tuple (possibly empty) of the pairs  $(q, \beta)$  such that  $((p, A), (q, \beta)) \in \Delta$ .

The size  $|\mathcal{S}|$  of  $\mathcal{S}$  is  $|P| + |\Gamma| + |\alpha_0| + |\Delta|$ , with  $|\Delta| = \sum_{((p,A),(q,\beta)) \in \Delta} |\beta|$ .

An *OPD*  $\mathcal{S}$  induces a module  $M_{\mathcal{S}} = \langle AP, W_s, W_e, R, w_0, \mu \rangle$ , where:

- $W_s \cup W_e = P \times \Gamma^* \cdot \gamma_0$  is the set of pushdown configurations;
- $W_e$  is the set of configurations  $(p, A \cdot \alpha)$  such that  $(p, A) \in Env$ ;
- $w_0 = (p_0, \alpha_0)$ ;
- $((p, A \cdot \alpha), (q, \beta)) \in R$  iff there is  $((p, A), (q, \beta')) \in \Delta$  such that either  $A \in \Gamma$  and  $\beta = \beta' \cdot \alpha$ , or  $A = \gamma_0$  (in this case  $\alpha = \varepsilon$ ) and  $\beta = \beta' \cdot \gamma_0$  (note that every transition that removes the bottom symbol  $\gamma_0$  also pushes it back);
- For all  $(p, A \cdot \beta) \in W_s \cup W_e$ ,  $\mu(p, A \cdot \beta) = L(p, A)$ .

The *pushdown module checking* problem for *CTL* (resp., *CTL\**) is to decide, for a given *OPD*  $\mathcal{S}$  and a *CTL* (resp., *CTL\**) formula  $\psi$ , whether  $M_{\mathcal{S}} \models_r \psi$ .

## 3 Tree Automata

In order to solve the pushdown module checking problem for *CTL* and *CTL\**, we use an automata theoretic approach; in particular, we exploit the formalisms of *Nondeterministic (finite-state) Tree Automata* (*NTA* for short) [Buc62] and *Nondeterministic Pushdown Tree Automata* (*PD-NTA* for short) [KPV02].

**Nondeterministic (finite-state) Tree Automata (NTA).** Here we describe *NTA* over (complete)  $k$ -ary trees for a given  $k \geq 1$ . Formally, an *NTA* is a tuple  $\mathcal{A} = \langle \Sigma, Q, q_0, \delta, F \rangle$ , where  $\Sigma$  is a finite input alphabet,  $Q$  is a finite set of states,  $q_0 \in Q$  is an initial state,  $\delta : Q \times \Sigma \rightarrow 2^{Q^k}$  is a transition function, and  $F$  is an

acceptance condition. We consider here *Büchi* and *parity* acceptance conditions [Buc62, EJ91]. In the case of a parity condition,  $F = \{F_1, \dots, F_m\}$  is a finite sequence of subsets of  $Q$ , where  $F_1 \subseteq F_2 \subseteq \dots \subseteq F_m = Q$  ( $m$  is called the index of  $\mathcal{A}$ ). In the case of a Büchi condition,  $F \subseteq Q$ .

A run of  $\mathcal{A}$  on a  $\Sigma$ -labelled  $k$ -ary tree  $\langle T, V \rangle$  (where  $T = \{1, \dots, k\}^*$ ) is a  $Q$ -labelled tree  $\langle T, r \rangle$  such that  $r(\varepsilon) = q_0$  and for each  $x \in T$ , we have that  $\langle r(x \cdot 1), \dots, r(x \cdot k) \rangle \in \delta(r(x), V(x))$ . For a path  $\pi \subseteq T$ , let  $\text{inf}_r(\pi) \subseteq Q$  be the set of states that appear as the labels of infinitely many nodes in  $\pi$ . For a parity acceptance condition  $F = \{F_1, \dots, F_m\}$ ,  $\pi$  is *accepting* if there is an *even*  $1 \leq i \leq m$  such that  $\text{inf}_r(\pi) \cap F_i \neq \emptyset$  and for all  $j < i$ ,  $\text{inf}_r(\pi) \cap F_j = \emptyset$ . For a Büchi condition  $F \subseteq Q$ ,  $\pi$  is *accepting* if  $\text{inf}_r(\pi) \cap F \neq \emptyset$ . A run  $\langle T, r \rangle$  is *accepting* if all its paths are accepting. The automaton  $\mathcal{A}$  accepts an input tree  $\langle T, V \rangle$  iff there is an accepting run of  $\mathcal{A}$  over  $\langle T, V \rangle$ . The language of  $\mathcal{A}$ , denoted  $\mathcal{L}(\mathcal{A})$ , is the set of  $\Sigma$ -labelled (complete)  $k$ -ary trees accepted by  $\mathcal{A}$ .

The *size*  $|\mathcal{A}|$  of an NTA  $\mathcal{A}$  is  $|Q| + |\delta| + |F|$  with  $|\delta| = \sum_{(q, \sigma) \in Q \times \Sigma} |\delta(q, \sigma)|$ .

It is well-known that formulas of *CTL* and *CTL\** can be translated to tree automata (accepting the models of the given formula). In particular, we are interested in optimal translations to parity NTA. Concerning a *CTL* (resp., *CTL\**) formula  $\psi$ , given  $k \geq 1$ , first we build according to [KVV00] a Büchi (resp., parity<sup>2</sup>) *alternating* tree automata  $\mathcal{A}$  with  $O(|\psi|)$  (resp.,  $O(2^{|\psi|})$ ) states and size  $O(k \cdot |\psi|)$  (resp., size  $O(k \cdot 2^{|\psi|})$ ) and index  $O(|\psi|)$  accepting exactly the complete  $k$ -ary trees satisfying  $\psi$ . Then, according to [Var98], we can translate  $\mathcal{A}$  into an equivalent parity NTA whose size is  $O(k \cdot 2^{O(|\psi| \log |\psi|)})$  (resp.,  $O(k \cdot 2^{O(|\psi|)})$ ) and whose index is  $O(|\psi|)$  (resp.,  $O(2^{|\psi|})$ ).

**Lemma 1 ([KVV00, Var98]).** *Given a CTL (resp., CTL\*) formula  $\psi$  over AP and  $k \geq 1$ , we can construct a parity NTA of size  $O(k \cdot 2^{O(|\psi| \log |\psi|)})$  (resp.,  $O(k \cdot 2^{O(|\psi|)})$ ) and index  $O(|\psi|)$  (resp.,  $O(2^{|\psi|})$ ) that accepts exactly the set of  $2^{AP}$ -labelled complete  $k$ -ary trees that satisfy  $\psi$ .*

**Remark 1.** *Vardi in [Var98] gives a translation from (two-way) alternating parity tree automata  $\mathcal{A}$  to parity NTA  $\mathcal{A}'$ . Note that the size of the parity NTA  $\mathcal{A}'$  is exponential in  $k$ . This depends on the fact that Vardi considers arbitrary memoryless strategies of the form  $\tau : \{1, \dots, k\}^* \rightarrow 2^{Q \times \{1, \dots, k\} \times Q}$  where  $Q$  is the set of states of  $\mathcal{A}$ . On the other hand, if  $\mathcal{A}$  corresponds to a CTL or CTL\* formula, then any formula of  $\mathcal{B}^+(\{1, \dots, k\} \times Q)$  occurring in the transition function of  $\mathcal{A}$  (see [KVV00, Var98] for the definition of the transition function of an alternating tree automata) is a positive boolean combination of sub-formulas either of the form  $\bigwedge_{i=1}^{i=k}(i, q)$  or of the form  $\bigvee_{i=1}^{i=k}(i, q)$  for some  $q \in Q$ . This means that we can limit ourselves to consider strategies  $\tau$  such that the following holds for each  $x \in \{1, \dots, k\}^*$  and  $(q, i, p) \in \tau(x)$ : either  $(q, j, p) \notin \tau(x)$  for each  $j \neq i$  or  $(q, j, p) \in \tau(x)$  for each  $1 \leq j \leq k$ . This simple observation applied to the algorithm in [Var98] provides the desired complexity linear in  $k$ . This is important, since, as we will see in the next section,  $k$  depends on the size of the given*

<sup>2</sup> [KVV00] gives a translation from *CTL\** to *Hesitant alternating tree automata* which are a special case of parity alternating tree automata.

pushdown system. Moreover, note that classical translations [VW86, EJ88] from CTL and CTL\* to NTA lead to NTA whose sizes are exponential in  $k$ .

**Nondeterministic Pushdown Tree Automata (PD-NTA).** Here we describe PD-NTA (without  $\varepsilon$ -transitions) over complete  $k$ -ary labelled trees. Formally, an PD-NTA is a tuple  $\mathcal{P} = \langle \Sigma, \Gamma, P, p_0, \alpha_0, \rho, F \rangle$ , where  $\Sigma$  is a finite input alphabet,  $\Gamma$  is a finite stack alphabet,  $P$  is a finite set of (control) states,  $p_0 \in P$  is an initial state,  $\alpha_0 \in \Gamma^* \cdot \gamma_0$  is an initial stack content,  $\rho : P \times \Sigma \times (\Gamma \cup \{\gamma_0\}) \rightarrow 2^{(P \times \Gamma^*)^k}$  is a transition function, and  $F$  is an acceptance condition over  $P$ . Intuitively, when the automaton is in state  $p$ , reading an input node  $x$  labelled by  $\sigma \in \Sigma$ , and the stack contains a word  $A \cdot \alpha$  in  $\Gamma^* \cdot \gamma_0$ , then the automaton chooses a tuple  $\langle (p_1, \beta_1), \dots, (p_k, \beta_k) \rangle \in \rho(p, \sigma, A)$  and splits in  $k$  copies such that for each  $1 \leq i \leq k$ , a copy in state  $p_i$ , and stack content obtained by removing  $A$  and pushing  $\beta_i$ , is sent to the node  $x \cdot i$  in the input tree.

A run of the PD-NTA  $\mathcal{P}$  on a  $\Sigma$ -labelled  $k$ -ary tree  $\langle T, V \rangle$  (with  $T = \{1, \dots, k\}^*$ ) is a  $(P \times \Gamma^* \cdot \gamma_0)$ -labelled tree  $\langle T, r \rangle$  such that  $r(\varepsilon) = (p_0, \alpha_0)$  and for each  $x \in T$  with  $r(x) = (p, A \cdot \alpha)$ , there is  $\langle (p_1, \beta_1), \dots, (p_k, \beta_k) \rangle \in \rho(p, V(x), A)$  such that for all  $1 \leq i \leq k$ ,  $r(x \cdot i) = (p_i, \beta_i \cdot \alpha)$  if  $A \neq \gamma_0$ , and  $r(x \cdot i) = (p_i, \beta_i \cdot \gamma_0)$  otherwise (note that in this case  $\alpha = \varepsilon$ ).

As with NTA, we consider Büchi and parity acceptance conditions over  $P$ . The notion of accepting path  $\pi$  is defined as for NTA with  $\text{inf}_r(\pi)$  defined as follows:  $\text{inf}_r(\pi) \subseteq P$  is the set such that  $p \in \text{inf}_r(\pi)$  iff there are infinitely many  $x \in \pi$  for which  $r(x) \in \{p\} \times \Gamma^* \cdot \gamma_0$ . A run  $\langle T, r \rangle$  is accepting if every path  $\pi \subseteq T$  is accepting. The PD-NTA  $\mathcal{P}$  accepts an input tree  $\langle T, V \rangle$  iff there is an accepting run of  $\mathcal{P}$  over  $\langle T, V \rangle$ . The language of  $\mathcal{P}$ , denoted  $\mathcal{L}(\mathcal{P})$ , contains all trees accepted by  $\mathcal{P}$ . The emptiness problem for PD-NTA is to decide, for a given PD-NTA  $\mathcal{P}$ , whether  $\mathcal{L}(\mathcal{P}) = \emptyset$ .

**Proposition 1 ([KPV02]).** *The emptiness problem for a parity PD-NTA of index  $m$  with  $n$  states, and transition function  $\rho$  can be solved in time exponential in  $n \cdot m \cdot |\rho|$  with  $|\rho| = \sum_{\langle (p_1, \beta_1), \dots, (p_k, \beta_k) \rangle \in \rho(p, \sigma, A)} |\beta_1| + \dots + |\beta_k|$ .*

PD-NTA are closed under intersection with NTA.

**Proposition 2.** *For a Büchi PD-NTA  $\mathcal{P} = \langle \Sigma, \Gamma, P, p_0, \alpha_0, \rho, F \rangle$  with  $F = P$  and a parity NTA  $\mathcal{A} = \langle \Sigma, Q, q_0, \delta, F' \rangle$ , there is a parity PD-NTA  $\mathcal{P}'$  such that  $\mathcal{L}(\mathcal{P}') = \mathcal{L}(\mathcal{P}) \cap \mathcal{L}(\mathcal{A})$ . Moreover,  $\mathcal{P}'$  has  $|P| \cdot |Q|$  states, the same index of  $\mathcal{A}$ , and the size of the transition relation is bounded by  $|\rho| \cdot |\delta|$ .*

## 4 Deciding Pushdown Module Checking

In this section we solve Pushdown Module Checking for CTL and CTL\*.

### 4.1 Upper Bounds

We fix an OPD  $\mathcal{S} = \langle AP, \Gamma, P, p_0, \alpha_0, \Delta, L, Env \rangle$  and a formula  $\psi$ . We decide pushdown module-checking for  $\mathcal{S}$  against  $\psi$  using an automata-theoretic approach: we construct a parity PD-NTA  $\mathcal{P}_{\mathcal{S} \times \neg \psi}$  as the intersection of two tree

automata. Essentially, the first automaton, denoted by  $\mathcal{P}_S$ , is a Büchi *PD-NTA* that accepts the trees in  $exec(M_S)$ , and the second automaton is a parity *NTA* that accepts the set of trees that do not satisfy  $\psi$ . Thus,  $M_S \models_r \psi$  iff  $\mathcal{L}(\mathcal{P}_{S \times \neg\psi})$  is empty. The construction proposed here follows (and extends) that given in [KVVW01] for solving the module-checking problem for finite-state open systems. The extensions concern the handling of terminal states and the use of pushdown tree automata.

In order to define  $\mathcal{P}_S$ , we consider an equivalent representation of  $exec(M_S)$  by complete  $k$ -ary trees with  $k = \max\{bd(w) \mid w \in W_s \cup W_e\}$  (note that for a pushdown system  $\mathcal{S}$ ,  $k$  is finite and can be trivially computed from the transition relation  $\Delta$  of  $\mathcal{S}$ ). Recall that each tree in  $exec(M_S)$  is a  $2^{AP}$ -labelled tree that is obtained from  $\langle T_{M_S}, V_{M_S} \rangle$  by suitably pruning some of its subtrees. We can encode the tree  $\langle T_{M_S}, V_{M_S} \rangle$  as a  $2^{AP \cup \{t\}} \cup \{\perp\}$ -labelled complete  $k$ -ary tree (where  $\perp$  and  $t$  are fresh proposition names not belonging to  $AP$ ) in the following way: first, we add the proposition  $t$  to the label of all leaf nodes (corresponding to terminal global states) of the tree  $T_{M_S}$ ; second, for each node  $x \in T_{M_S}$  with  $p$  children  $x \cdot 1, \dots, x \cdot p$  (note that  $0 \leq p \leq k$ ), we add the children  $x \cdot (p+1), \dots, x \cdot k$  and label these new nodes with  $\perp$ ; finally, for each node  $x$  labelled by  $\perp$  we add recursively  $k$ -children labelled by  $\perp$ . Let  $\langle \{1, \dots, k\}^*, V' \rangle$  be the tree thus obtained. Then, we can encode a tree  $\langle T, V \rangle \in exec(M_S)$  as the  $2^{AP \cup \{t\}} \cup \{\perp\}$ -labelled complete  $k$ -ary tree obtained from  $\langle \{1, \dots, k\}^*, V' \rangle$  preserving all the labels of nodes of  $\langle \{1, \dots, k\}^*, V' \rangle$  that either are labelled by  $\perp$  or belong to  $T$ , and replacing all the labels of nodes (together with the labels of the corresponding subtrees) pruned in  $\langle T, V \rangle$  with the label  $\perp$ . In this way, all the trees in  $exec(M_S)$  have the same structure (they all coincide with  $\langle \{1, \dots, k\}^* \rangle$ ), and they differ only in their labelling. Thus, the proposition  $\perp$  is used to denote both “disabled” states and “completion” states. Moreover, since we consider environments that do not block the system, for each node associated with an enabled non-terminal environment state, at least one successor is not labelled by  $\perp$ . Let us denote by  $\widehat{exec}(M_S)$  the set of all  $2^{AP \cup \{t\}} \cup \{\perp\}$ -labelled  $k$ -ary trees obtained from  $\langle \{1, \dots, k\}^*, V' \rangle$  in the above described manner. The Büchi *PD-NTA*  $\mathcal{P}_S = \langle \Sigma, \Gamma, P', (p_0, \top), \alpha_0, \rho, P' \rangle$ , which accepts all and only the trees in  $\widehat{exec}(M_S)$ , is defined as follows:

- $\Sigma = 2^{AP \cup \{t\}} \cup \{\perp\}$ ;
- $P' = P \times \{\perp, \top, \vdash\}$ . From (control) states of the form  $(p, \perp)$ ,  $\mathcal{P}_S$  can read only the letter  $\perp$ , from states of the form  $(p, \top)$ , it can read only letters in  $2^{AP \cup \{t\}}$ . Finally, when  $\mathcal{P}_S$  is in state  $(p, \vdash)$ , then it can read both letters in  $2^{AP \cup \{t\}}$  and the letter  $\perp$ . In this last case, it is left to the environment to decide whether the transition to a configuration of the form  $((p, \vdash), \alpha)$  is enabled. The three types of (control) states are used to ensure that the environment enables all transitions from enabled system configurations, enables at least one transition from each enabled non-terminal environment configuration, and disables transitions from disabled configurations.
- The transition function  $\rho : P' \times \Sigma \times (\Gamma \cup \{\gamma_0\}) \rightarrow 2^{(P' \times \Gamma)^k}$  is defined as follows. Let  $p \in P$  and  $A \in \Gamma \cup \{\gamma_0\}$  with  $next_S(p, A) = \langle (p_1, \beta_1), \dots, (p_d, \beta_d) \rangle$

(where  $0 \leq d \leq k$ ). Then, for  $m \in \{\top, \vdash, \perp\}$  and  $\sigma \in \Sigma$ ,  $\rho((p, m), \sigma, A) \neq \emptyset$  iff one of the following holds (where  $\alpha = A$  if  $A \in \Gamma$ , and  $\alpha = \varepsilon$  otherwise):

- $\sigma = \perp$  and  $m \in \{\vdash, \perp\}$ . In this case we have

$$\rho((p, m), \perp, A) = \{ \langle \underbrace{((p, \perp), \alpha), \dots, ((p, \perp), \alpha)}_{k \text{ pairs}} \rangle \}$$

That is,  $\rho((p, m), \perp, A)$  contains exactly one  $k$ -tuple. In this case all the successors of the current configuration are disabled.

- $\sigma \neq \perp$ ,  $m \in \{\vdash, \top\}$ , and  $next_S(p, A)$  is empty (i.e.,  $d = 0$ ). In this case  $\sigma = L(p, A) \cup \{t\}$  (i.e., the current configuration is terminal) and

$$\rho((p, m), L(p, A) \cup \{t\}, A) = \{ \langle ((p, \perp), \alpha), \dots, ((p, \perp), \alpha) \rangle \}$$

- $\sigma \neq \perp$ ,  $(p, A) \notin Env$ ,  $m \in \{\vdash, \top\}$ , and  $next_S(p, A)$  is not empty (i.e.,  $d \geq 1$ ). In this case  $\sigma = L(p, A)$  and  $\rho((p, m), L(p, A), A)$  is given by

$$\{ \langle ((p_1, \top), \beta_1), \dots, ((p_d, \top), \beta_d), \underbrace{((p, \perp), \alpha), \dots, ((p, \perp), \alpha)}_{k-d \text{ pairs}} \rangle \}$$

- $\sigma \neq \perp$ ,  $(p, A) \in Env$ ,  $m \in \{\vdash, \top\}$ , and  $next_S(p, A)$  is not empty (i.e.,  $d \geq 1$ ). In this case  $\sigma = L(p, A)$  and  $\rho((p, m), L(p, A), A)$  is given by

$$\begin{aligned} & \{ \langle ((p_1, \top), \beta_1), ((p_2, \vdash), \beta_1), \dots, ((p_d, \vdash), \beta_d), ((p, \perp), \alpha), \dots, ((p, \perp), \alpha) \rangle, \\ & \langle ((p_1, \vdash), \beta_1), ((p_2, \top), \beta_1), \dots, ((p_d, \vdash), \beta_d), ((p, \perp), \alpha), \dots, ((p, \perp), \alpha) \rangle, \\ & \quad \vdots \\ & \langle ((p_1, \vdash), \beta_1), ((p_2, \vdash), \beta_1), \dots, ((p_d, \top), \beta_d), ((p, \perp), \alpha), \dots, ((p, \perp), \alpha) \rangle \}. \end{aligned}$$

That is,  $\rho((p, m), L(p, A), A)$  contains  $d$   $k$ -tuples. When the automaton proceeds according to the  $i$ th tuple, the environment can disable the transitions to all successors of the current configuration, except the transition associated with the pair  $(p_i, \beta_i)$ , which must be enabled.

Note that  $\mathcal{P}_S$  has  $3 \cdot |P|$  states, and  $|\rho|$  is bounded by  $k(|P| \cdot |\Gamma| + |\Delta|)$ . Assuming that  $|P| \cdot |\Gamma| \leq |\Delta|$ , we have that  $|\rho| \leq k \cdot |\Delta|$ .

We recall that a node labelled by  $\perp$  stands for a node that actually does not exist. Thus, we have to take this into account when we interpret  $CTL^*$  or  $CTL$  formulas over trees  $\langle T, V \rangle \in \widehat{exec}(M_S)$  (where  $T = \{1, \dots, k\}^*$ ). This means that we have to consider only the paths in  $\langle T, V \rangle$  (that we call “legal” paths) that either never visit a node labelled by  $\perp$  or contain a *terminal* node (i.e. a node labelled by  $t$ ). Note that a path is *not* “legal” iff it satisfies the formula  $\neg t \mathcal{U} \perp$ . In order to achieve this, as in [KVVW01] we define a function  $f : CTL^*$  formulas  $\rightarrow CTL^*$  formulas such that  $f(\varphi)$  restricts path quantification to only “legal” paths (the function  $f$  we consider extends that given in [KVVW01], since we have to consider also paths that lead to terminal configurations). The function  $f$  is inductively defined as follows:

- $f(p) = p$  for any proposition  $p \in AP$ ;
- $f(\neg\varphi) = \neg f(\varphi)$ ;
- $f(\varphi_1 \wedge \varphi_2) = f(\varphi_1) \wedge f(\varphi_2)$ ;

- $f(E\varphi) = E((G\neg\perp) \wedge f(\varphi)) \vee E((F t) \wedge f(\varphi));$
- $f(A\varphi) = A((\neg t \mathcal{U} \perp) \vee f(\varphi));$
- $f(X\varphi) = X(f(\varphi) \wedge \neg\perp);$
- $f(\varphi_1 \mathcal{U} \varphi_2) = (f(\varphi_1) \wedge \neg\perp) \mathcal{U} (f(\varphi_2) \wedge \neg\perp).$

When  $\varphi$  is a *CTL* formula, the formula  $f(\varphi)$  is not necessarily a *CTL* formula, but it has a restricted syntax: its path formulas have either a single linear-time operator or two linear-time operators connected by a Boolean operator. By [KG96], such formulas have a linear translation to *CTL*.

By definition of  $f$ , it follows that for each formula  $\varphi$  and  $\langle T, V \rangle \in \widehat{exec}(M_S)$ ,  $\langle T, V \rangle$  satisfies  $f(\varphi)$  iff the  $2^{AP}$ -labelled tree obtained from  $\langle T, V \rangle$  removing all the nodes labelled by  $\perp$  (and removing the label  $t$ ) satisfies  $\varphi$ . Therefore, module-checking  $\mathcal{S}$  against formula  $\psi$  is reduced to check the existence of a tree  $\langle T, V \rangle \in \widehat{exec}(M_S) = \mathcal{L}(\mathcal{P}_S)$  satisfying  $f(\neg\psi)$  (note that  $|f(\neg\psi)| = O(|\neg\psi|)$ ). We reduce the latter to check the emptiness of a parity *PD-NTA*  $\mathcal{P}_{S \times \neg\psi}$  that is defined as the intersection of the Büchi *PD-NTA*  $\mathcal{P}_S$  with a parity *NTA*  $\mathcal{A}_{\neg\psi} = \langle \Sigma, Q, q_0, \delta, F \rangle$  accepting exactly the  $\Sigma$ -labelled complete  $k$ -ary trees that are models of  $f(\neg\psi)$  (recall that  $\Sigma = 2^{AP \cup \{t\}} \cup \{\perp\}$ ). By Lemma 1, if  $\psi$  is a *CTL* (resp., *CTL\**) formula, then  $\mathcal{A}_{\neg\psi}$  has size  $O(k \cdot 2^{O(|\psi| \log |\psi|)})$  (resp.,  $O(k \cdot 2^{2^{O(|\psi|)}}$ ) and index  $O(|\psi|)$  (resp.,  $O(2^{|\psi|})$ ). Therefore, by Proposition 2 the following holds:

- If  $\psi$  is a *CTL* formula, then  $\mathcal{P}_{S \times \neg\psi}$  has  $O(k \cdot |P| \cdot 2^{O(|\psi| \log |\psi|)})$  states, index  $O(|\psi|)$ , and transition relation bounded by  $O(k^2 \cdot |\Delta| \cdot 2^{O(|\psi| \log |\psi|)})$ .
- If  $\psi$  is a *CTL\** formula, then  $\mathcal{P}_{S \times \neg\psi}$  has  $O(k \cdot |P| \cdot 2^{2^{O(|\psi|)}}$  states, index  $O(2^{|\psi|})$ , and transition relation bounded by  $O(k^2 \cdot |\Delta| \cdot 2^{2^{O(|\psi|)}})$ .

Therefore, by Proposition 1 we obtain the main result of this subsection.

**Theorem 1.**

- (1) *The pushdown module-checking problem for CTL is in 2EXPTIME.*
- (2) *The pushdown module-checking problem for CTL\* is in 3EXPTIME.*
- (3) *For a fixed CTL or CTL\* formula, the pushdown module-checking problem is in EXPTIME.*

**4.2 Lower Bounds**

In this section we give lower bounds for the considered problems that match the upper bounds of the algorithm proposed in the previous subsection. The lower bound for *CTL* (resp., *CTL\**) is shown by a reduction from the word problem for EXPSPACE-bounded (resp., 2EXPSPACE-bounded) alternating Turing Machines. Without loss of generality, we consider a model of alternation with a binary branching degree. Formally, an alternating Turing Machine (TM, for short) is a tuple  $\mathcal{M} = \langle \Sigma, Q, Q_{\forall}, Q_{\exists}, q_0, \delta, F \rangle$ , where  $\Sigma$  is the input alphabet, which contains the blank symbol  $\#$ ,  $Q$  is the finite set of states, which is partitioned into  $Q = Q_{\forall} \cup Q_{\exists}$ ,  $Q_{\exists}$  (resp.,  $Q_{\forall}$ ) is the set of existential (resp., universal) states,  $q_0$  is the initial state,  $F \subseteq Q$  is the set of accepting states, and the transition function  $\delta$  is a mapping  $\delta : Q \times \Sigma \rightarrow (Q \times \Sigma \times \{L, R\})^2$ .

Configurations of  $\mathcal{M}$  are words in  $\Sigma^* \cdot (Q \times \Sigma) \cdot \Sigma^*$ . A configuration  $\eta \cdot (q, \sigma) \cdot \eta'$  denotes that the tape content is  $\eta\sigma\eta'$ , the current state is  $q$ , and the reading head is at position  $|\eta| + 1$ . When  $\mathcal{M}$  is in state  $q$  and reads an input  $\sigma \in \Sigma$  in the current tape cell, then it nondeterministically chooses a triple  $(q', \sigma', dir)$  in  $\delta(q, \sigma) = \langle (q_l, \sigma_l, dir_l), (q_r, \sigma_r, dir_r) \rangle$ , and then moves to state  $q'$ , writes  $\sigma'$  in the current tape cell, and its reading head moves one cell to the left or to the right, according to  $dir$ . For a configuration  $c$ , we denote by  $succ_l(c)$  and  $succ_r(c)$  the successors of  $c$  obtained choosing respectively the left and the right triple in  $\langle (q_l, \sigma_l, dir_l), (q_r, \sigma_r, dir_r) \rangle$ . The configuration  $c$  is *accepting* if the associated state  $q$  belongs to  $F$ . Given an input  $x \in \Sigma^*$ , a computation tree of  $\mathcal{M}$  on  $x$  is a tree in which each node corresponds to a configuration. The root of the tree corresponds to the initial configuration associated with  $x$ . A node that corresponds to a universal configuration (i.e. the associated state is in  $Q_\forall$ ) has two successors, corresponding to  $succ_l(c)$  and  $succ_r(c)$ , while a node that corresponds to an existential configuration (i.e. the associated state is in  $Q_\exists$ ) has a single successor, corresponding to either  $succ_l(c)$  or  $succ_r(c)$ . The tree is accepting iff all its paths (from the root) reach an accepting configuration. An input  $x \in \Sigma^*$  is *accepted* by  $\mathcal{M}$  iff there exists an accepting computation tree of  $\mathcal{M}$  on  $x$ .

If  $\mathcal{M}$  is EXPSPACE-bounded (resp., 2EXPSPACE-bounded), then there is a constant  $k \geq 1$  such that for each  $x \in \Sigma^*$ , the space needed by  $\mathcal{M}$  on input  $x$  is bounded by  $2^{k \cdot |x|}$  (resp.,  $2^{2^{k \cdot |x|}}$ ). It is well-known [CKS81] that 2EXPTIME (resp., 3EXPTIME) coincides with the class of all languages accepted by EXPSPACE-bounded (resp., 2EXPSPACE-bounded) alternating Turing Machines.

In the following we fix an input word  $x$  and let  $n = k \cdot |x|$ .

**Theorem 2.**

- (1) *The pushdown module checking problem for CTL is 2EXPTIME-hard.*
- (2) *The pushdown module checking problem for CTL\* is 3EXPTIME-hard.*

*Proof.* Here we sketch the proof for *CTL*. Given the EXPSPACE-bounded alternating Turing Machine  $\mathcal{M} = \langle \Sigma, Q, Q_\forall, Q_\exists, q_0, \delta, F \rangle$  and the input  $x$ , we build an *OPD*  $\mathcal{S}$  and a *CTL* formula  $\varphi$  whose sizes are *polynomial* in  $n$  and in  $|\mathcal{M}|$  such that  $\mathcal{M}$  accepts  $x$  iff there is a tree  $\langle T, V \rangle \in exec(M_S)$  such that  $\langle T, V \rangle$  satisfies  $\varphi$ , i.e. iff  $M_S \not\models_r \neg\varphi$ . Some ideas in the proposed reduction are taken from [KTMV00], where there are given lower bounds for the satisfiability of extensions of *CTL* and *CTL\**.

Note that any reachable configuration of  $\mathcal{M}$  over  $x$  can be seen as a word in  $\Sigma^* \cdot (Q \times \Sigma) \cdot \Sigma^*$  of length exactly  $2^n$ . If  $x = \sigma_1 \dots \sigma_r$  (where  $r = |x|$ ), then the initial configuration is given by  $(q_0, \sigma_1)\sigma_2 \dots \sigma_r \underbrace{\#\#\dots\#}_{2^{n-r}}$ .

Each cell of a TM configuration is coded using a block of  $n$  symbols of the stack alphabet of  $\mathcal{S}$ . The whole block is used to encode both the content of the cell and the location (the number of cell) on the TM tape (note that the number of cell is in the range  $[0, 2^n - 1]$  and can be encoded using  $n$  bits). The stack alphabet is given by  $(\Sigma \cup (Q \times \Sigma)) \times 2^{\{b, fc, e, cn, l\}}$  where  $b$  is used to mark the first element of a TM block,  $fc$  to mark the initial TM configuration,  $e$  to mark the first element of the first block of a TM configuration,  $cn$  to encode the number

of cell, and  $l$  to mark a left TM successor. Moreover,  $\Sigma \cup (Q \times \Sigma)$  is used to encode the cell content. The pushdown system  $\mathcal{S}$  proceeds in two phases.

**Phase 1.** Starting from the initial configuration (with empty stack content),  $\mathcal{S}$  generates nondeterministically by push transitions a sequence of TM configurations on the stack.  $\mathcal{S}$  ensures that the first TM configuration is the initial TM configuration (corresponding to the input  $x$ ). Moreover, the following conditions are satisfied for any generated TM configuration  $c$ :

- $\mathcal{S}$  ensures that the symbols  $b$ ,  $fc$ , and  $e$  are used properly. Moreover,  $\mathcal{S}$  ensures that the last block of  $c$  is the unique block in  $c$  that has number of cell  $2^n - 1$  (i.e., all its elements are marked by the proposition  $cn$ ).
- All global states of  $\mathcal{S}$  associated with all elements of  $c$  except the last element are *environment states*.  $\mathcal{S}$  keeps track of the TM state  $q$  associated with  $c$  by its finite control. If  $c$  is not accepting (i.e.,  $q \notin F$ ), then the global state  $s$  associated with the last element of  $c$  is a *system state* if  $c$  is a TM universal configuration (i.e.,  $q \in Q_{\forall}$ ), and it is an *environment state* otherwise. In such a state  $s$ ,  $\mathcal{S}$  without modifying the stack content chooses a letter 0/1 to encode the choice of the transition. According to such a choice all elements of the next TM configuration will be marked by the corresponding choice symbol. In particular, we use the proposition  $l$  to mark all elements of a TM left successor (this means that  $\neg l$  is associated with right TM successors).

Note that  $\mathcal{S}$  does *not* ensure that the number of blocks of any generated TM configuration is exactly  $2^n$ , that the cell numbers are updated correctly, and the generated configuration sequence is consistent with the transition function of  $\mathcal{M}$ .

**Phase 2.** When  $\mathcal{S}$  finishes to generate an accepting configuration, it reaches a *system global state* in which chooses between two possible options  $opt_1$  and  $opt_2$  (without changing the stack content). When  $\mathcal{S}$  selects  $opt_1$ , then it simply empties deterministically the stack by a sequence of pop transitions. The corresponding subtree of the computation tree of  $M_{\mathcal{S}}$  reduces to a finite linear path that corresponds to the sequence  $\nu$  of “pseudo” TM configurations generated in the first phase in reversed order. We use this subtree together with a *CTL* formula  $\varphi_{opt_1}$  to check that the cell numbers of the sequence  $\nu$  are encoded correctly (this also implies that each configuration of  $\nu$  has exactly length  $2^n$ ).

When  $\mathcal{S}$  selects  $opt_2$ , then it empties the stack by a sequence of pop transitions with the additional ability to generate at most at one block (corresponding to a TM cell) the symbol  $check_1$  and successively at most at one block the symbol  $check_2$ . Therefore, a computation in this phase corresponds to the sequence  $\nu$  of “pseudo” TM configurations generated in the first phase in *reversed order* with at most one block marked by  $check_1$  and with at most one block marked by  $check_2$ . Any global state of  $\mathcal{S}$  in this phase is an *environment state* and has at most two successors. Let  $\langle T, V \rangle$  be the corresponding subtree of the computation tree of  $M_{\mathcal{S}}$ . We use this subtree  $\langle T, V \rangle$  together with a *CTL* formula  $\varphi_{opt_2}$  in order to check that  $\nu$  is faithful to the evolution of  $\mathcal{M}$ .

In order to understand how this can be done, let  $c = a_1 \dots a_{2^n}$  be a TM configuration. For any  $1 \leq i \leq 2^n$ , the value  $a'_i$  of the  $i$ -th cell of  $succ_l(c)$  (resp.,

$succ_r(c)$  is completely determined by the values  $a_{i-1}$ ,  $a_i$  and  $a_{i+1}$  (taking  $a_{2^n+1}$  for  $i = 2^n$  and  $a_0$  for  $i = 1$  to be some special symbol). As in [KTMV00], we denote by  $next_l(a_{i-1}, a_i, a_{i+1})$  (resp.,  $next_r(a_{i-1}, a_i, a_{i+1})$ ) our expectation for  $a'_i$  (these functions can be trivially obtained from the transition function of  $\mathcal{M}$ ).

Let  $exec(\langle T, V \rangle)$  be the set of the trees obtained by pruning from  $\langle T, V \rangle$  subtrees whose root is a child of a node corresponding to an environment state. Then,  $\varphi_{opt_2}$  will capture all trees  $\langle T', V' \rangle \in exec(\langle T, V \rangle)$  satisfying the following:

- For each block  $bl$  of  $\nu$ , there is a path in  $T'$  (from the root) such that the sequence of nodes associated with  $bl$  is labelled by  $check_1$ .
- For each  $u \in T'$  labelled by  $check_1$ , there is exactly one path in  $T'$  from  $u$ .
- Each path  $\pi$  of  $T'$  from a node  $u$  labelled by  $check_1$  (and such that  $u$  corresponds to some element of a block  $bl_1$  of  $\nu$  not belonging to the first TM configuration) contains a block  $bl_2$  marked by  $check_2$  having the same number of cell of  $bl_1$  and belonging to the previous TM configuration w.r.t.  $\nu$  (we use the proposition  $e$  that marks the first element of the first block of a TM configuration to check this last condition). Moreover, denoting by  $\sigma(bl)$  the  $\Sigma \cup (Q \times \Sigma)$ -value of a block  $bl$ ,  $\varphi_{opt_2}$  will check that  $\sigma(bl_1)$  is consistent with  $next_s(\sigma(bl_{succ}), \sigma(bl_2), \sigma(bl_{prec}))$ , where  $s \in \{l, r\}$ ,  $bl_{succ}$  and  $bl_{prec}$  represent the blocks soon after and soon before  $bl_2$  along  $\pi$ , and  $s = l$  iff the TM configuration associated with  $bl_1$  is a left successor (i.e. all nodes of  $bl_1$  are labelled by proposition  $l$ ).

It is clear that assuming that the cell numbers of  $\nu$  are encoded correctly (this is guaranteed by formula  $\varphi_{opt_1}$ ), then  $\nu$  is a legal sequence of TM configurations iff there is  $\langle T', V' \rangle \in exec(\langle T, V \rangle)$  satisfying  $\varphi_{opt_2}$ .

By considerations above, it is clear that  $\mathcal{M}$  accepts  $x$  iff there is  $\langle T, V \rangle \in exec(M_S)$  such that each path of  $T$  (from the root) reaches a node  $u$  corresponding to the last element of an accepting TM configuration and the following holds: the subtree associated with the  $opt_1$ -child (resp.,  $opt_2$ -child) of  $u$  satisfies formula  $\varphi_{opt_1}$  (resp.,  $\varphi_{opt_2}$ ). Therefore, formula  $\varphi$  is defined as follows:

$$AF(EX(opt_1 \wedge \varphi_{opt_1}) \wedge EX(opt_2 \wedge \varphi_{opt_2})) \quad \square$$

Now, we can prove the main result of this paper.

### Theorem 3.

- (1) *The pushdown module-checking problem for CTL is 2EXPTIME-complete.*
- (2) *The pushdown module-checking problem for CTL\* is 3EXPTIME-complete.*
- (3) *The pushdown module-checking problem for CTL\* is EXPTIME-complete in the size of the given OPD.*

*Proof.* Claims 1 and 2 directly follow from Theorems 1 and 2. Now, let us consider Claim 3. First, we note that model checking pushdown systems corresponds to module checking the class of *OPD* in which there are not environment configurations. Moreover, pushdown model checking against *alternation-free  $\mu$ -calculus* is known to be EXPTIME-complete also for a fixed formula [Wal96]. Since *CTL\** subsumes the alternation-free  $\mu$ -calculus, Claim 3 follows from Theorem 1.

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